

# Kitaev Quantum Spin Liquids

Materials 286G

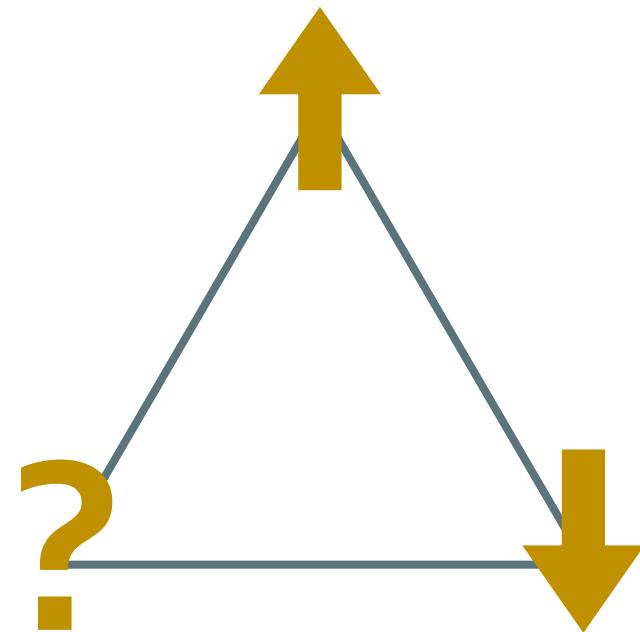
Aurland Hay  
19 May 2022

# Magnetic frustration

**Geometric frustration:** geometric constraints suppress of long-range order

**Example:**

Ising-type antiferromagnetically interacting spins on triangular network



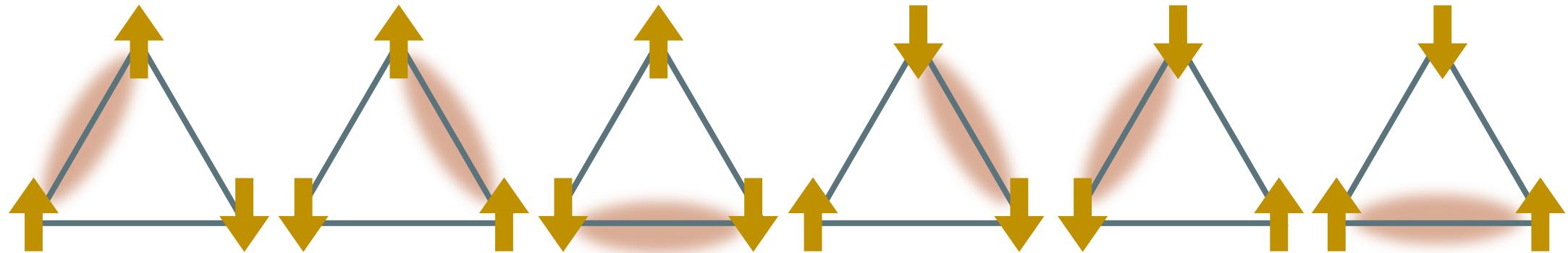
# Geometric frustration creates ground state degeneracy

**Geometric frustration:** geometric constraints suppress of long-range order

## Example:

Ising-type antiferromagnetically interacting spins on triangular lattice

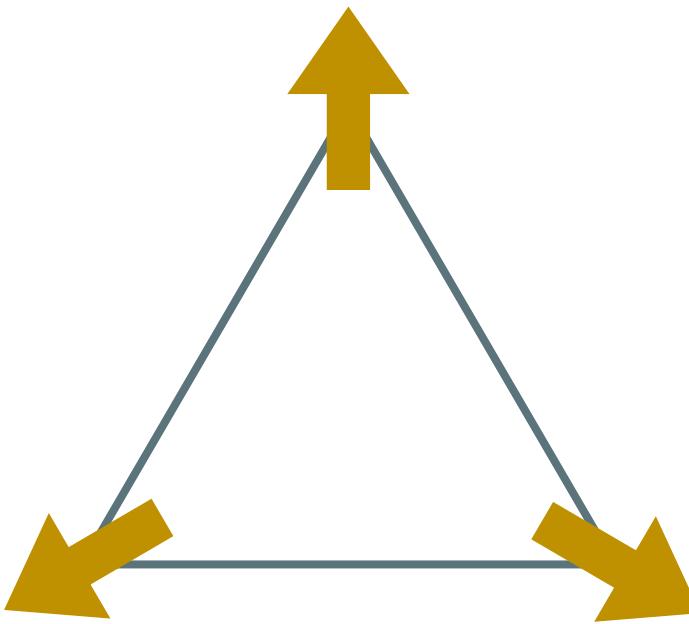
- macroscopic ground state degeneracy
- “residual entropy”



