

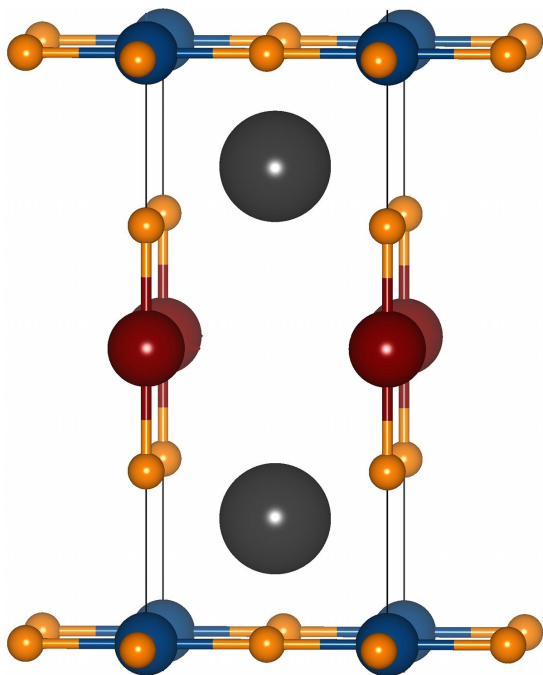
# Compositional tuning of functional inorganic materials: Examples of phosphors and catalysts

**Ram Seshadri**

Materials Department, and Department of Chemistry & Biochemistry  
Materials Research Laboratory, UC Santa Barbara CA 93106  
<http://www.mrl.ucsb.edu/~seshadri> +++ [seshadri@mrl.ucsb.edu](mailto:seshadri@mrl.ucsb.edu)

# Overview: Strategies for new functional materials

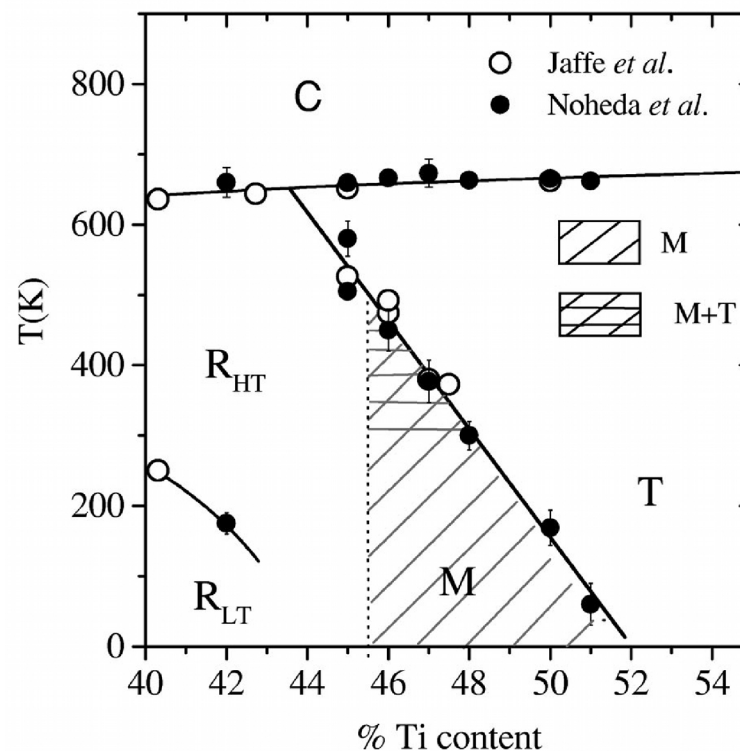
1. Make a material (new structure, new composition...) that displays the function sought (eg.  $\text{HgBa}_2\text{CuO}_{4+\delta}$ ,  $T_C = 94 \text{ K}$ )



Putilin, Antipov, Chmaisson, Marezio, *Nature* 362 (1993) 226–228.

UCSB Materials Colloquium 4/16/2010

2. Take a known structure type and tune the composition to achieve the desired function (eg. PZT)



Noheda, Cox, Shirane, Guo, Jones, Cross, *Phys. Rev. B* 63 (2000) 014103(1–9).

# Compositional tuning within a given structure type

$\text{La}_{1-x}\text{Ca}_x\text{MnO}_3$ : Colossal magnetoresistance, charge-ordering

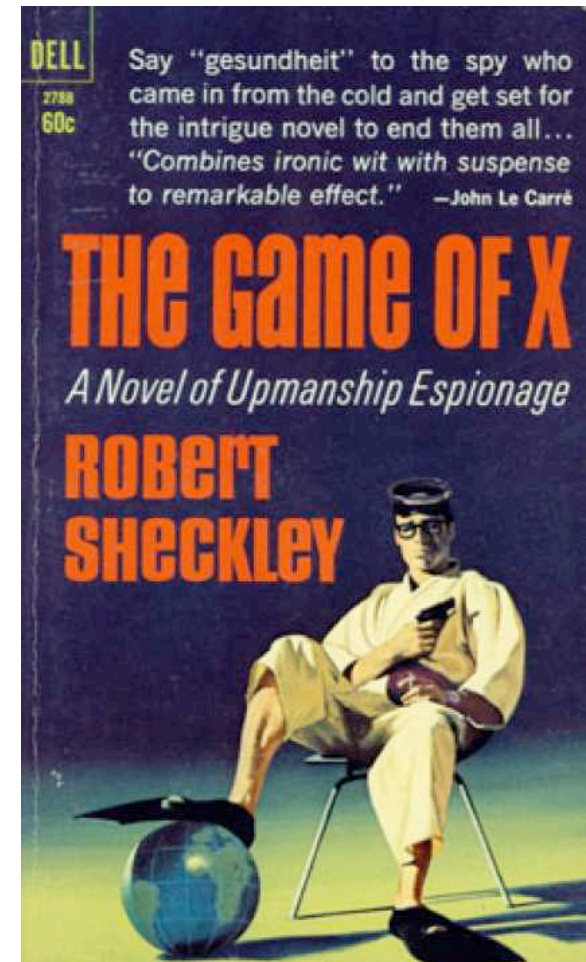
$\text{PbZr}_{1-x}\text{Ti}_x\text{O}_3$ : Piezoelectric tuning

$\text{Ba}_{1-x}\text{Sr}_x\text{TiO}_3$ : Capacitors

$\text{La}_{2-x}\text{Sr}_x\text{CuO}_4$ : Superconductivity

$\text{V}_{2-x}\text{Cr}_x\text{O}_3$ : Insulator-metal transitions

$\text{Al}_{2-x}\text{Cr}_x\text{O}_3$ : Ruby



# Today: Phosphors and catalysts

## **Ce<sup>3+</sup> phosphors:**

Dr. Won Bin Im

Professor Steven DenBaars, Dr. Hisashi Masui, Dr. Natalie Fellows,  
Stuart Brinkley, Dr. Jerry Hu, Dr. Alexander Mikhailovsky

support: **Solid State Lighting and Energy Center**

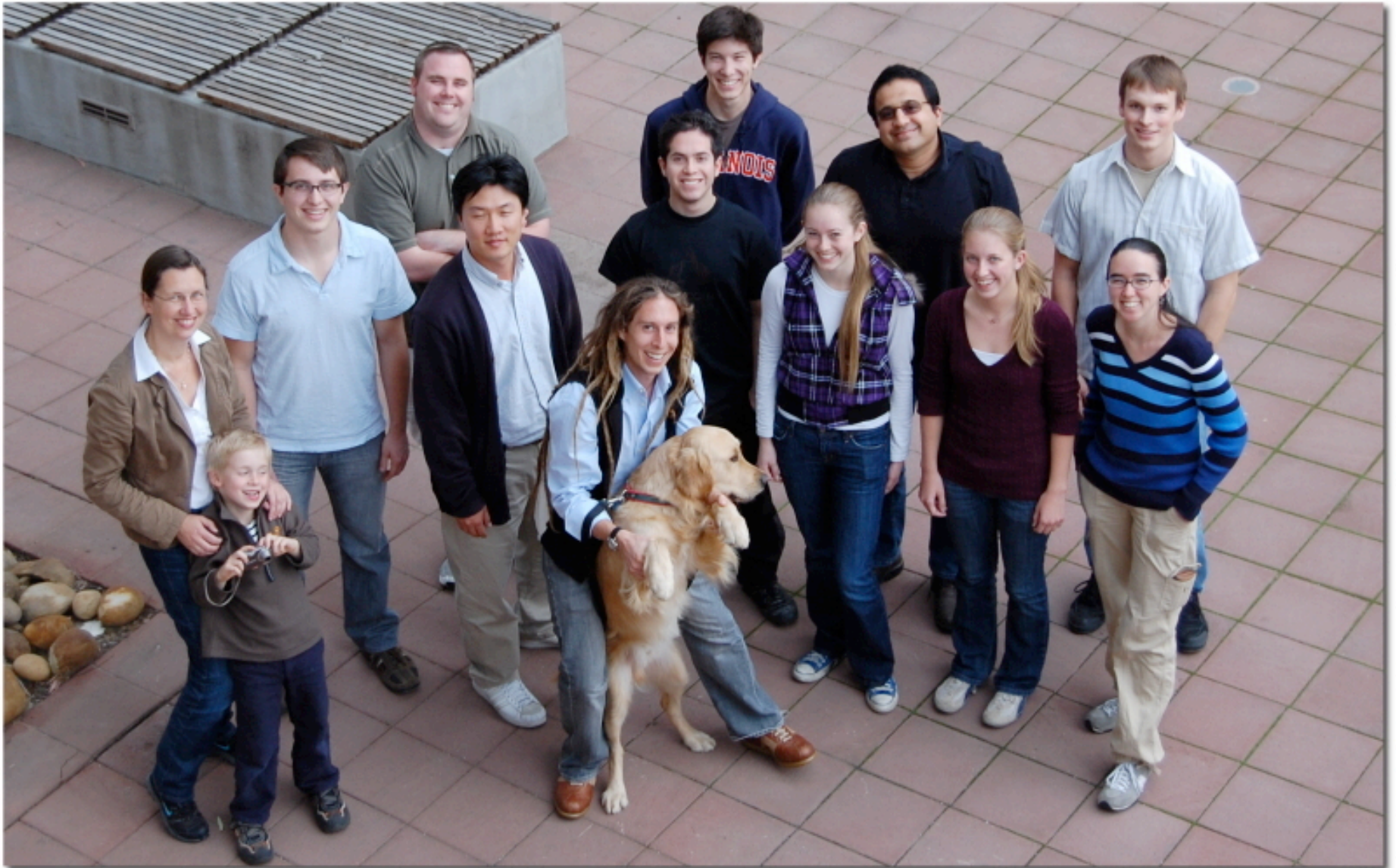
## **Pd<sup>2+</sup> catalysts:**

Dr. Jun Li, Joshua Kurzman

Professor Susannah Scott, Dr. Udayshankar Singh, Xiaoying Ouyang

support: **NSF-IGERT, Department of Energy**

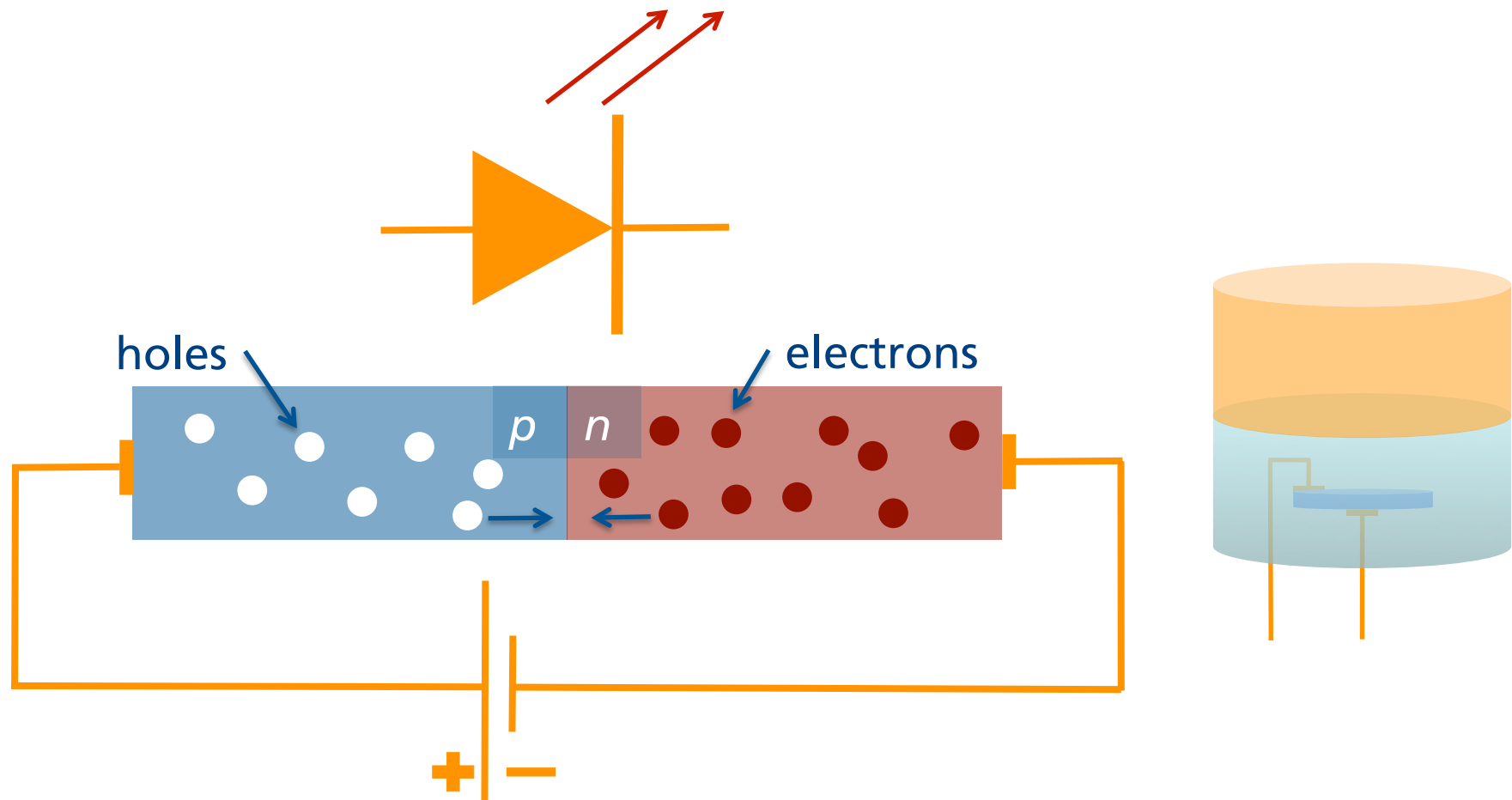
# Research group, January 2010



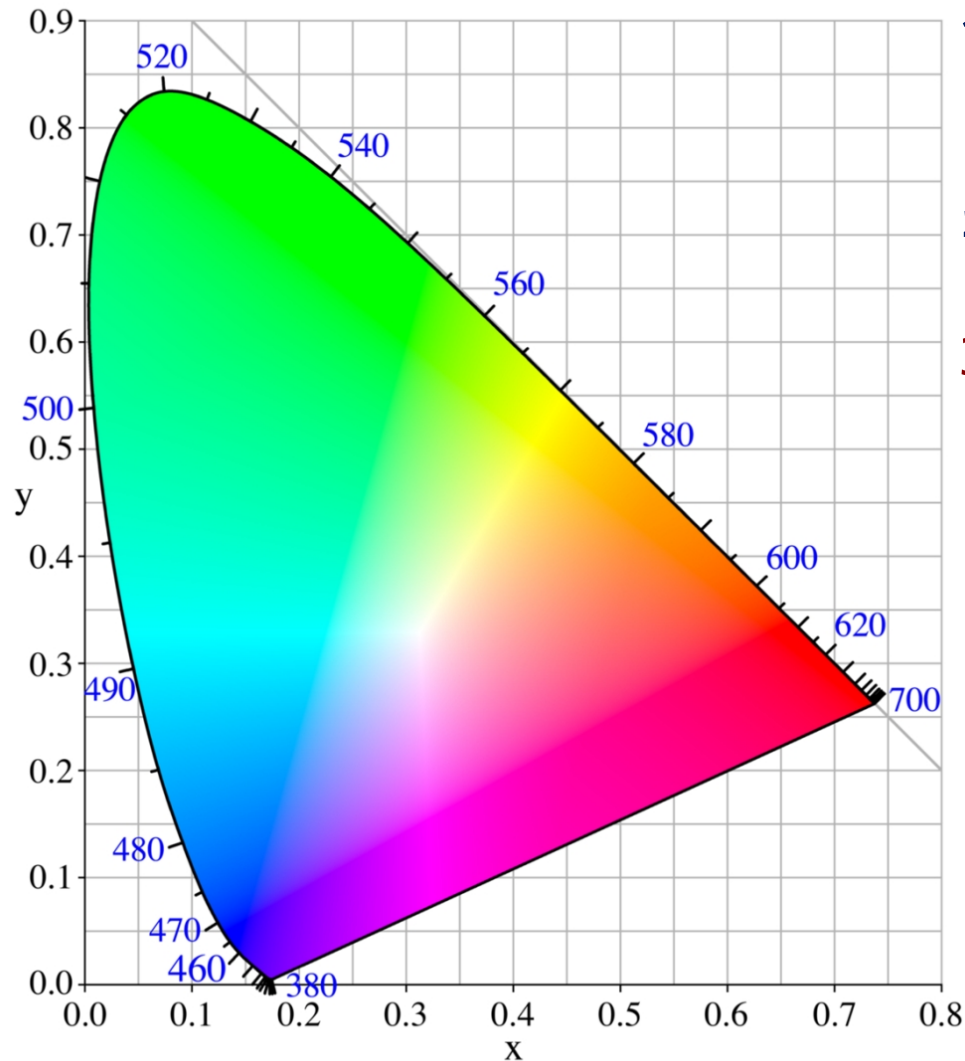
UCSB Materials Colloquium 4/16/2010

# Ce<sup>3+</sup> phosphors for solid state white lighting

# LEDs: Converting electricity to light (*not heat* !)



# Solid state lighting strategies



1. Tricolor LEDs with no need for phosphors
2. UV LED + RGB phosphors
3. Blue LED + yellow/orange phosphors



# Some applications of solid state lighting

The Chanel Building in Osaka (Peter Marino Architect).

LED lamps for indoor cultivation

90% Less Heat  
50,000 Hour Rated  
LEDs Made in USA  
No Heat Signature  
Cut Your Electricity Bill  
High Times Magazine

**GROWL LED Inc.**  
1-888-476-9553  
Grow Greener with LED's™

LED Grow Lights | LED Home Lights | Mail Order | Cart | Sign In | Register | Contact | 3yr Warranty

**OUR PRODUCTS**  
GrowL LED Grow Lights  
Customize Your Light  
LED Home Lights

**Welcome to GrowL LED Inc.**  
We Offer The Lowest Prices Possible On Energy Efficient State Of The Art LED Grow Lights.  
All Lights 100% Guaranteed And Ship To Your Door!