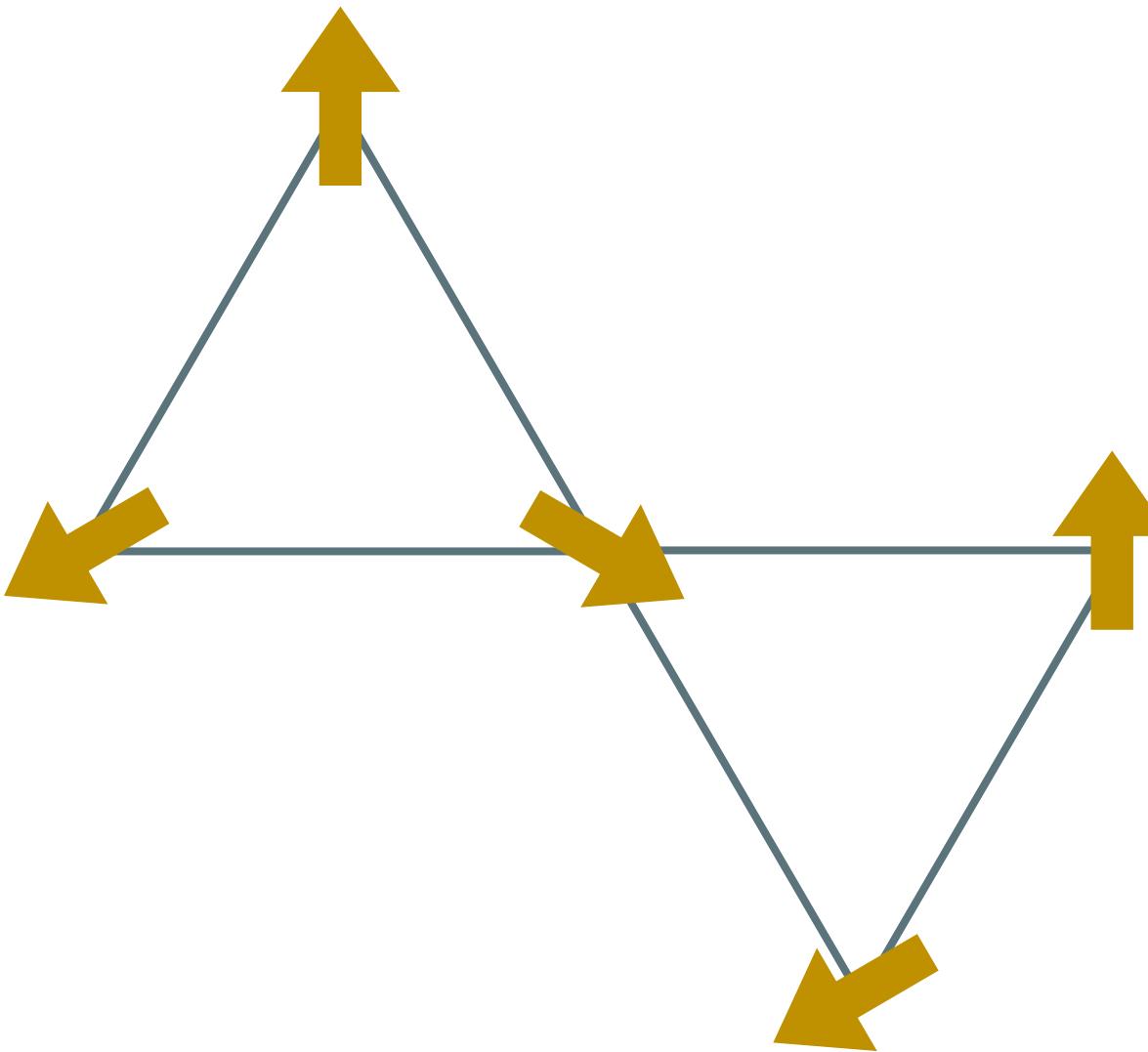
Ramirez, Hayashi, Cava, Siddharthan, Shastry, *Nature* **399** (1999) 333-335

Pauling, *J. Am. Chem. Soc.* **57** (1935) 2680-2684.