**FEATURED ITEM**  
90w Boxer GrowL LED Light

The efficiency of 90W led grow light equals to 400W HID light. LED grow light emits very little heat, no burns even close to the plants.  
Price: \$549.00  
Sale Price: \$349.00  
Add To Cart

**PITBULL GROWL™**

- No Ballast Needed
- 90% Less Heat
- 300w Equiv. of 1000w
- 3 Year Warranty
- 30 Day Money Back
- 50,000 Hour Rated
- LEDs Made In USA
- No Heat Signature
- Cut Your Electricity Bill
- Custom Orders

The efficiency of 300W led equals 1000W HID. Red, Blue, & Orange 7:1:1. LED grow light emits very little heat, no burns even close to the plants. Covers 50 sq. ft. **\$1,175.00**

**3 YEAR Warranty!**  
Click For Info

**120w Doberman GrowL LED Light**  
The efficiency of 120W led equals 600W HPS. Red, Blue, & Orange 7:1:1. LED grow light emits very little heat, no burns even close to the plants. Covers 20 sq. ft.  
Price: \$799.99  
Sale Price: \$475.00  
Add To Cart

**300w Pitbull GrowL LED Light**  
The efficiency of 300W led equals 1000W HPS. Red, Blue, & Orange 7:1:1. LED grow light emits very little heat, no burns even close to the plants. Covers 50 sq. ft.  
Price: \$1,795.00  
Sale Price: \$1,175.00  
Add To Cart

**600w Mastiff GrowL LED Light**  
The efficiency of 600W led equals 2000W HPS. Red, Blue, & Orange 7:1:1. LED grow light emits very little heat, no burns even close to the plants. Covers 100 sq. ft.  
Price: \$2,499.00  
Sale Price: \$2,295.00  
Add To Cart

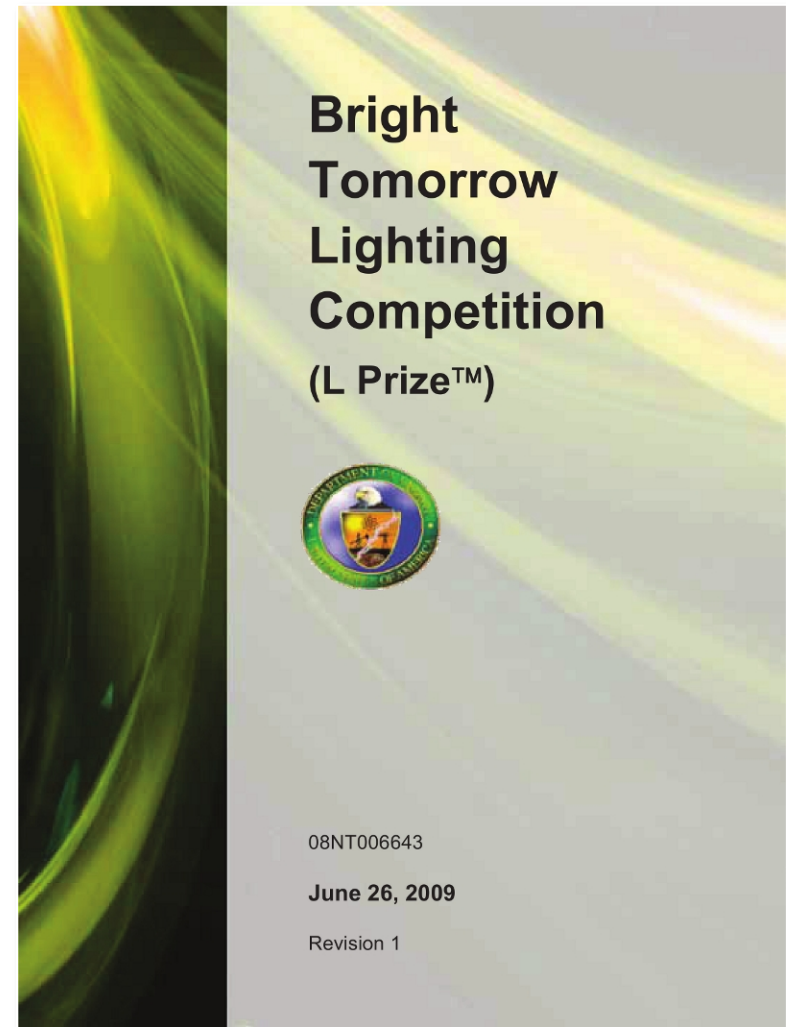
**Customer Reviews**  
Send Us Feedback See What Our Customers Have To Say!

Greetings,  
Thanks for the Dobermans they really work great & perfect for our room.  
We recommended you to our friends.  
Jeff Z  
Hi Growl,  
Love the lights, flowered great & cut our electric bill by 80%.  
Thanks,  
- Foster

# The L Prize

## 60 W Incandescent Replacement Lamp

- More than 90 lm/W
- Less than 10 watts
- More than 900 lumens
- More than 25,000 hour life
- More than 90 CRI
- Between 2700 K – 3000 K CCT



# Ce<sup>3+</sup> phosphors for solid state white lighting

***The need:*** A means of efficiently converting blue light from InGaN LEDs to white light with high color rendition.

***The task:*** Understand how known Ce<sup>3+</sup> phosphors work, and develop new hosts that outperform current ones. Explore known structure types *via* compositional tuning.

Requires tools to understand local structure around Ce<sup>3+</sup> of phosphors.

***The outcome:*** Finding out that making new, efficient phosphors is very hard !

# Understanding Ce<sup>3+</sup> phosphors

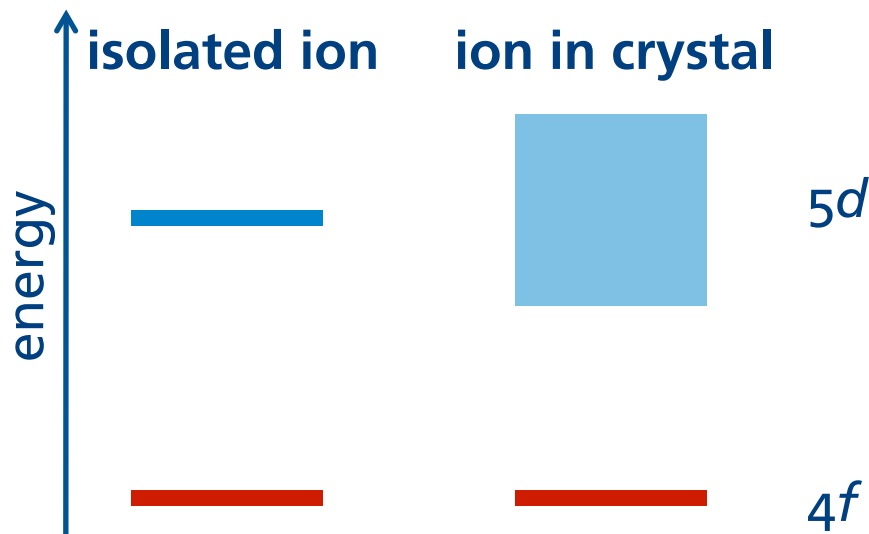
Ce is [Xe]4f<sup>1</sup>5d<sup>1</sup>6s<sup>2</sup>

Ce<sup>3+</sup> is [Xe]4f<sup>1</sup>5d<sup>0</sup>6s<sup>0</sup>

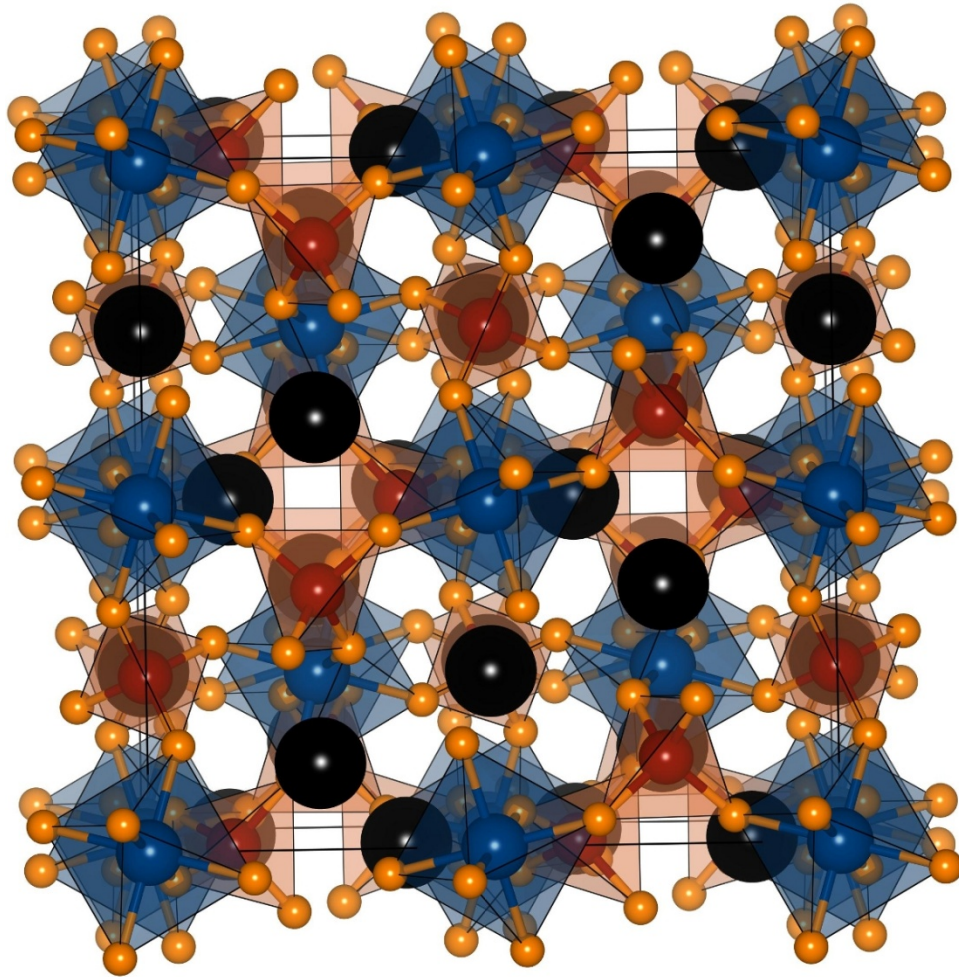
Ce<sup>4+</sup> is [Xe]4f<sup>0</sup>5d<sup>0</sup>6s<sup>0</sup>

The transition from 4f to 5d in Ce<sup>3+</sup> is spin allowed – it is narrow and takes place in the UV in isolated Ce<sup>3+</sup> atoms.

In solids, the crystal field broadening of the 5d states allows absorption in the near-UV/blue, and emission in the visible.



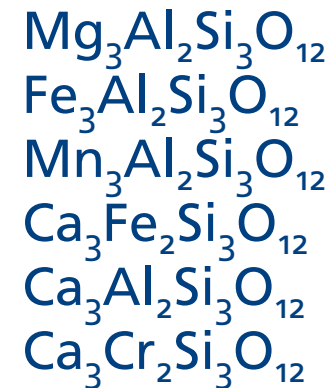
# The canonical material: $\text{Y}_3\text{Al}_5\text{O}_{12}:\text{Ce}^{3+}$ (Blasse)



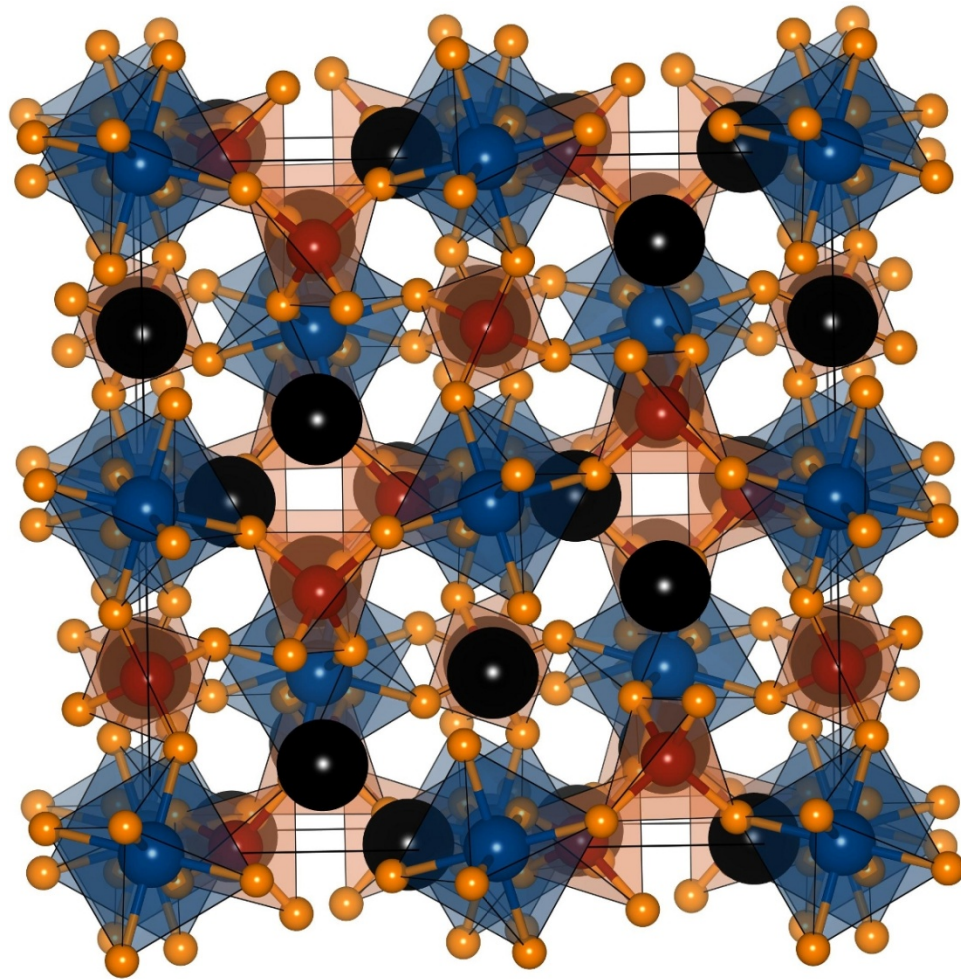
Garnet crystal structure:  $\text{AlO}_4$  tetrahedra and  $\text{AlO}_6$  octahedra, all completely corner-connected.

Y occupies 8-coordinate voids formed by  $\text{AlO}_n$  polyhedra.

Pyrope  
Almandine  
Spessartine  
Andradite  
Grossular  
Uvarovite



# The canonical material: $\text{Y}_3\text{Al}_5\text{O}_{12}:\text{Ce}^{3+}$ *Why?*



Rigid 3D connectivity – a consequence of low charge on  $\text{Al}^{3+}$  [Pauling's rules].

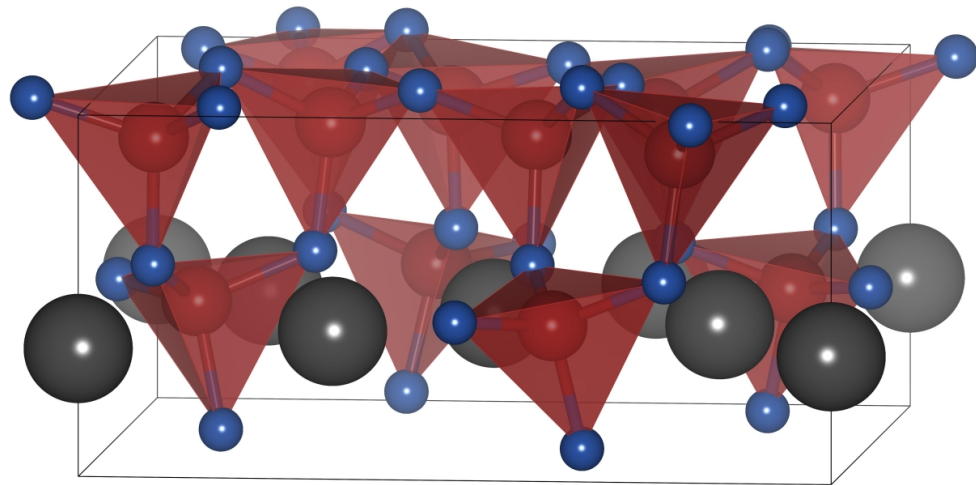
Large band gap because of Al, and connectivity.

Stiff lattice because of connectivity and light elements: Also low quenching (incl. thermal).

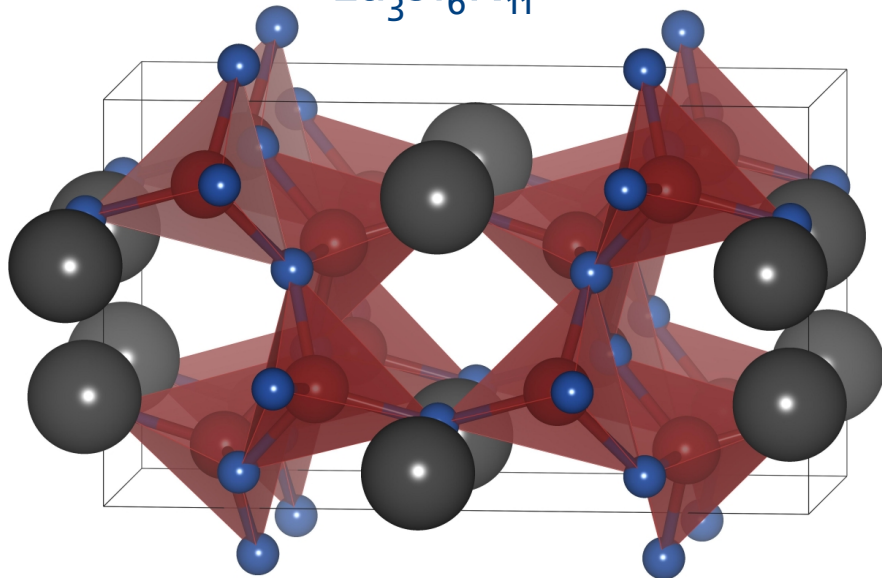
Single site for  $\text{Ce}^{3+}$ : Low disorder, and hence fewer non-radiative pathways.

$\text{Ce}^{3+}$  substitutes smaller  $\text{Y}^{3+}$ : larger  $5d$  crystal-field splitting on  $\text{Ce}^{3+}$ .

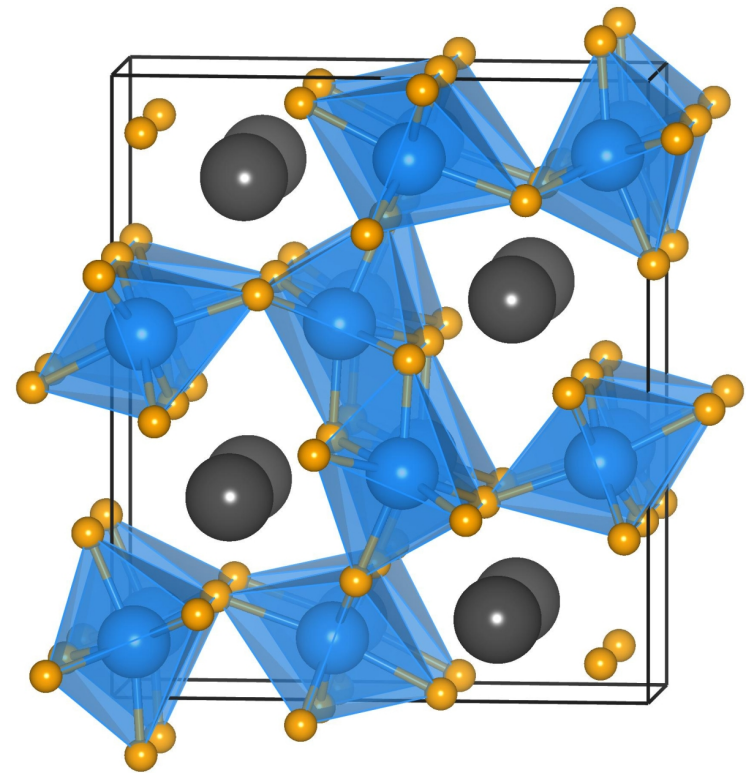
# Some other phosphor hosts for $\text{Ce}^{3+}$



$\text{La}_3\text{Si}_6\text{N}_{11}$



$\text{CaAlSiN}_3$



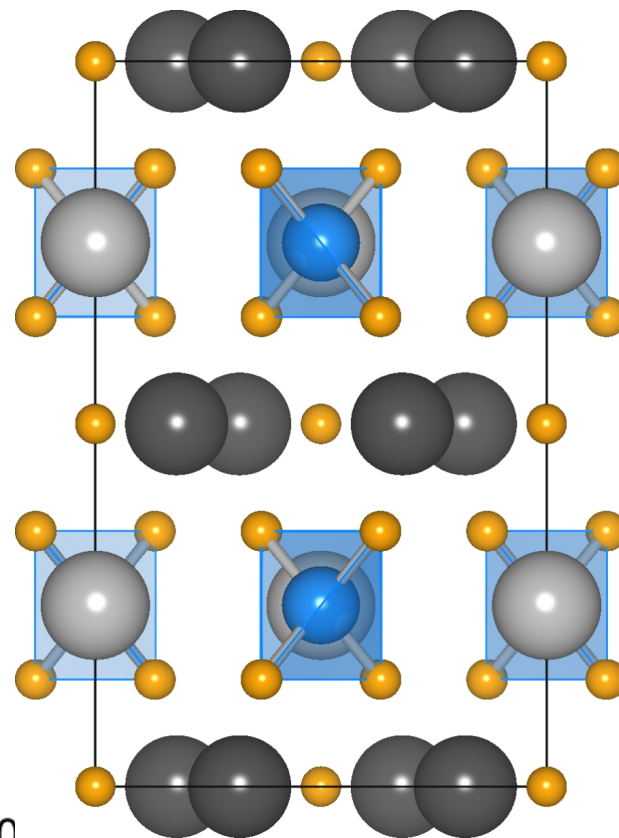
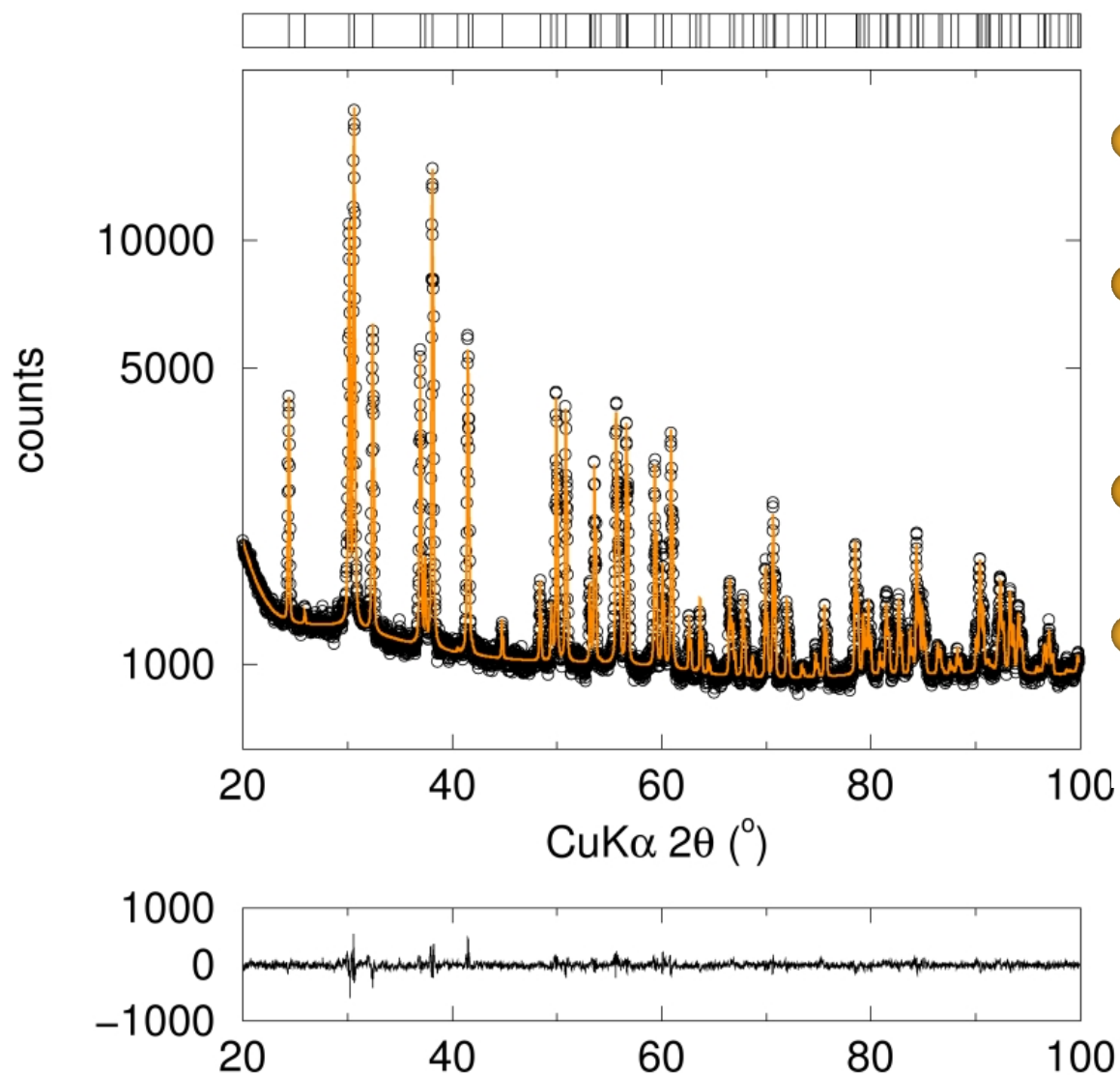
$\text{CaSc}_2\text{O}_4$

# The Ce<sup>3+</sup> phosphor periodic table palette

Li	Be											B	C	N	O	F
Na	Mg											Al	Si	P	S	Cl
K	Ca	Sc	Ti	V	Cr	Mn	Fe	Co	Ni	Cu	Zn	Ga	Ge			
	Sr	Y														
	Ba	La														
			Ce	Pr	Nd	Pm	Sm	Eu	Gd	Tb	Dy	Ho	Er	Tm	Yb	Lu



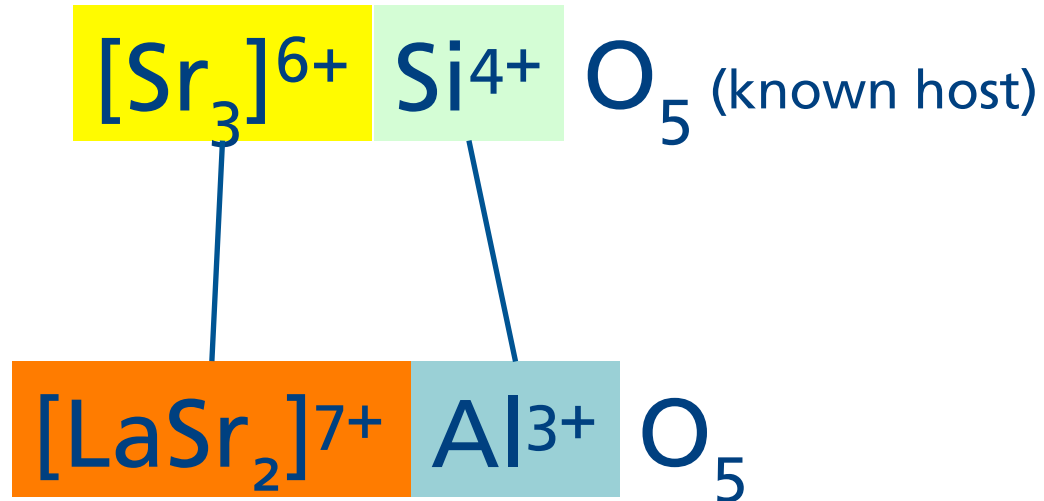
# LaSr<sub>2</sub>AlO<sub>5</sub>, a new Ce<sup>3+</sup> phosphor host



LaSr<sub>2</sub>AlO<sub>5</sub>: isostructural with known tetragonal EuSr<sub>2</sub>AlO<sub>5</sub> (*I*<sub>4</sub>/*mcm*)

# LaSr<sub>2</sub>AlO<sub>5</sub>:

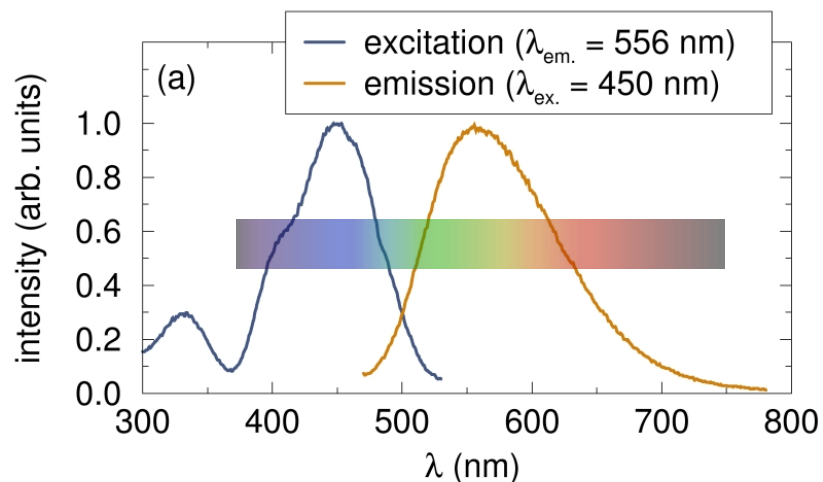
*Why?*



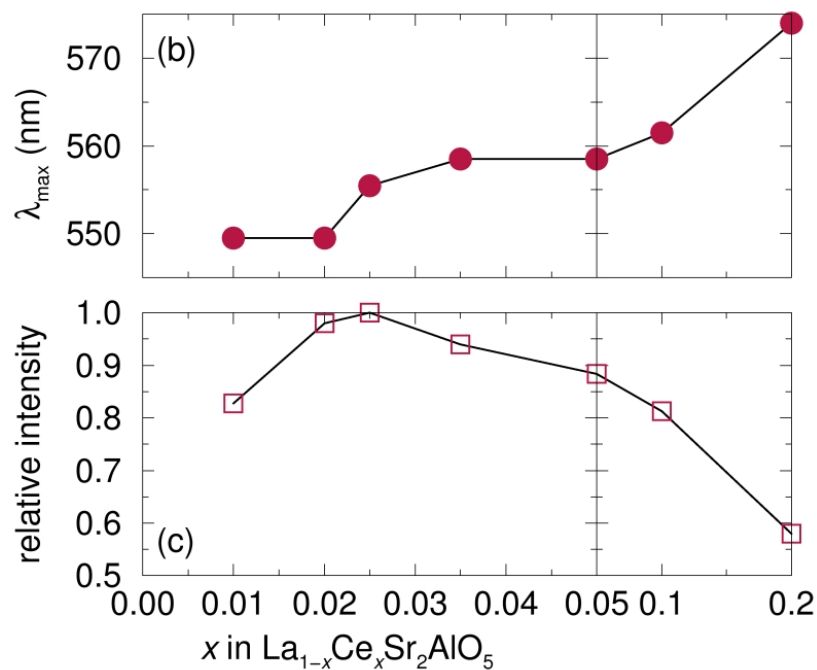
*How?*

Prepared from La<sub>2</sub>O<sub>3</sub>, SrCO<sub>3</sub>, Al<sub>2</sub>O<sub>3</sub>, CeO<sub>2</sub>  
1400°C to 1500°C, 5% H<sub>2</sub>/N<sub>2</sub>, 4 h

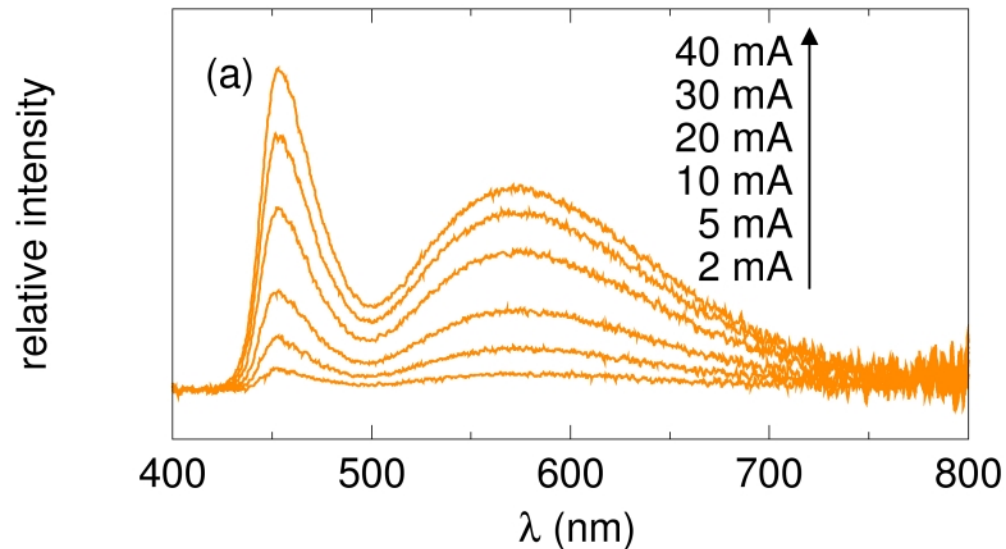
# LaSr<sub>2</sub>AlO<sub>5</sub>



Appropriate absorption and emission positions for blue + yellow = white. Maximum emission intensity for 2.5 atom-% Ce<sup>3+</sup> substitution.

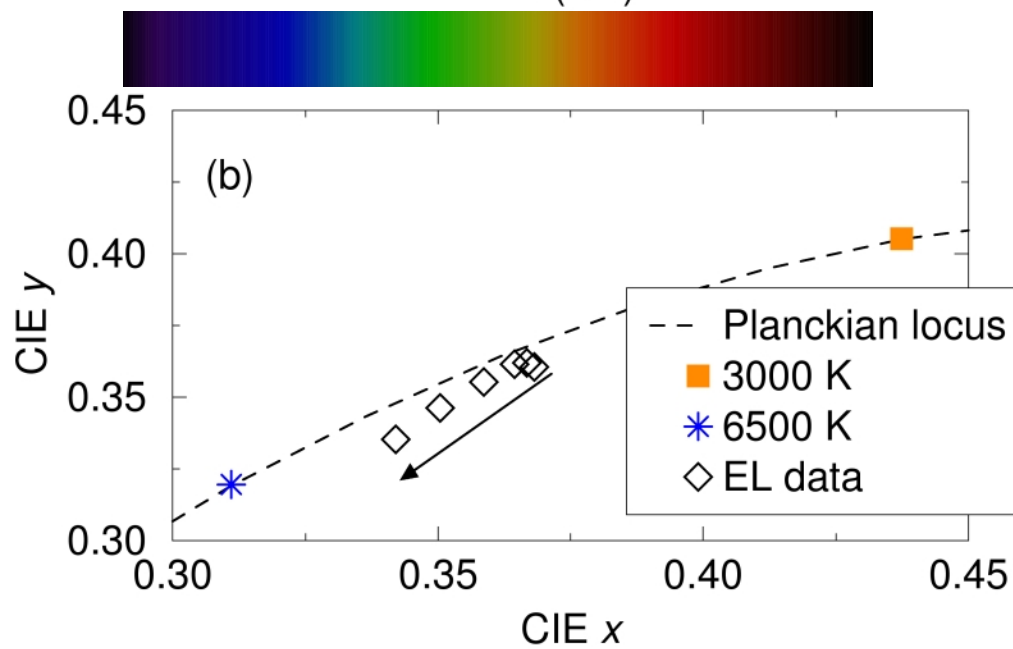


# LaSr<sub>2</sub>AlO<sub>5</sub>



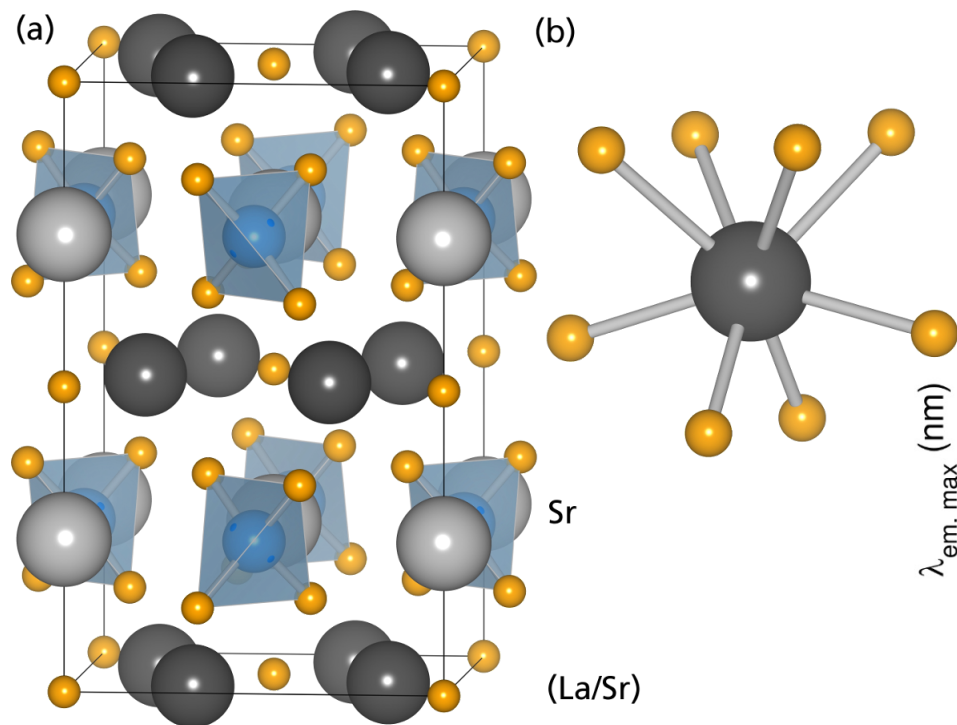
Phosphor mounted on an InGaN chip (Natalie Fellows).

Good color rendering, but efficiency poor compared to YAG:Ce<sup>3+</sup>.

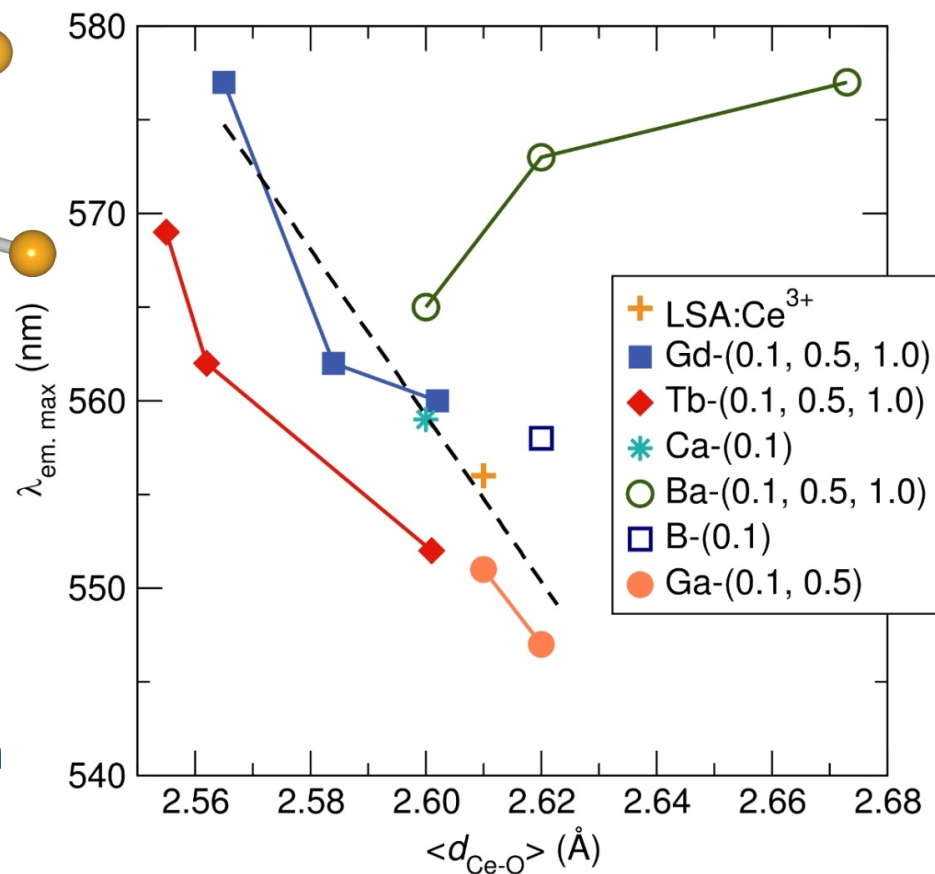


Im, Kim, Fellows, Masui, Hirata, DenBaars, Seshadri, *Appl. Phys. Lett.* 93 (2008) 091905.

# LaSr<sub>2</sub>AlO<sub>5</sub>: Tunability

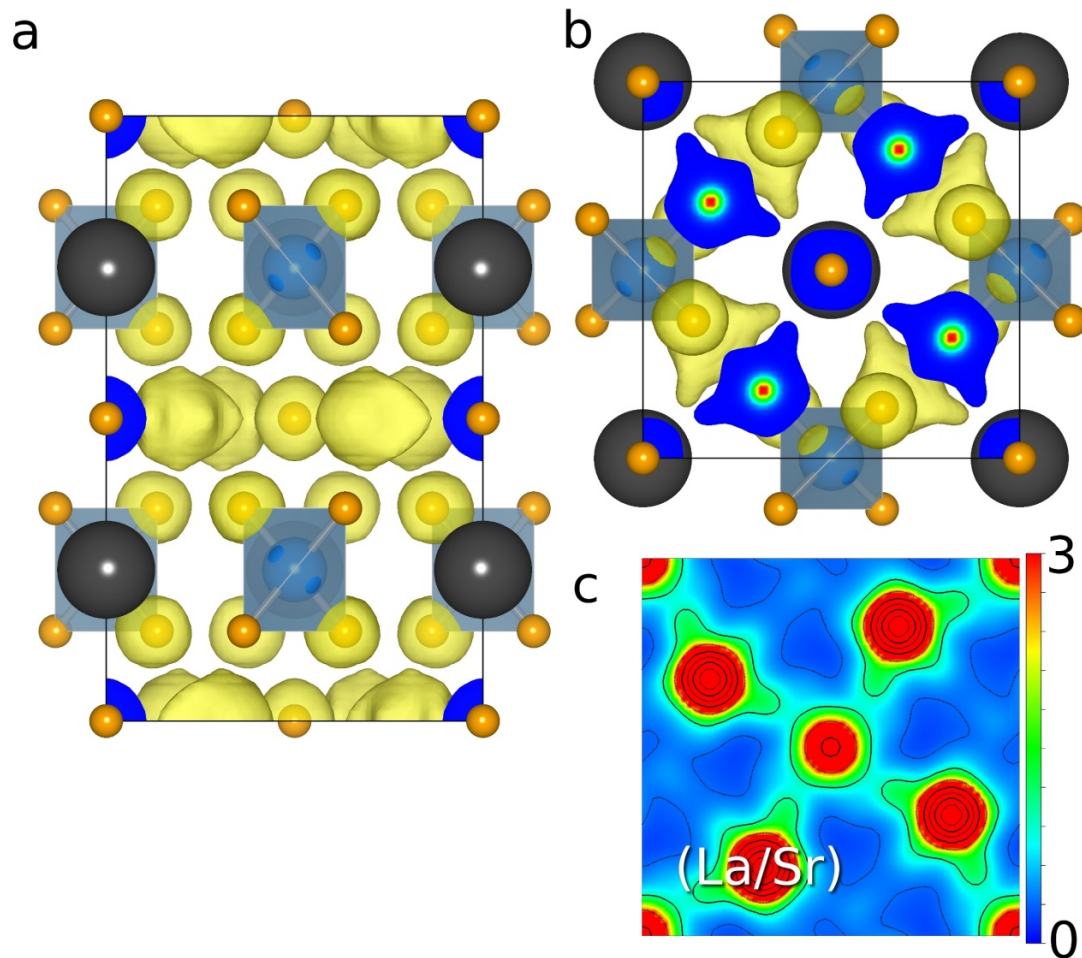


For most compositions, the emission peak scales with compression of the CeO<sub>8</sub> polyhedron.



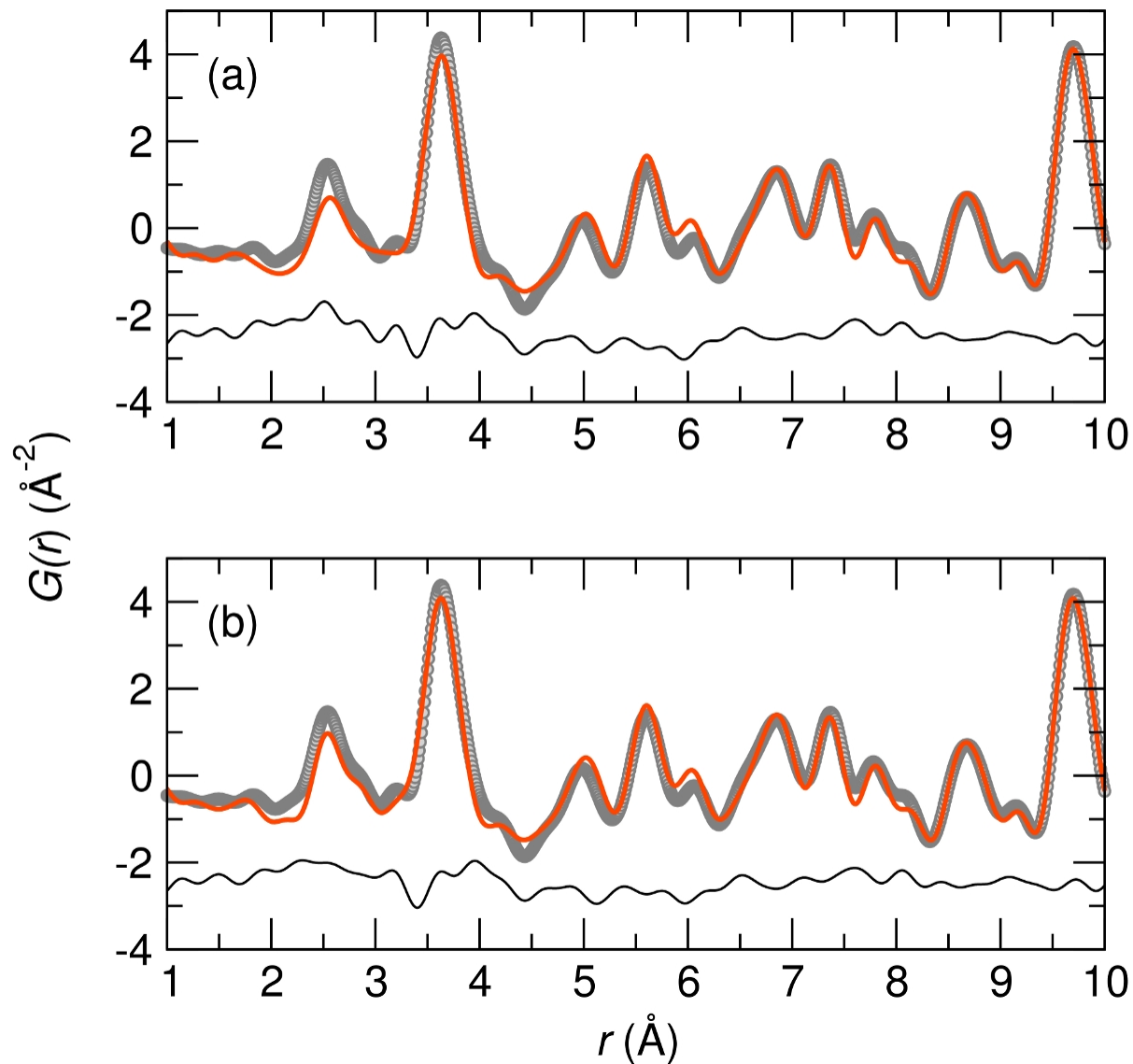
Im, Fellows, DenBaars, Seshadri, Kim, *Chem. Mater.* **21** (2009) 2157

# LaSr<sub>2</sub>AlO<sub>5</sub>: Local structure



Maximum entropy image restoration of the electron density from synchrotron x-ray data suggests non-spherical electron density at the mixed La/Sr site.

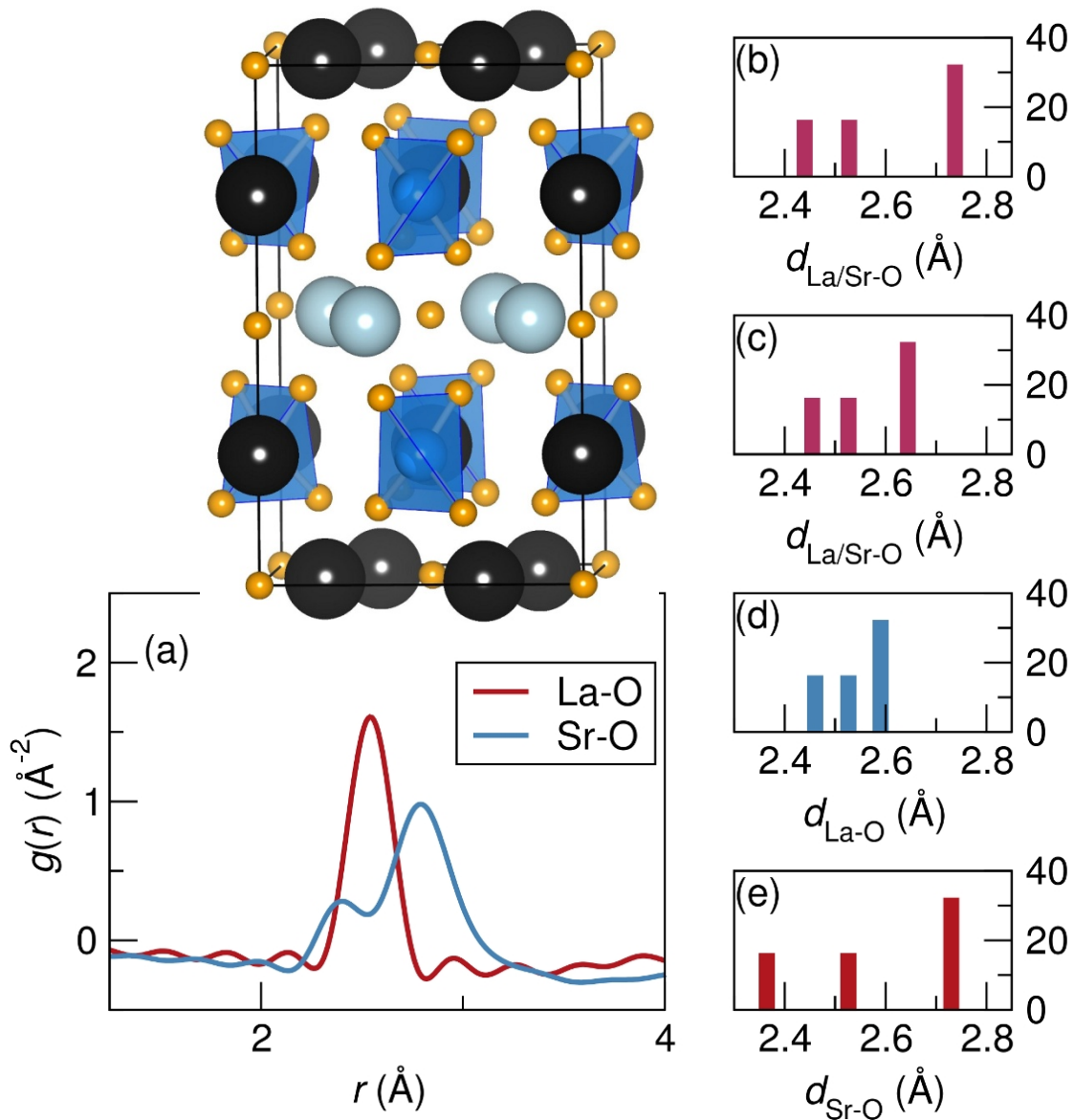
# LaSr<sub>2</sub>AlO<sub>5</sub>: Local structure



(a) PDF  $G(r)$  suggests local coordination of La and Sr are distinct; *not* well described by the average structure.

(b) Better fit using a structure that allows distinct coordination.

# LaSr<sub>2</sub>AlO<sub>5</sub>: Local structure



PDF local coordination of La and Sr are distinct; modeled using an ordered structure.

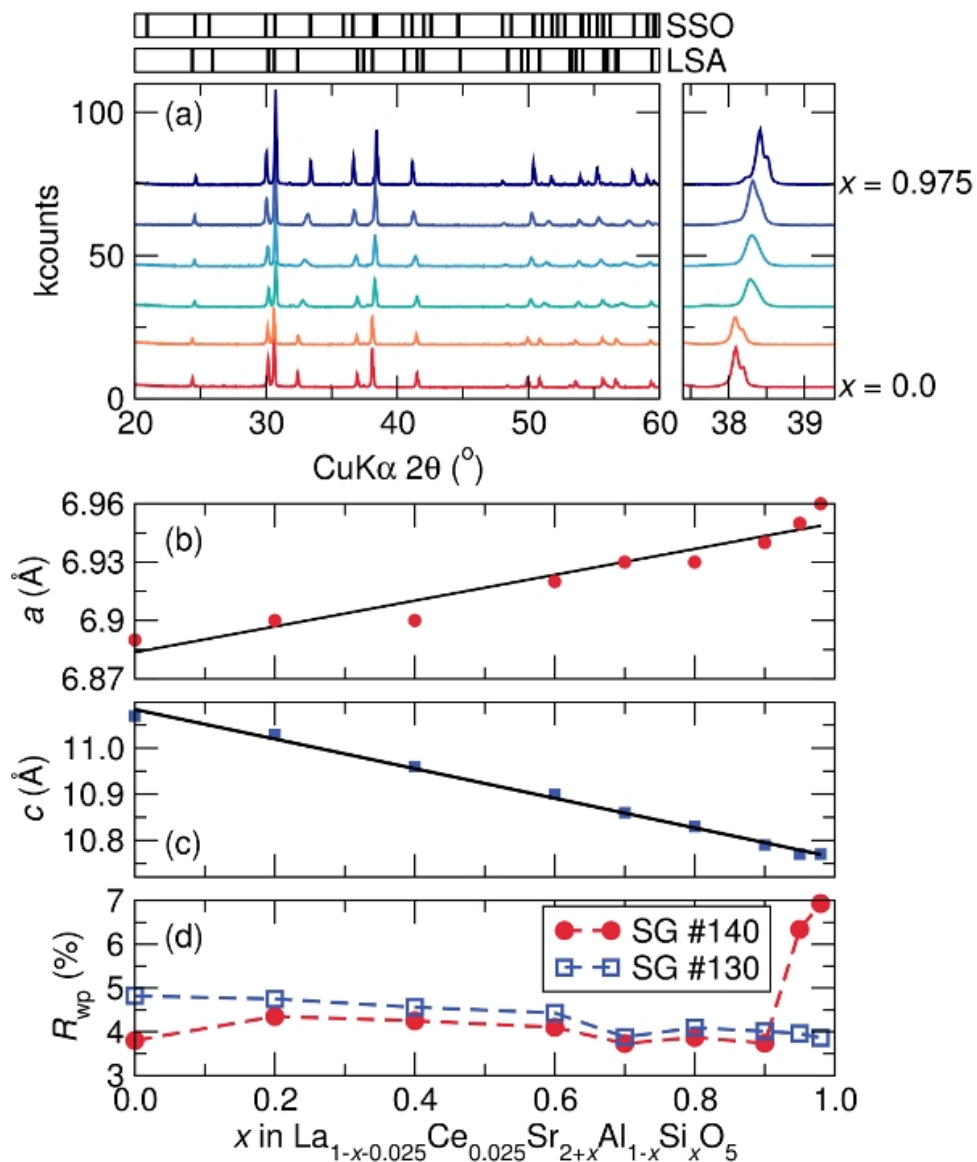
Coordination of La/Ce more regular than suggested by average structure.

counts per cell

Im, Page, Fellows, DenBaars, Seshadri, *J. Mater. Chem.* **19** (2009) 8761.



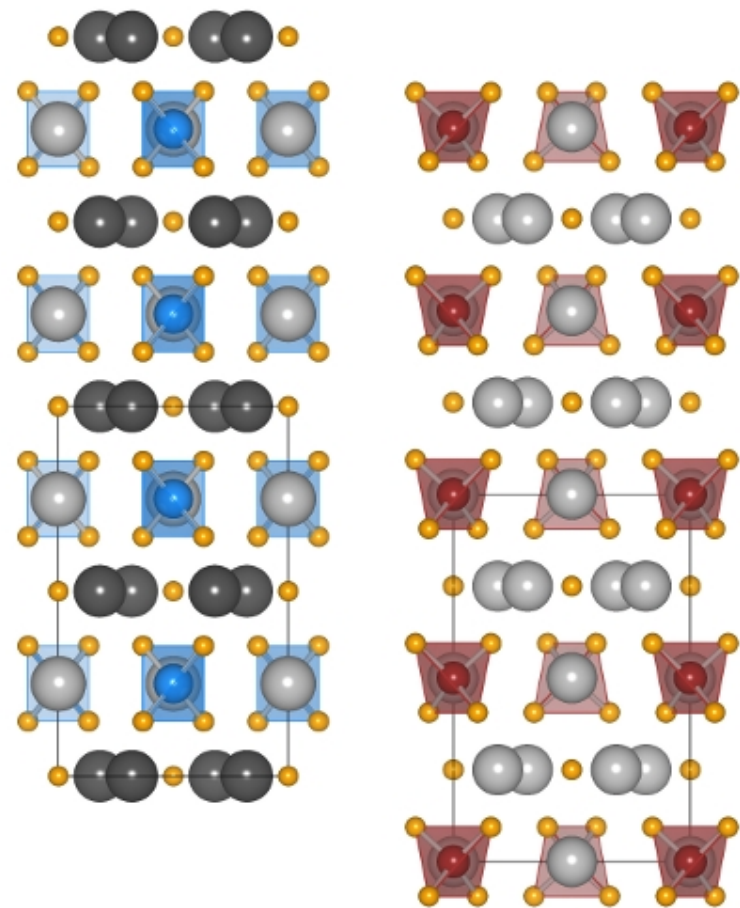
# LaSr<sub>2</sub>AlO<sub>5</sub>-Sr<sub>3</sub>SiO<sub>5</sub> solid solution



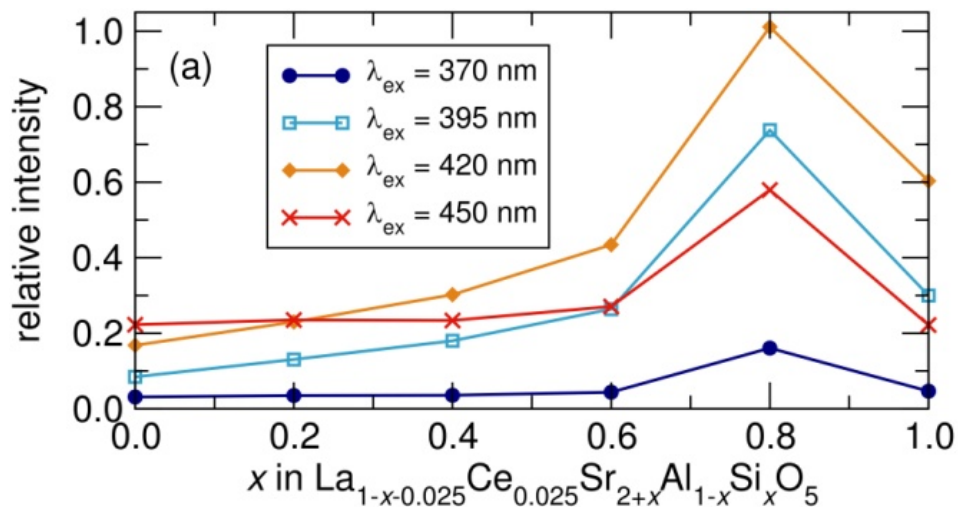
Solid solutions between two end-members with distinct crystal structures.

(a) LaSr<sub>2</sub>AlO<sub>5</sub>

(b) Sr<sub>3</sub>SiO<sub>5</sub>



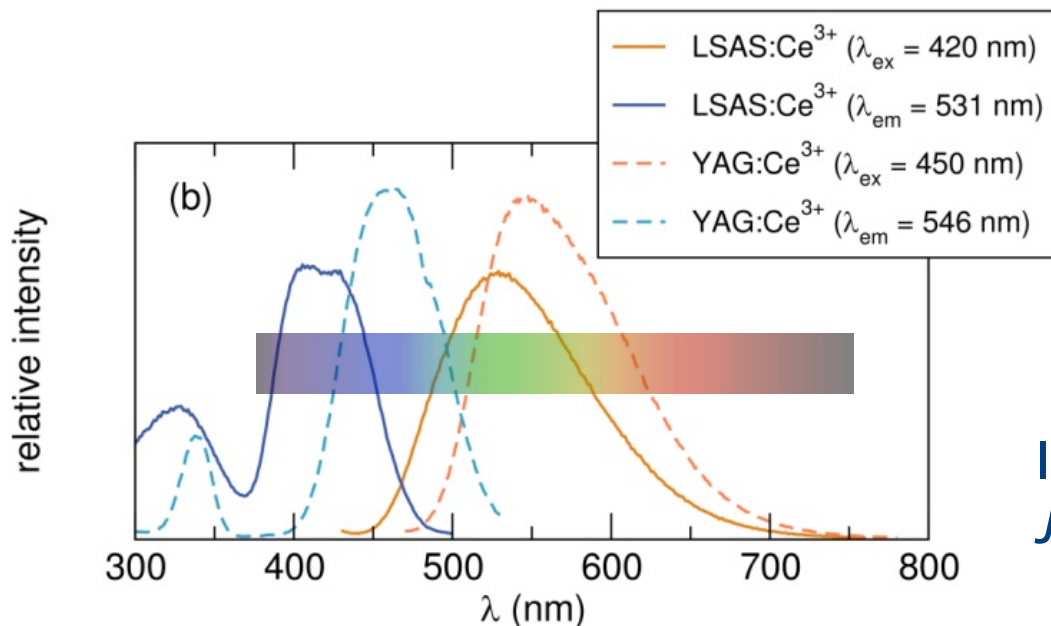
# LaSr<sub>2</sub>AlO<sub>5</sub>-Sr<sub>3</sub>SiO<sub>5</sub> solid solution



Emission intensity in the solid solution goes through a maximum.

Absorption and emission features remain appropriate for white lighting.

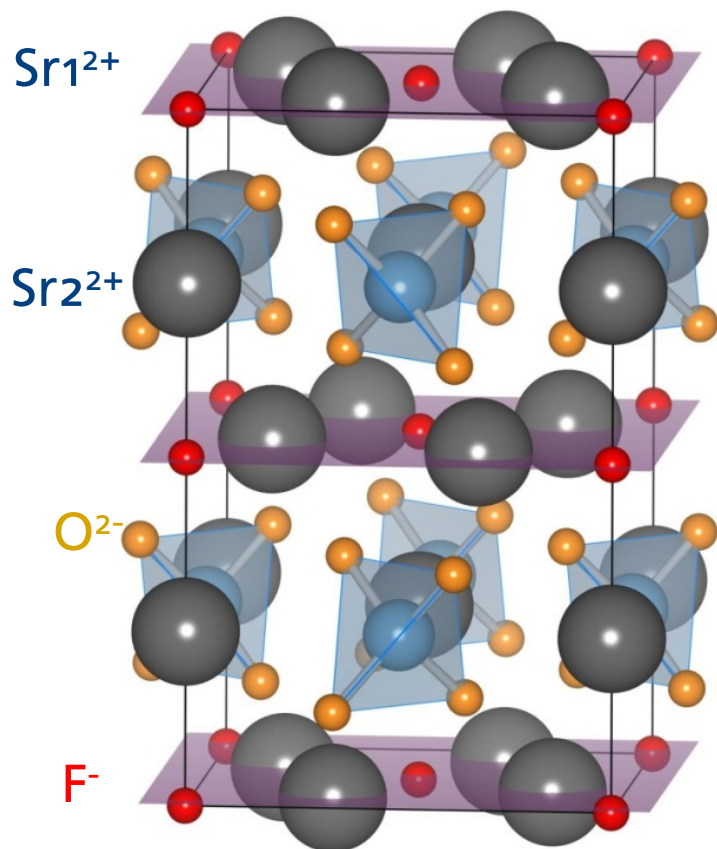
Much more efficient phosphor than the LSA end-member.



Im, Fellows, DenBaars, Seshadri, *J. Mater. Chem.* 19 (2009) 1325.

# Oxyfluorides: $\text{Sr}_{3-x}\text{AlO}_4\text{F}:\text{Ce}^{3+}_x$

$\text{Sr}_3\text{AlO}_4\text{F}$  ( $I4/mcm$ , 140)



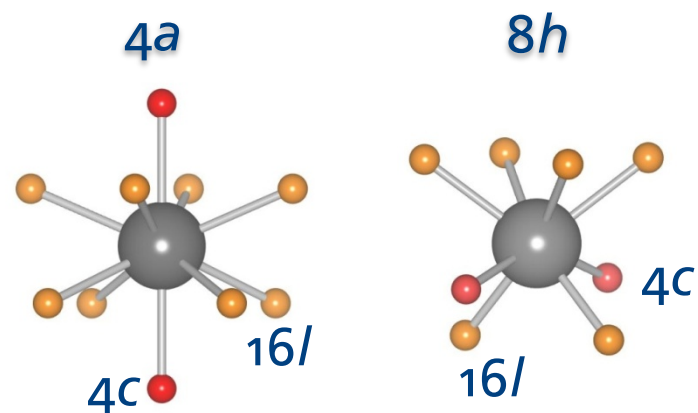
Compound, with (suggested) well ordered fluorine layers

Modification of the the LSA phosphor



$\text{La}^{3+}$  changed to  $\text{Sr}^{2+}$

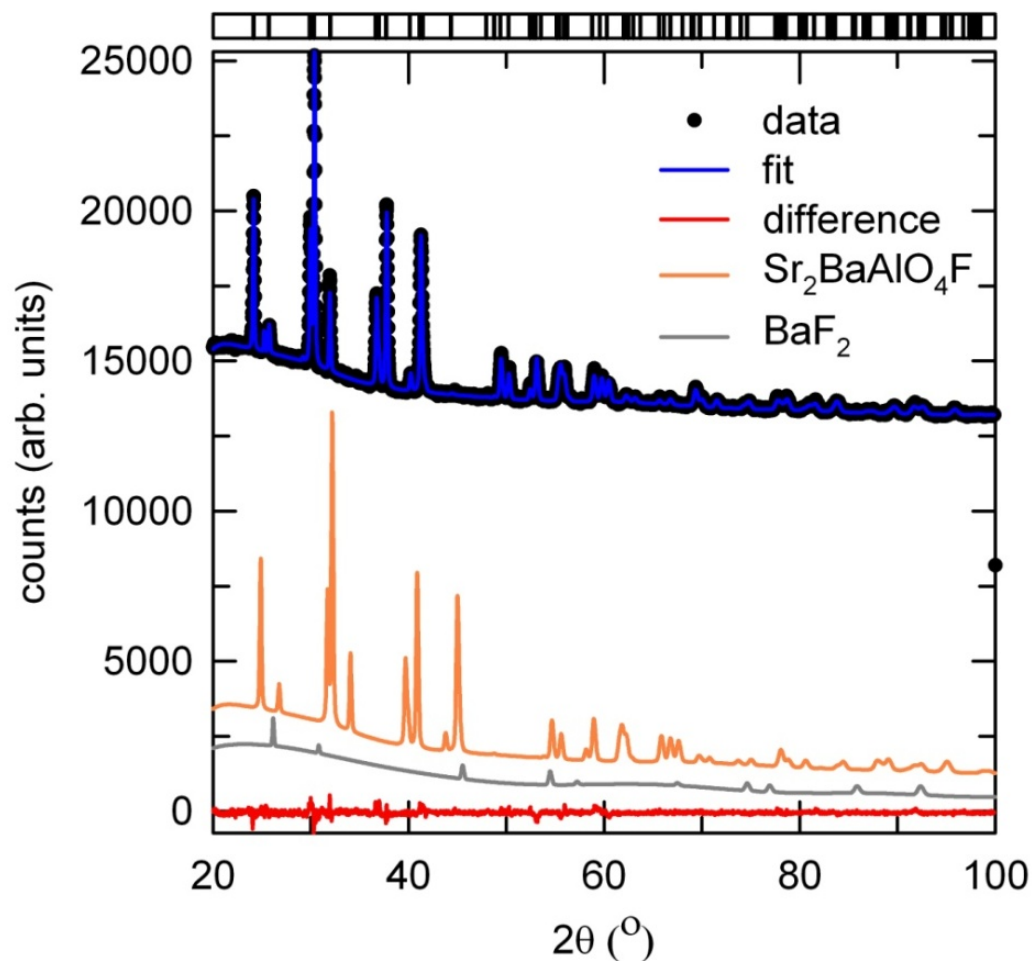
Fluoride for charge compensation



Two Sr sites for  $\text{Ce}^{3+}$  with distinct environment of O/F

Vogt *et al.* *J. Solid State Chem.* **144** (1999) 228.

# $\text{Sr}_{3-x}\text{Ba}_x\text{AlO}_4\text{F}:\text{Ce}^{3+}$ , an efficient green phosphor:



atoms	site	BVS
Sr	8h	1.99
Ba	4a	1.93

Ba addition into  
SAF: $\text{Ce}^{3+}$  stabilizes the  
host

$$a = b = 6.9189(6) \text{ \AA}, c = 11.2071(7) \text{ \AA}$$

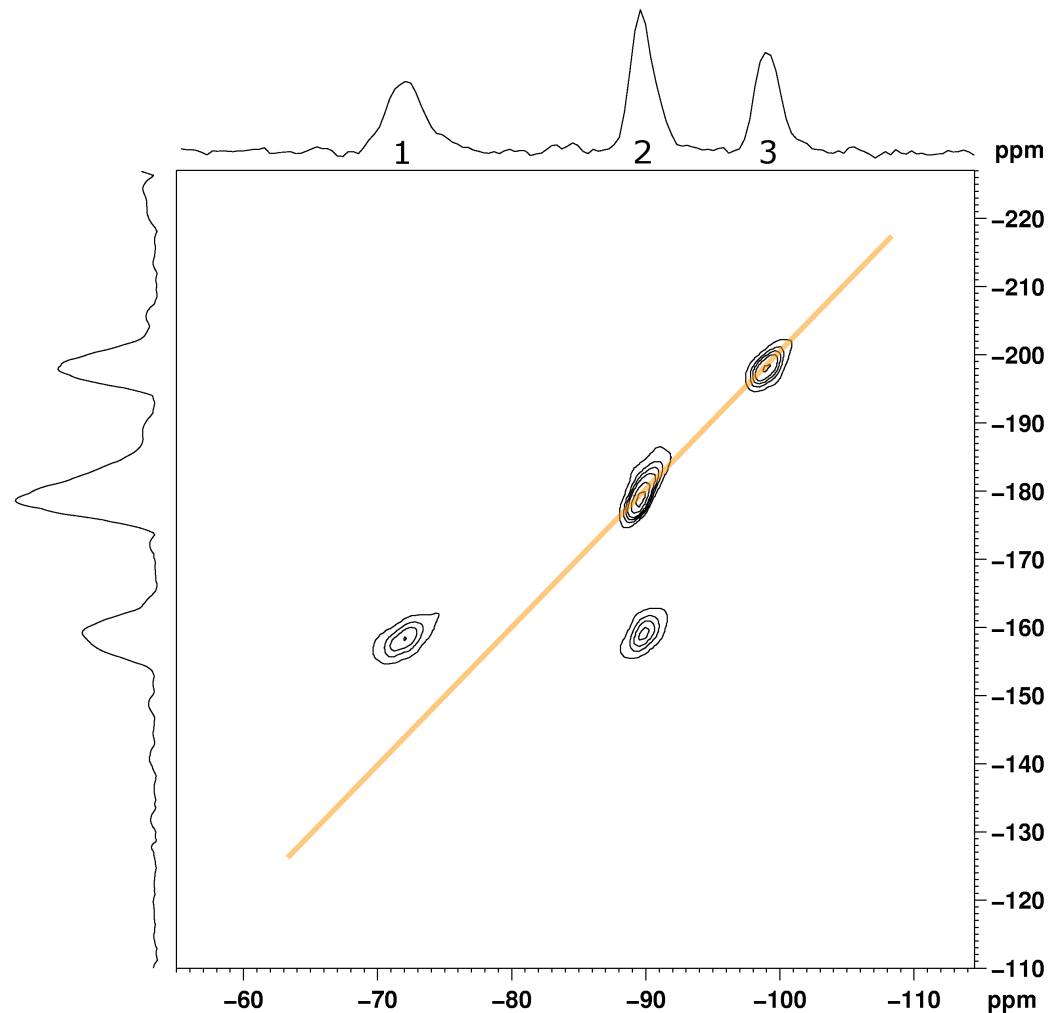
# $\text{Sr}_{3-x}\text{Ba}_x\text{AlO}_4\text{F}:\text{Ce}^{3+}$ , an efficient green phosphor:

Locating F in the structure is key since optical properties depend on the nature of the  $\text{Ce}^{3+}\text{O}_x\text{F}_y$  coordination.

BABA (back-to-back) 2D MAS NMR, of  $^{19}\text{F}$ , following the single-quantum/double-quantum correlations allows a picture of F positions to emerge.

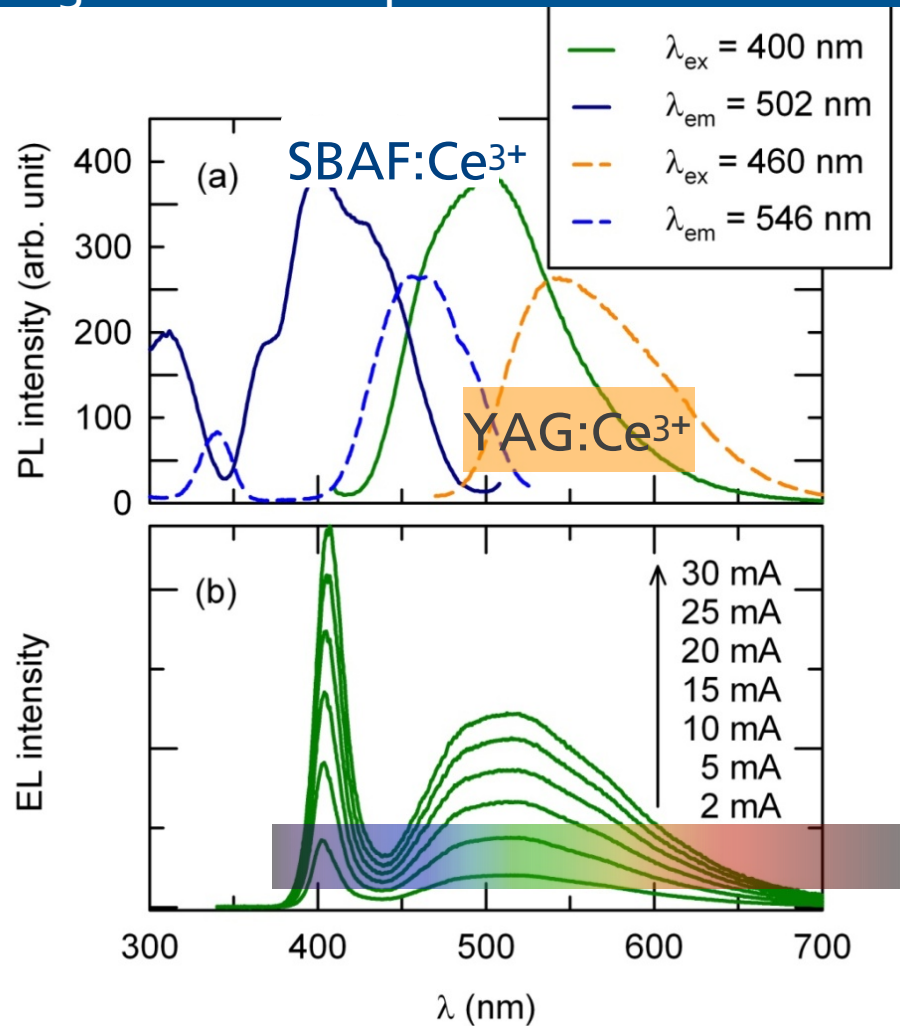
3 is impurity.

2—2 correlations are important  
1—2 correlations are important  
1—1 are not.



Dr. Jerry Hu, UCSB MRL

# $\text{Sr}_{3-x}\text{Ba}_x\text{AlO}_4\text{F}:\text{Ce}^{3+}$ , an efficient green phosphor:



## Quantum efficiency (QE) data

Sample	QE (%)
SAF:Ce <sup>3+</sup>	83
SBAF:Ce <sup>3+</sup>	95
YAG:Ce <sup>3+</sup>	81



30 lm/W at 20 mA  
( $\lambda_{\text{max}} = 405$  nm chip)

Prototype LED image

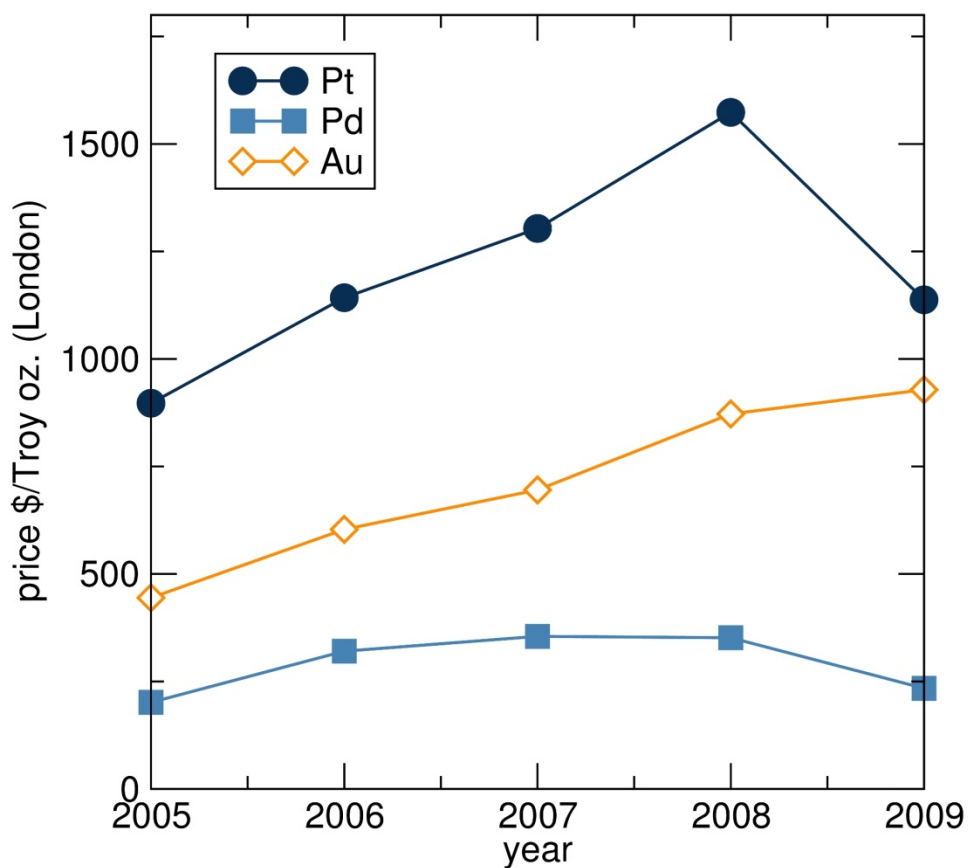
Im, Fourné, Brinkley, Sonoda, Nakamura, DenBaars, Seshadri, *Optics Express* **17** (2009) 22673; Im, Brinkley, Mikhailovsky, Hu, DenBaars, Seshadri, *Chem. Mater.* (ASAP).

# Pd<sup>2+</sup> -substituted oxides for catalysis

# PGM use

Motivation: Reducing PGM use in catalysts

PGM = Platinum Group Metal

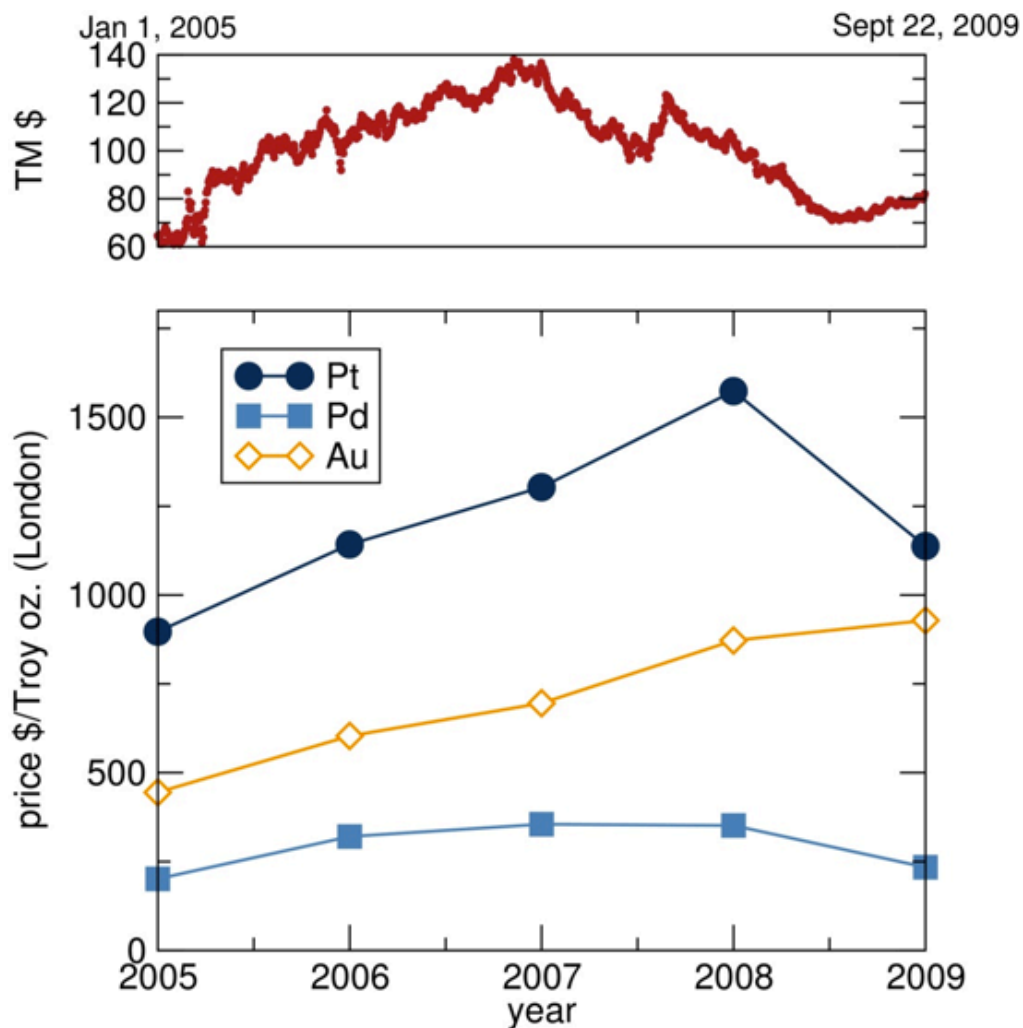


Fe	Co	Ni	Cu
Ru	Rh	Pd	Ag
Os	Ir	Pt	Au

Data from [kitco.com](http://www.kitco.com)



# PGM use



More that 50% of Pt use is in automotive catalysis: 3-way converters.

Price today (kitco):

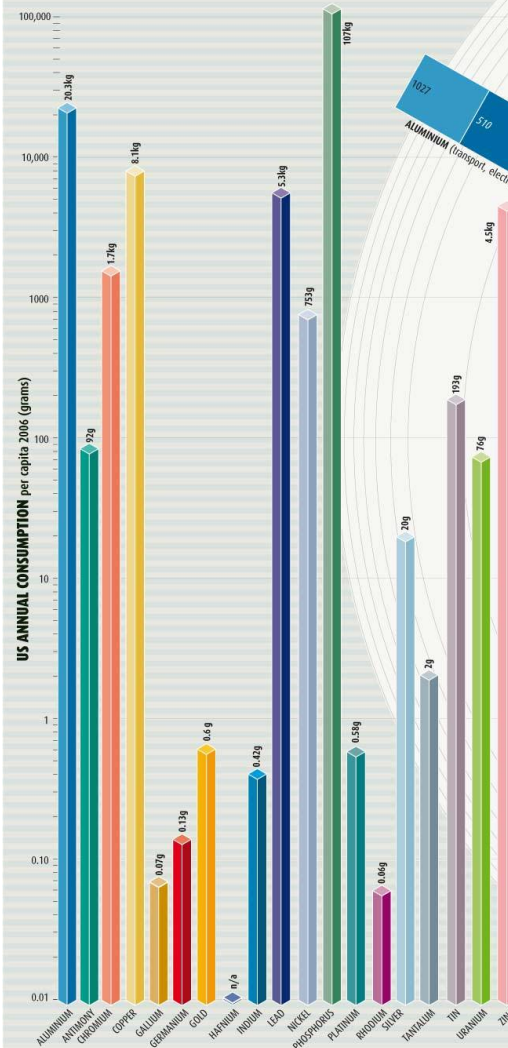
Pd: \$529/Troy oz.

Pt: \$1692/Troy oz.

Data from kitco.com and google finance

# PGMs: A dwindling resource

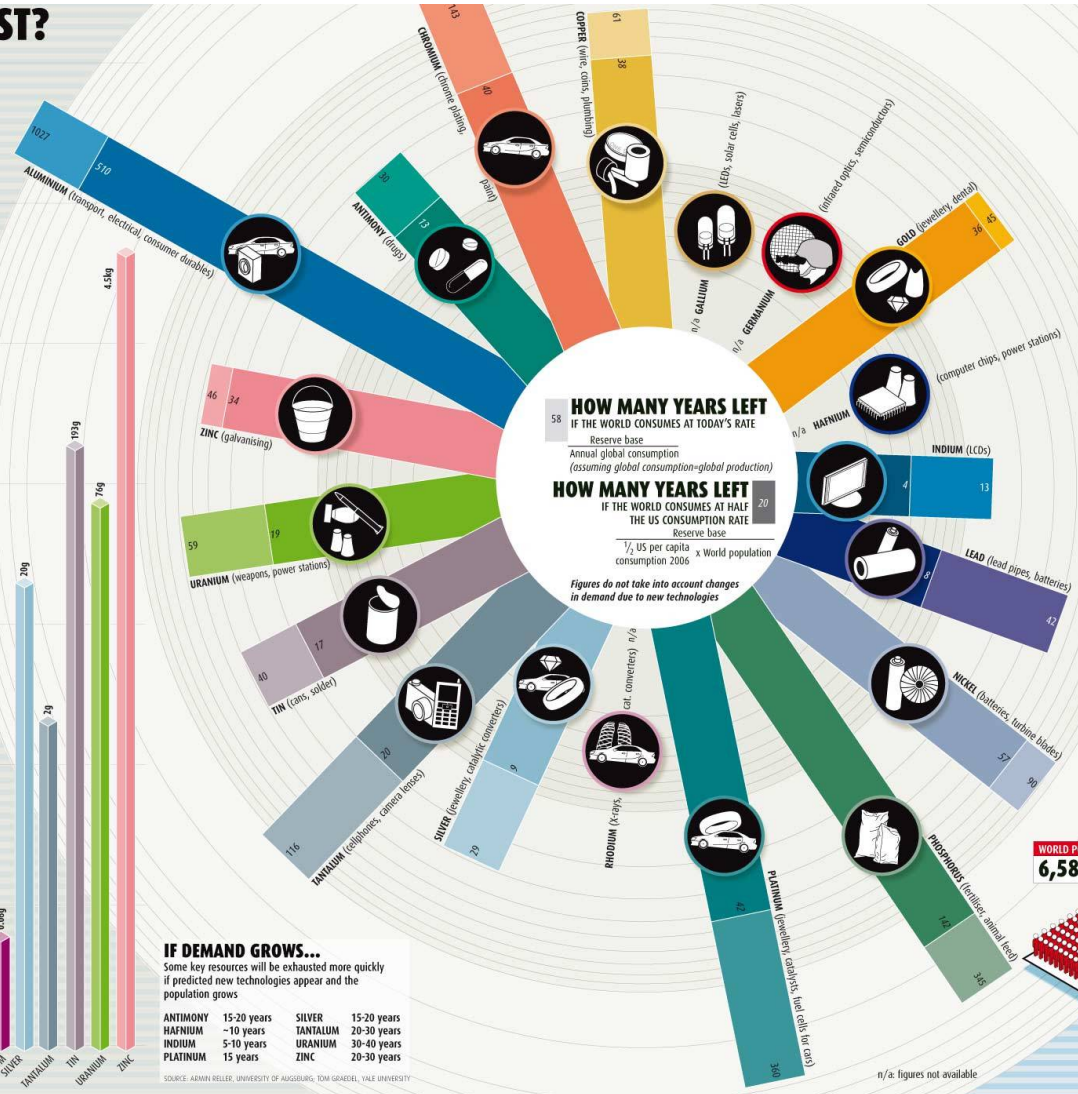
## HOW LONG WILL IT LAST?



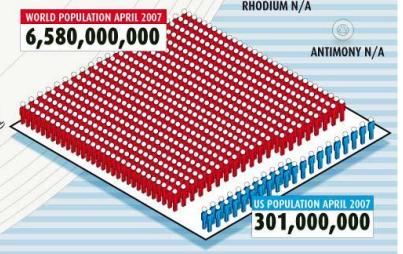
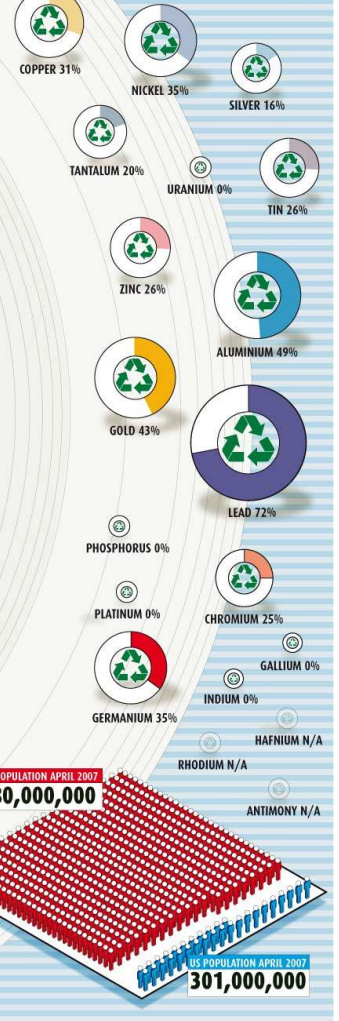
**IF DEMAND GROWS...**  
Some key resources will be exhausted more quickly if predicted new technologies appear and the population grows

ANTIMONY	15-20 years	SILVER	15-20 years
HAFNIUM	~10 years	TANTALUM	20-30 years
INDIUM	5-10 years	URANIUM	30-40 years
PLATINUM	15 years	ZINC	20-30 years

SOURCE: ARMIN RELLER, UNIVERSITY OF JOGGING; TOM GRADTEL, YALE UNIVERSITY



## PROPORTION OF CONSUMPTION MET BY RECYCLED MATERIALS (%)

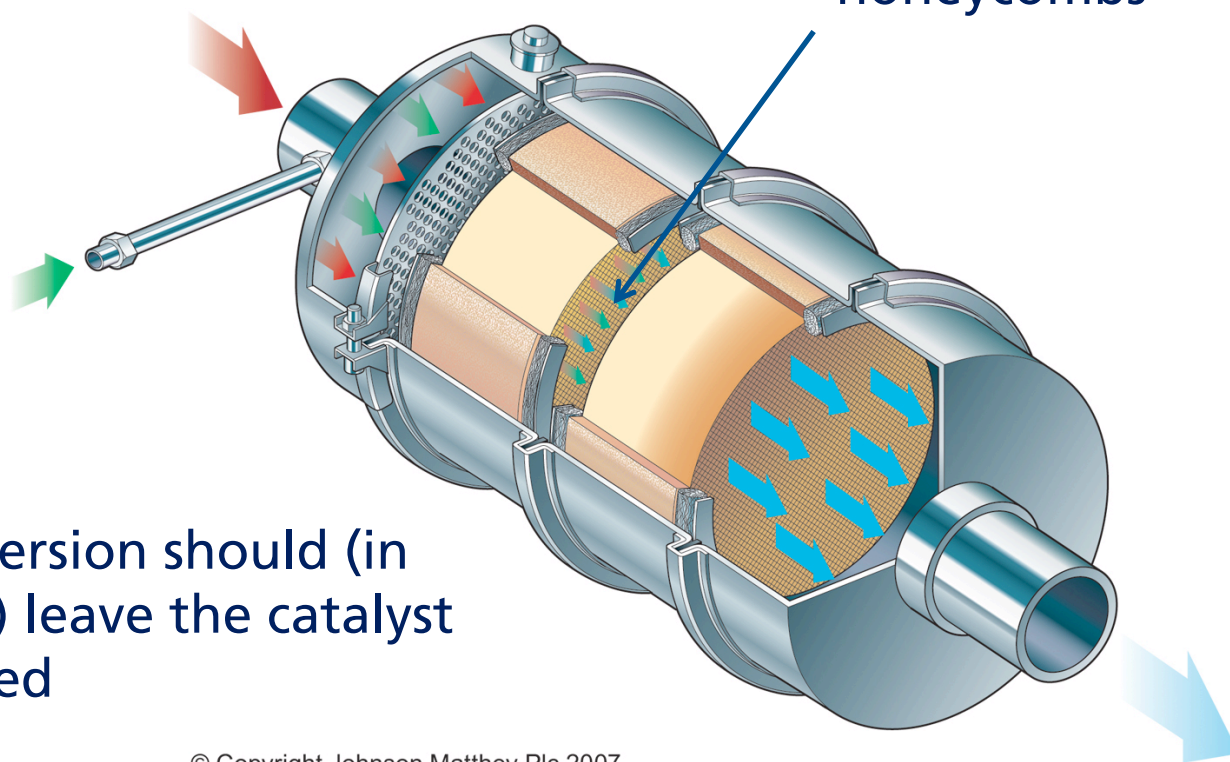


Earth's Natural Wealth: An Audit, New Scientist, 23 May 2007.

# Three-way catalytic convertors

hydrocarbons + CO + NO<sub>x</sub>

Catalyst: Oxide-supported  
PGM nanoparticles  
dispersed on ceramic  
honeycombs



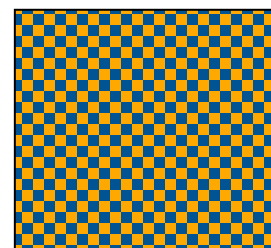
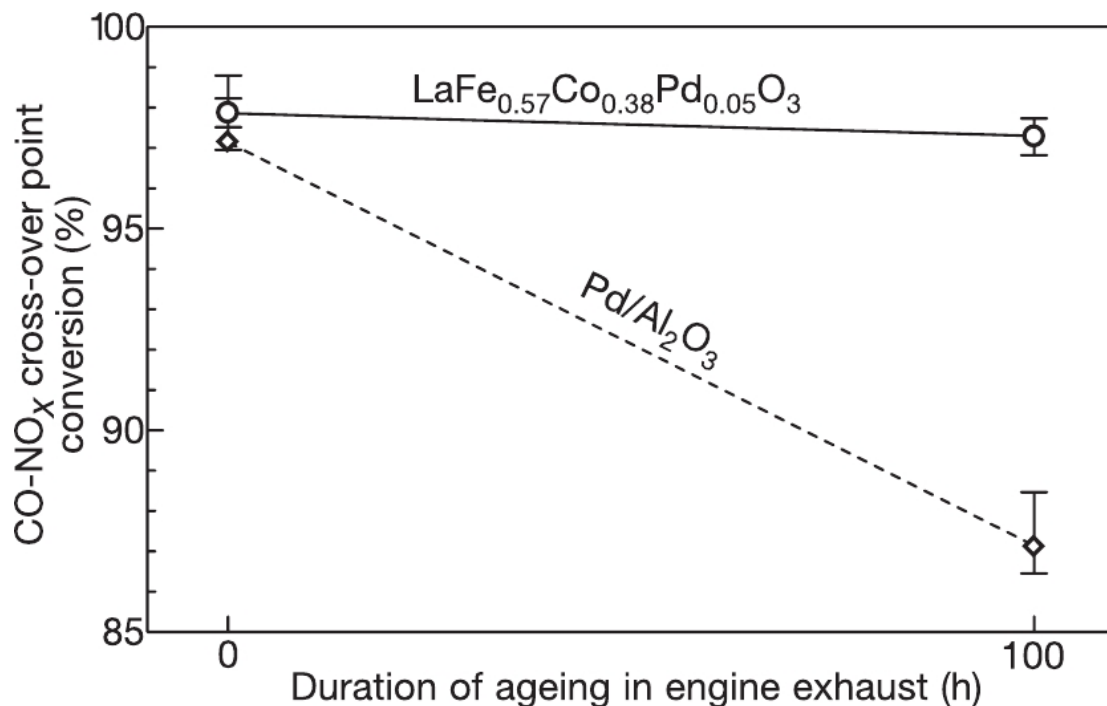
The conversion should (in principle) leave the catalyst unchanged

© Copyright Johnson Matthey Plc 2007

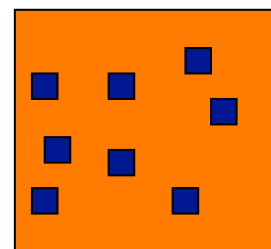
N<sub>2</sub> + CO<sub>2</sub> + H<sub>2</sub>O

# New catalyst paradigms

## "Intelligent catalysts"



Oxidized state  
(Pd<sup>2+</sup> in lattice)



Reduced state  
(supported Pd<sup>0</sup>  
nanoparticles)

Nishihata, Mizuki, Akao, Tanaka, Uenishi, Kimura, Okamoto, Hamada, Self-regeneration of a Pd-perovskite catalyst for automotive emissions control, *Nature* **418** (2002) 164.

# Pd<sup>2+</sup> -substituted oxides for catalysis

***The need:*** Make better use of PGMs in catalysis, especially 3-way.

***The task:*** Verify that catalysts can be "intelligent" look for new hosts, new mechanisms ...

Requires close collaboration between people working in heterogeneous catalysis and in inorganic materials.

***The outcome:*** A new paradigm for catalysis: Catalysis by PGM ions ?

# BaCeO<sub>3</sub>:Pd

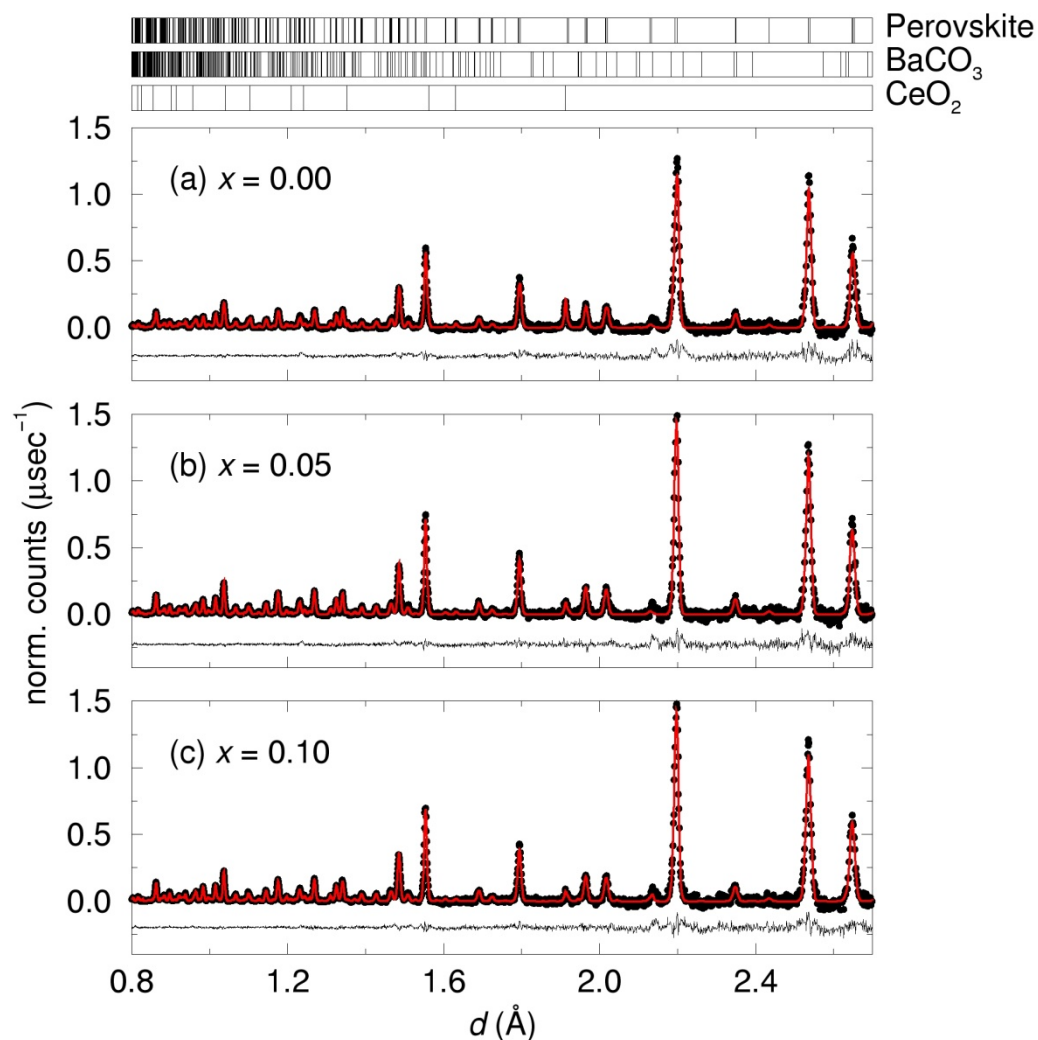
Perovskite BaCeO<sub>3</sub> as a host:

Ba<sup>2+</sup> creates an oxide lattice that is highly oxidizing; Ce<sup>4+</sup>/Ce<sup>3+</sup> are large and somewhat forgiving in terms of coordination.

BaCe<sub>1-x</sub>Pd<sub>x</sub>O<sub>3-δ</sub>  
with  $x = 0, 0.05, \text{ and } 0.10$

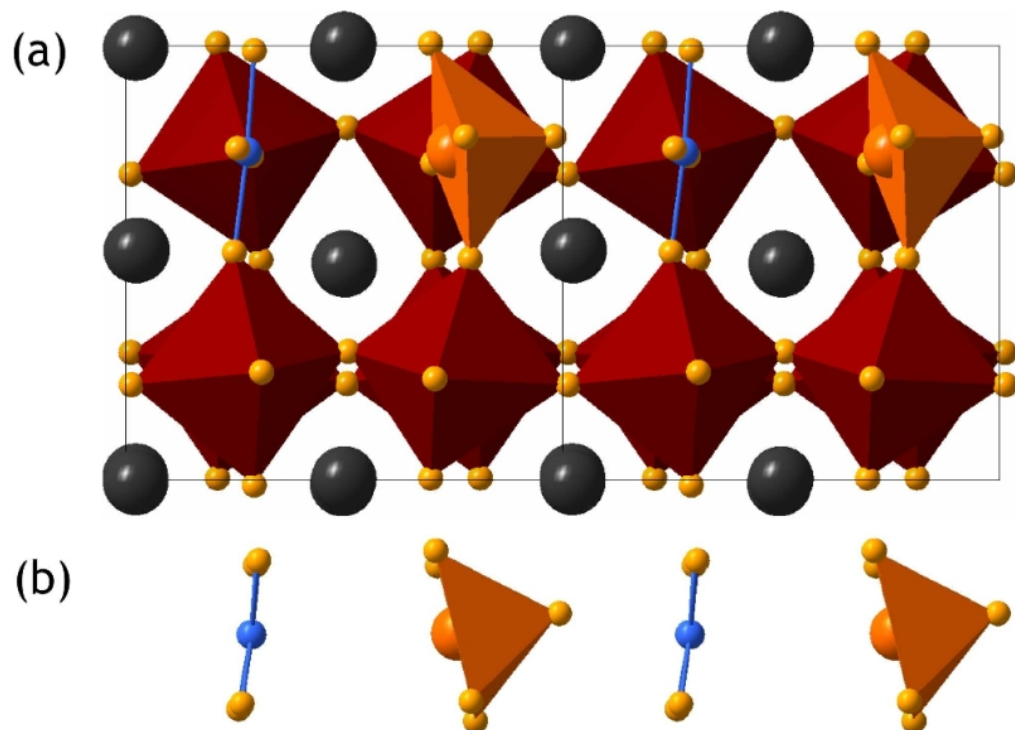
Samples prepared by solid state routes in O<sub>2</sub>, between 800°C and 1000°C.

Neutron refinements [NPDF, Los Alamos]  $\Rightarrow \delta \sim x$   
so Pd in lattice is Pd<sup>2+</sup>



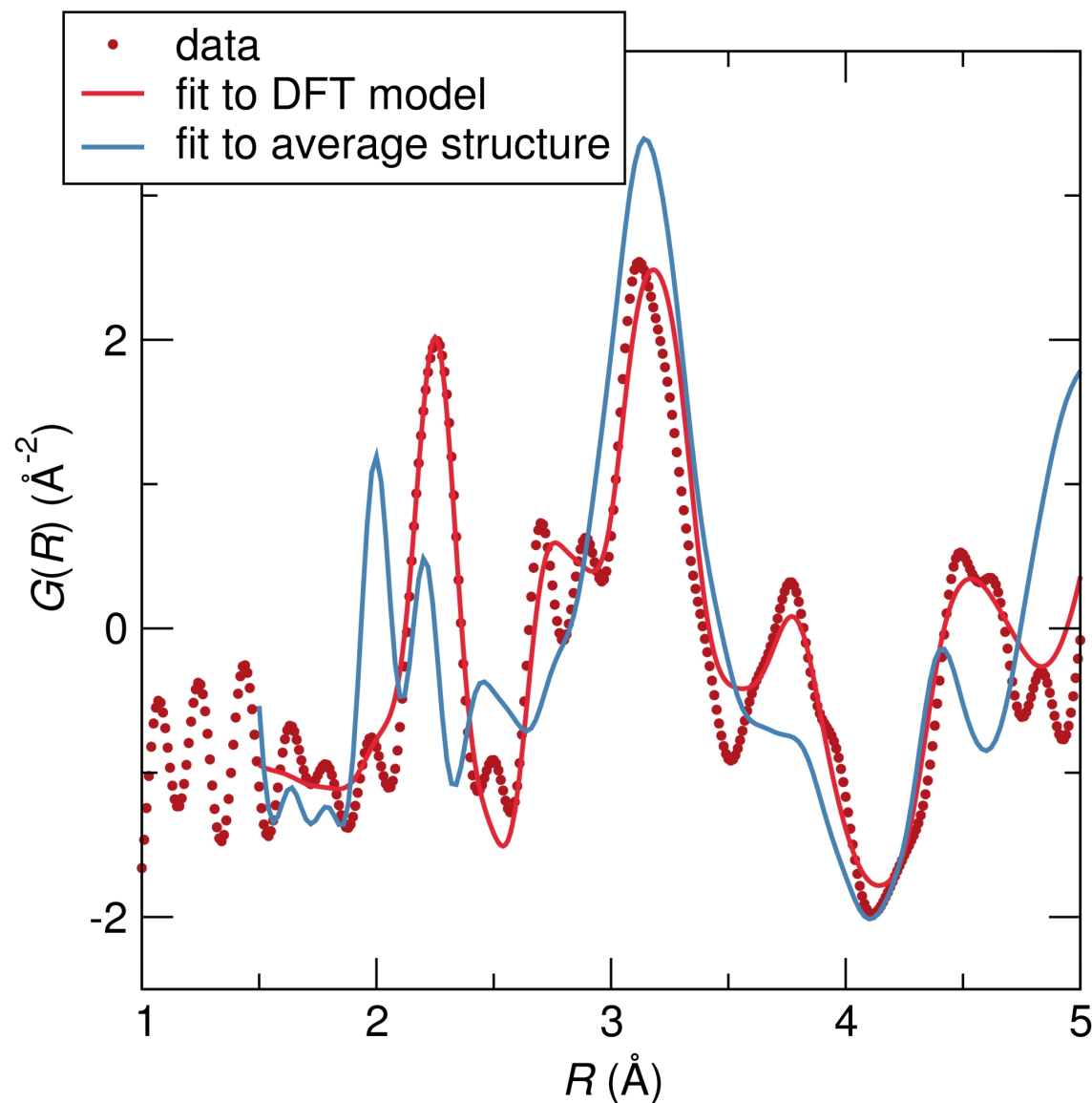
# BaCeO<sub>3</sub>:Pd: Modeling the substitution

DFT optimized structure of 2x2x2 perovskite cell with one Pd<sup>2+</sup> substituting Ce<sup>4+</sup> and one oxygen vacancy:  $x = 12.5$  [Bennett and Rappe, Penn]



The oxygen vacancy prefers to be proximal to Pd<sup>2+</sup> and leaves it nearly square planar.

# BaCeO<sub>3</sub>:Pd: Modeling the substitution



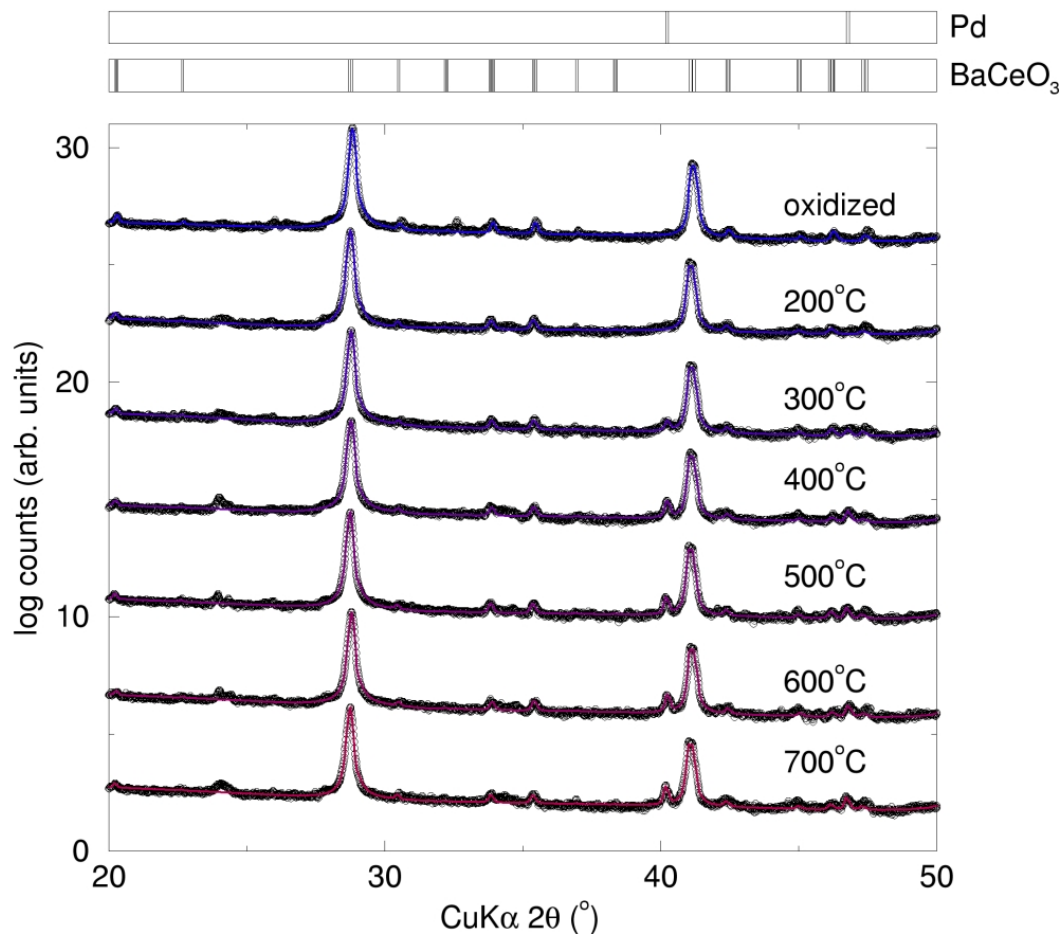
The (PDF) for the  $x = 0.10$  sample is well-modeled by the DFT structure, but not by the average structure

Magnetic measurements suggest a diamagnetic system.



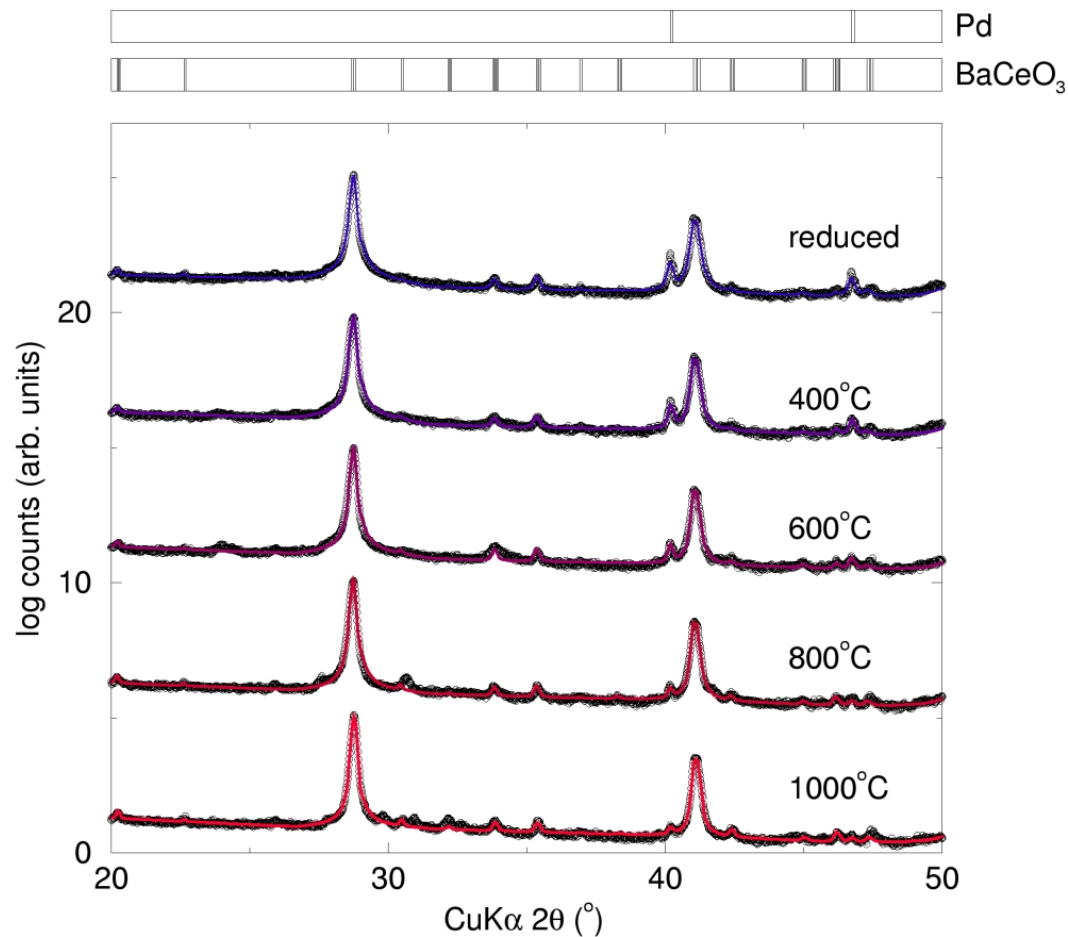
# BaCeO<sub>3</sub>:Pd: Intelligent ?

Egress of Pd as *fcc*-Pd upon H<sub>2</sub> reduction of  $x = 0.10$  at different temperatures [5%-H<sub>2</sub>/95%-N<sub>2</sub>]



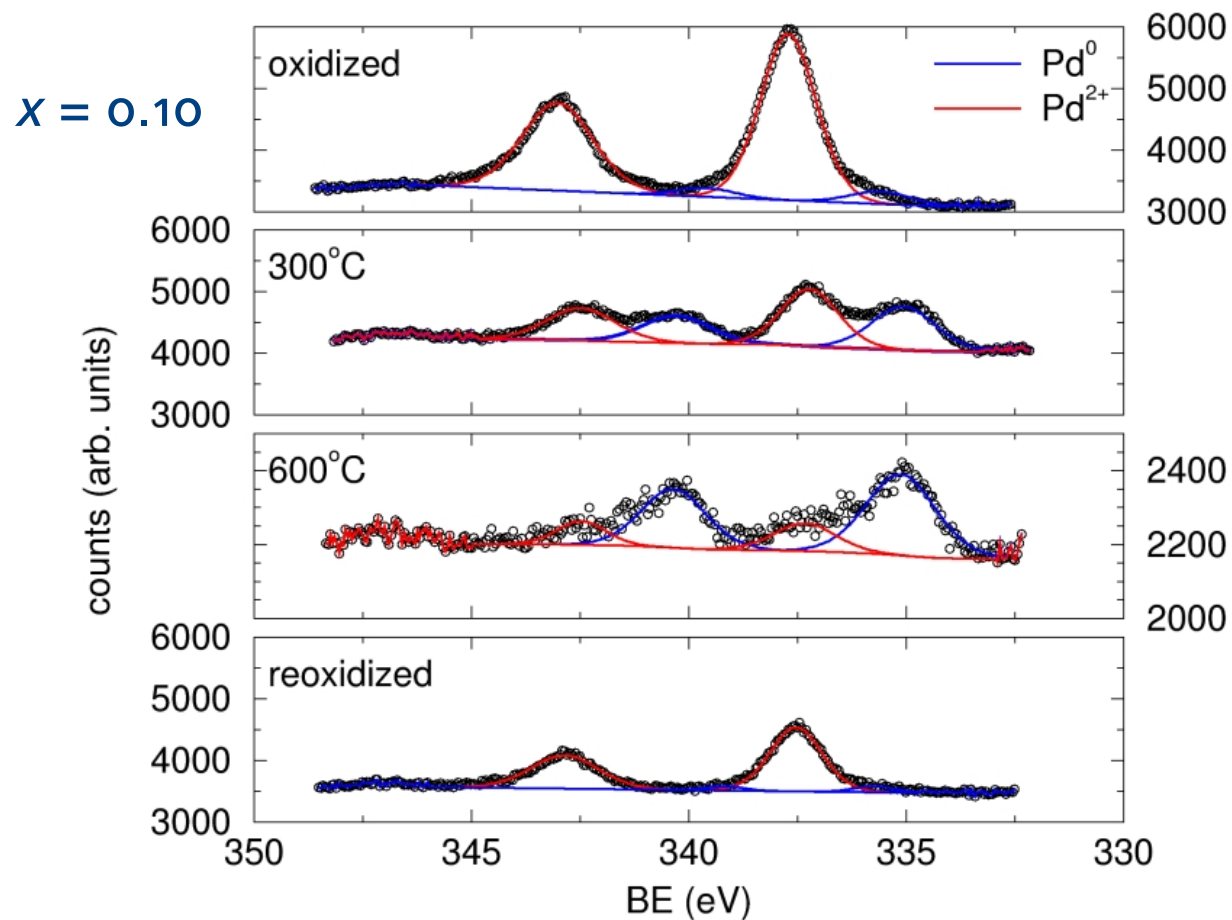
# BaCeO<sub>3</sub>:Pd: Intelligent ?

Ingress of Pd into the perovskite on heating the reduced two-phase sample in O<sub>2</sub> at different temperatures.



# BaCeO<sub>3</sub>:Pd: Intelligent ?

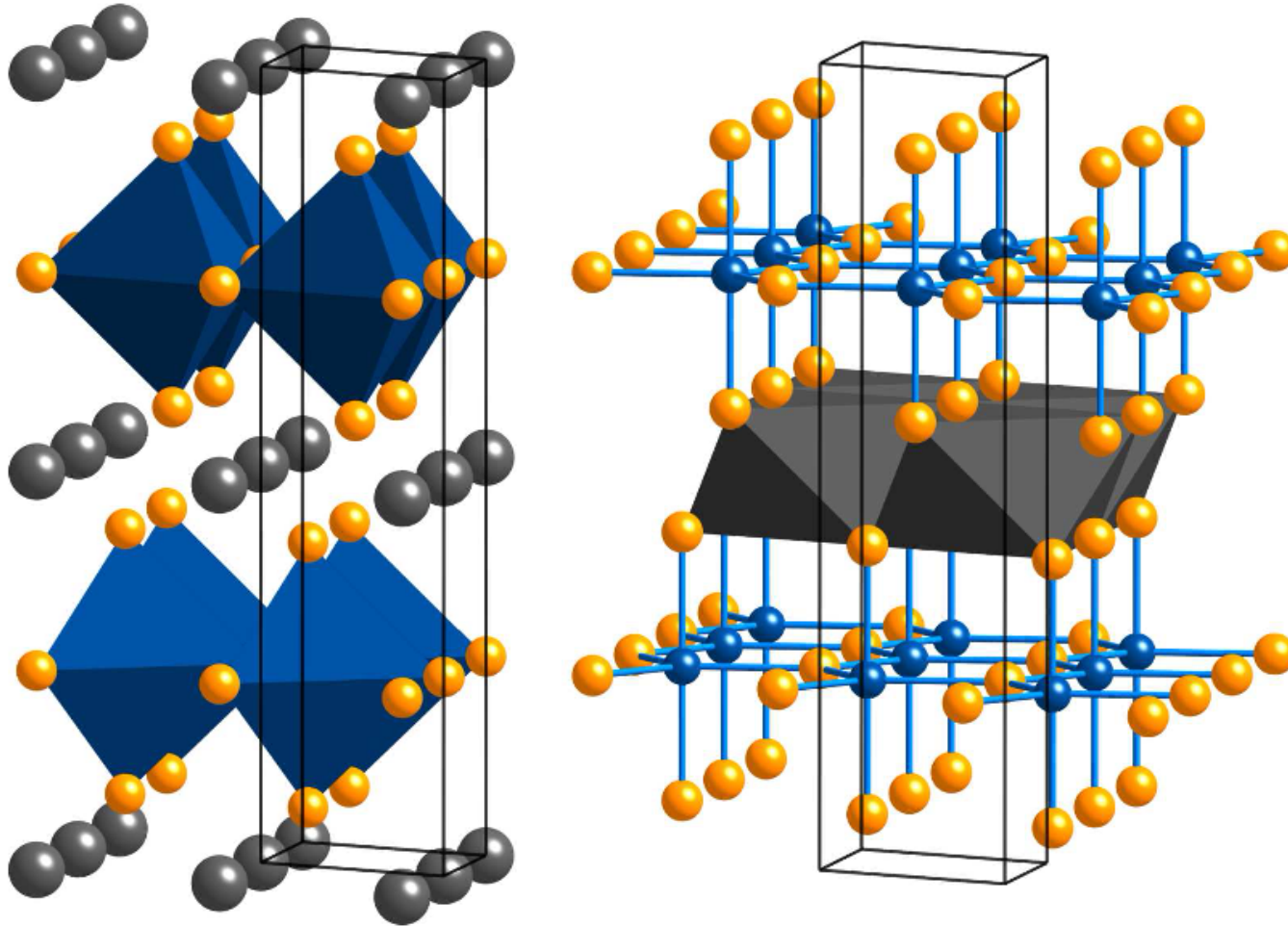
Pd core levels of the oxidized and reduced samples.



Ce is always Ce<sup>4+</sup>

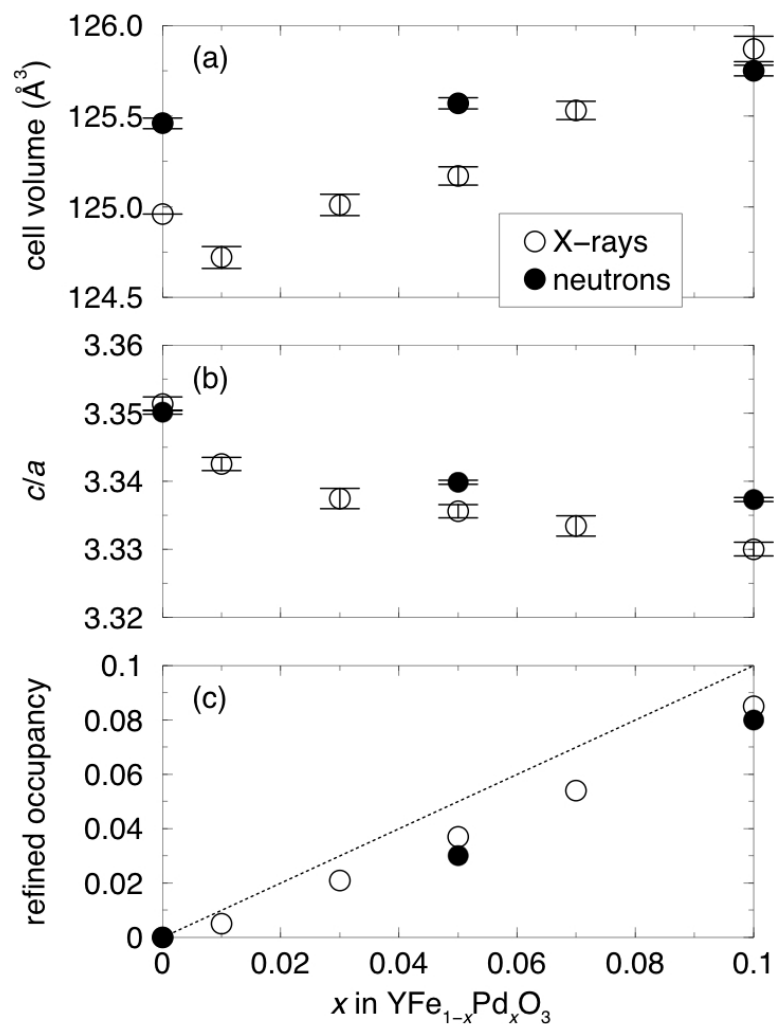
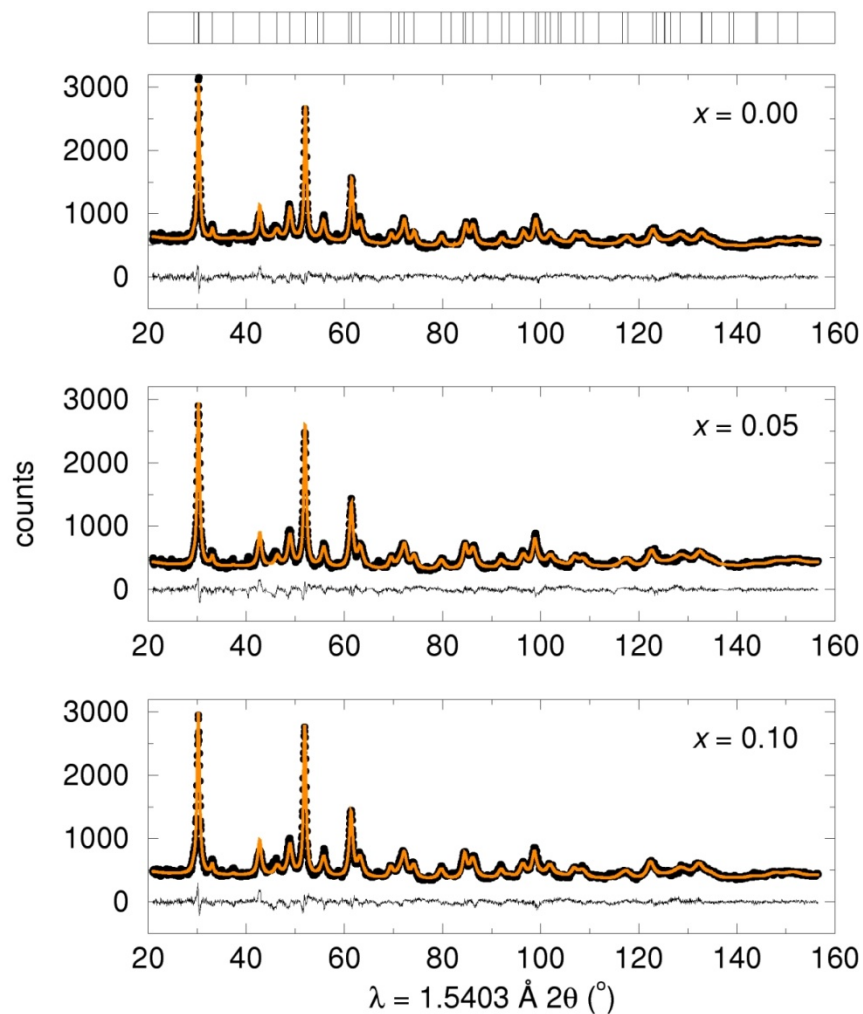
Li, Singh, Bennett, Page, Weaver, Zhang, Proffen, Rappe, Scott, Seshadri, *Chem. Mater.* 19 (2007) 1418.

# YFeO<sub>3</sub>:Pd



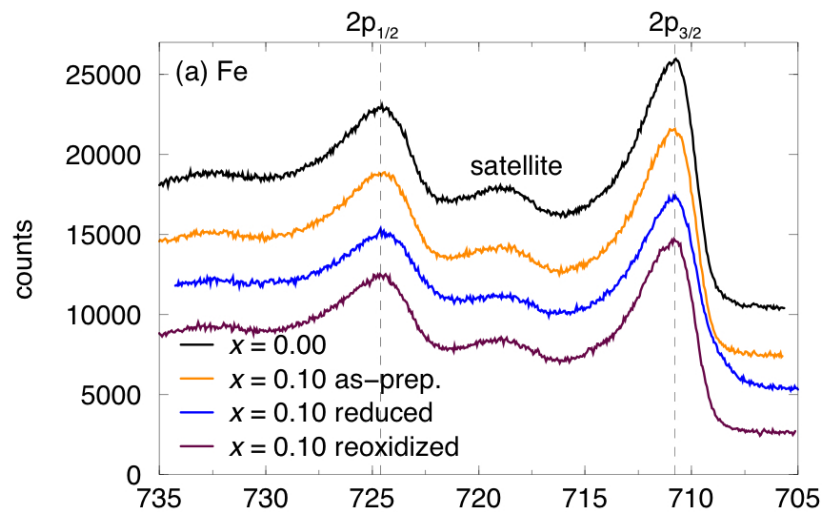
YFeO<sub>3</sub> exists as a stable perovskite or a metastable (sol-gel prep.) hexagonal compound with the YAIO<sub>3</sub> structure. 5-coordinate Fe<sup>3+</sup> in the hexagonal structure.

# YFeO<sub>3</sub>:Pd

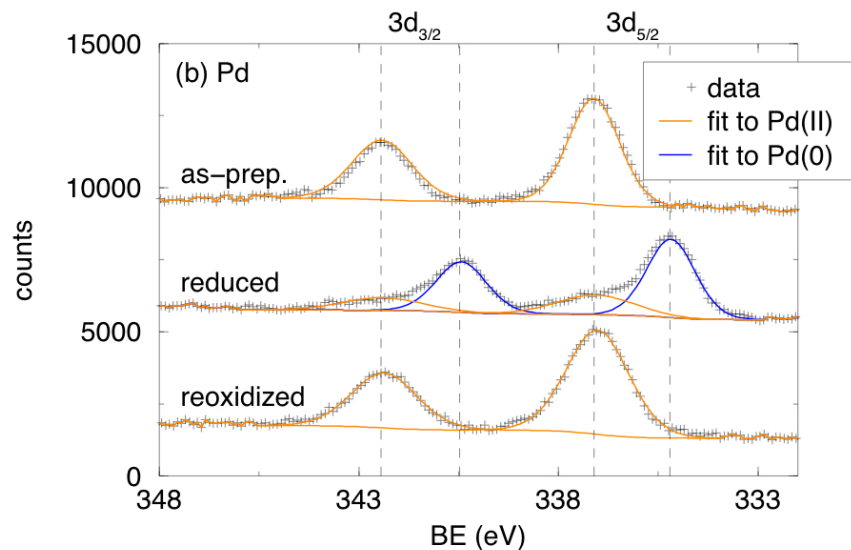


Neutron diffraction [NIST, BT-1] confirms substitution up to 8%.

# YFeO<sub>3</sub>:Pd – Ingress and egress of Pd



Fe core levels unchanged with oxidation/reduction.

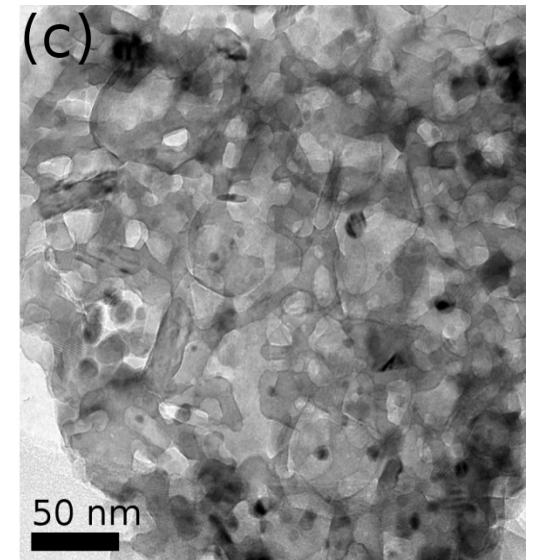
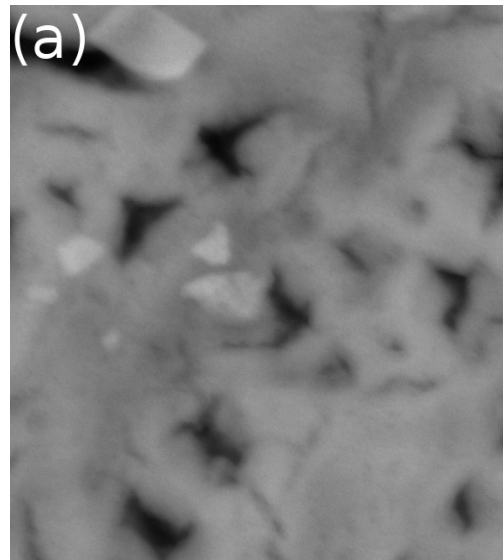


Pd core levels suggest ingress and egress under redox. Not all Pd<sup>2+</sup> reduced.

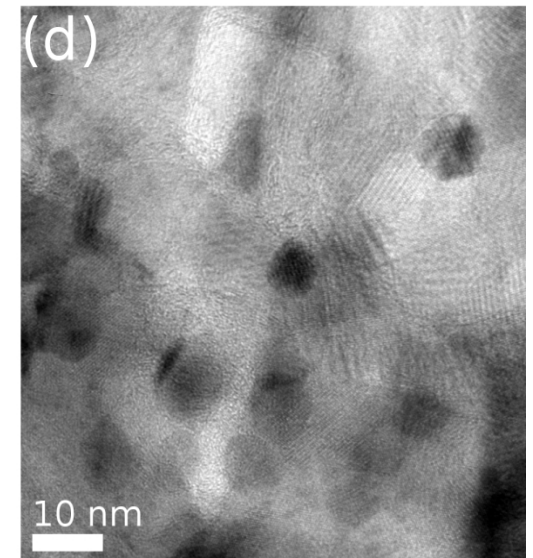
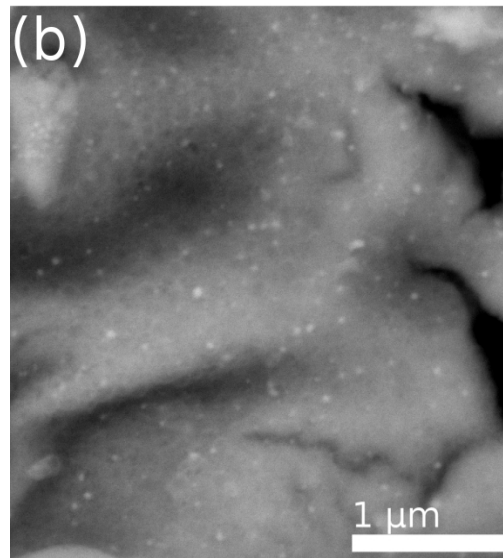
# YFeO<sub>3</sub>:Pd – Ingress and egress of Pd

Pd nanoparticles seen after reduction in backscattering SEM and in TEM

YFeO<sub>3</sub> [10%Pd]  
as-prepared

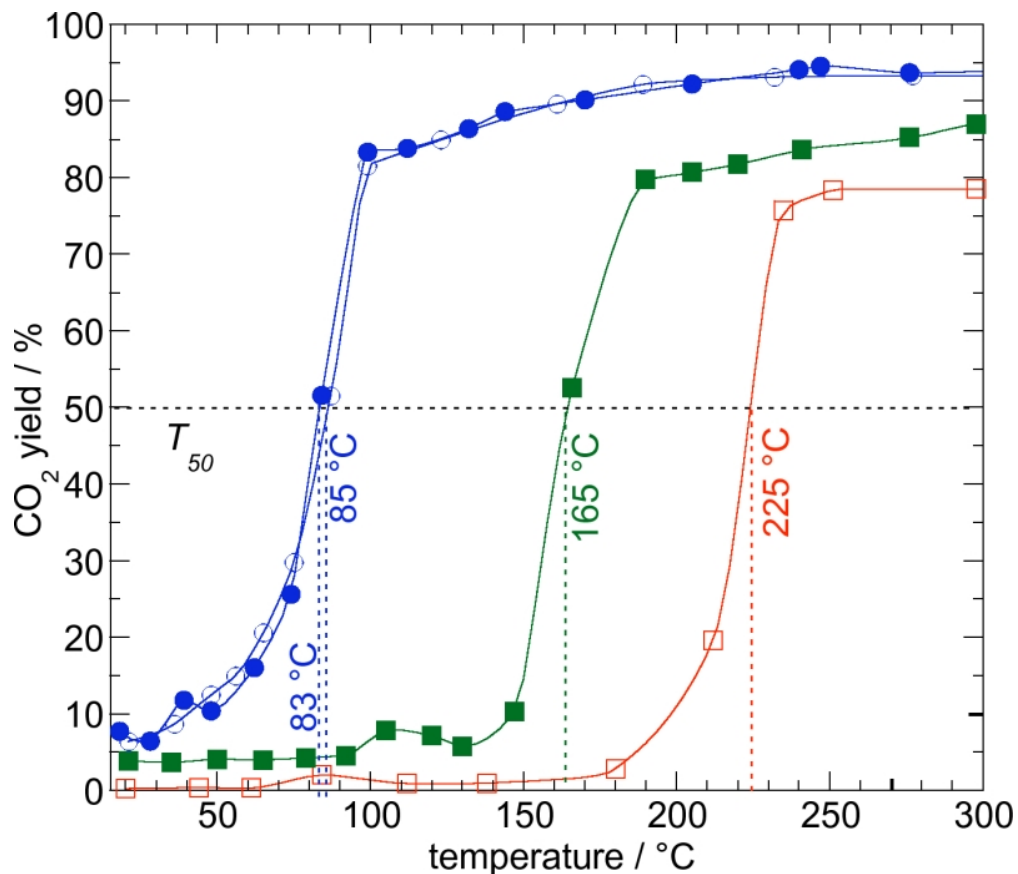


after 1<sup>st</sup> reduction  
[also (c) and (d)]



# BaCeO<sub>3</sub>:Pd: The catalytically active species

Good CO oxidation catalyst despite low surface area. The best catalyst is actually the as-prepared or re-oxidized sample with Pd<sup>2+</sup>



Oxidized  
Reduced

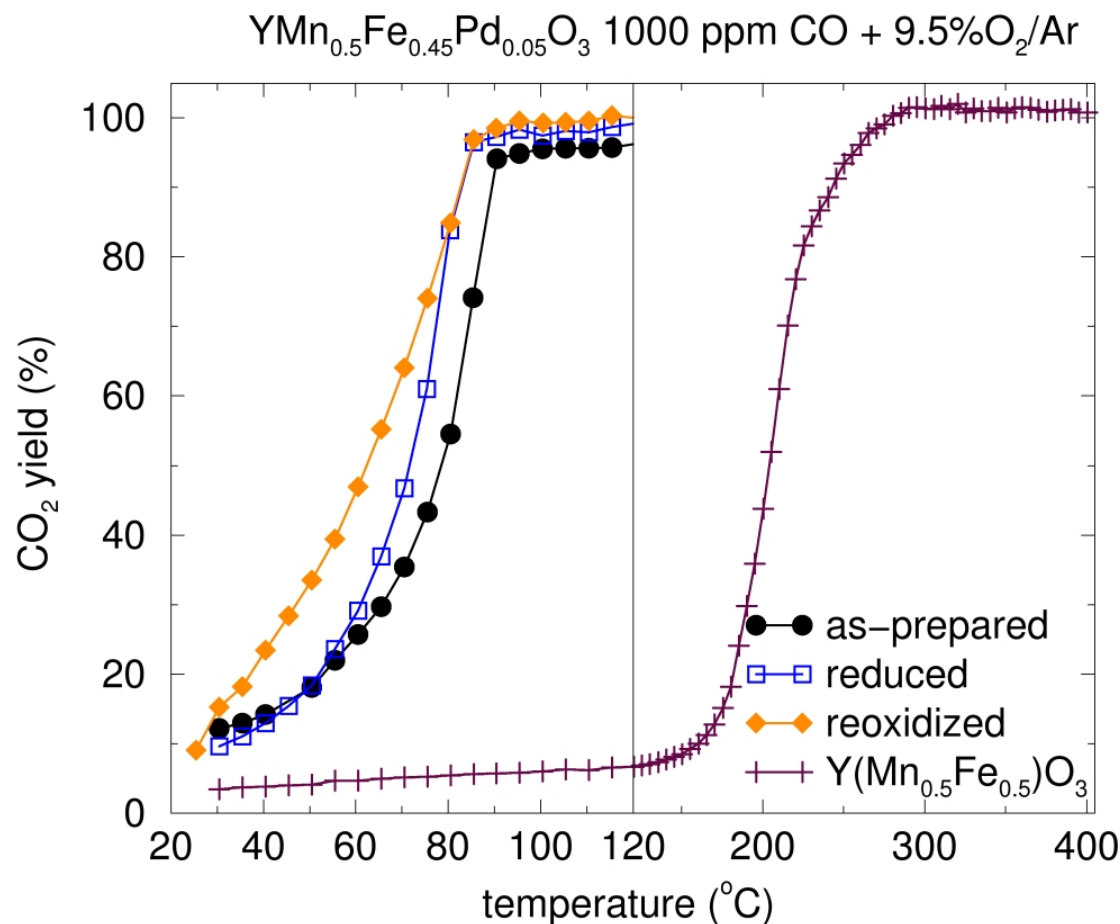
Activity is attributed to the presence of cationic Pd<sup>2+</sup> in the perovskite lattice

Singh, Li, Bennett, Rappe, Seshadri, Scott, *J. Catal.* 249 (2007) 349.



# Y(Fe/Mn)O<sub>3</sub>:Pd: The catalytically active species

Again, the oxidized compound with Pd<sup>2+</sup> is the better catalyst



Low light-off temperature.  
Effective catalyst despite low surface areas.

Li, Singh, Schladt, Stalick, Scott, Seshadri, *Chem. Mater.* 20 (2008) 6567.

# Summary:

## **Ce<sup>3+</sup> phosphors:**

New phosphor materials, better understanding ...

## **Pd<sup>2+</sup> catalysts:**

A new catalyst paradigm, better catalysts ...