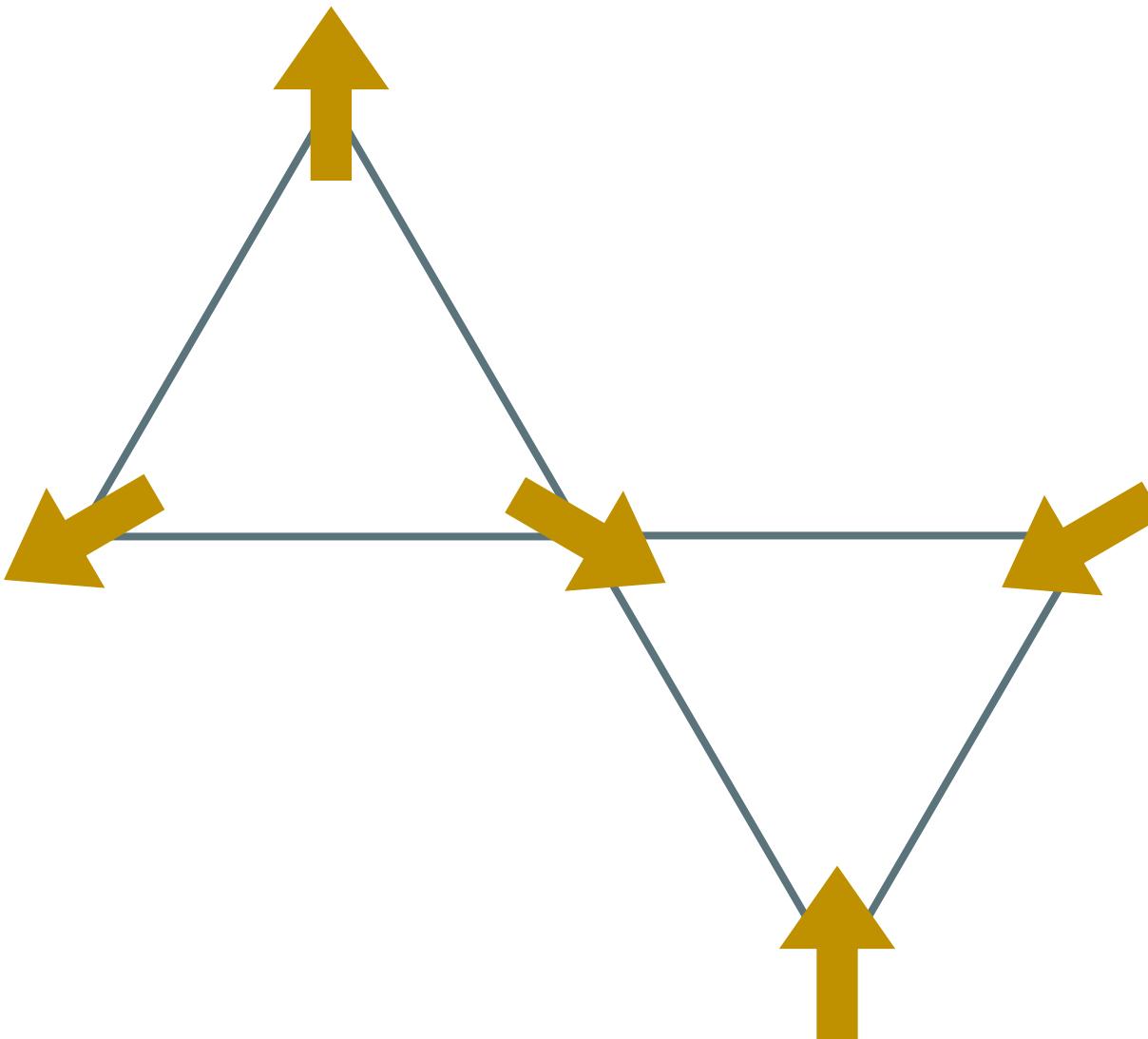
# One ground state option: noncollinear spins



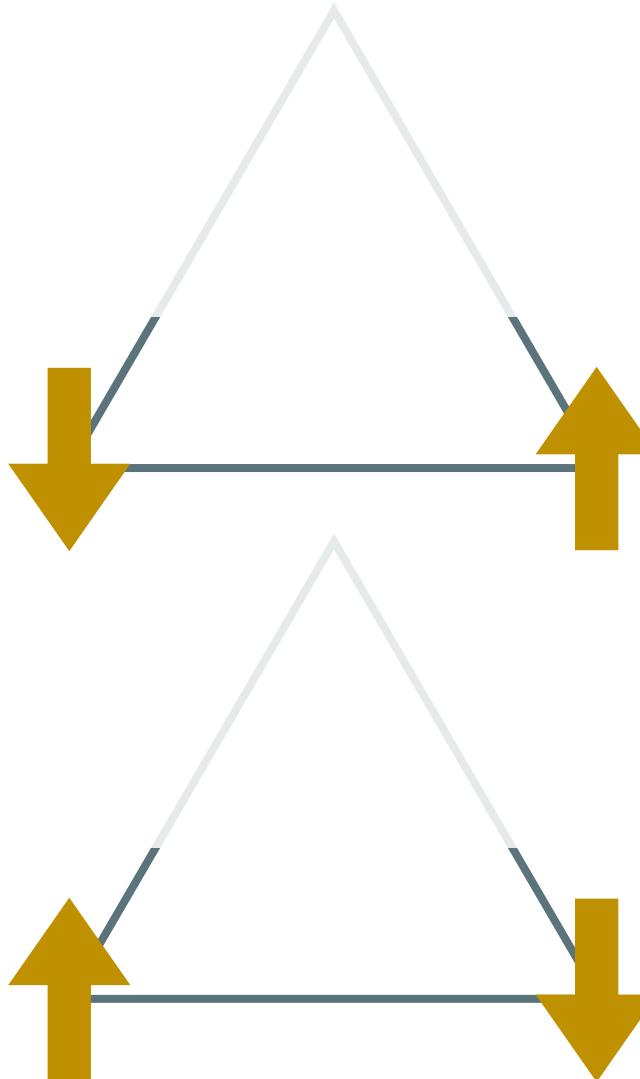
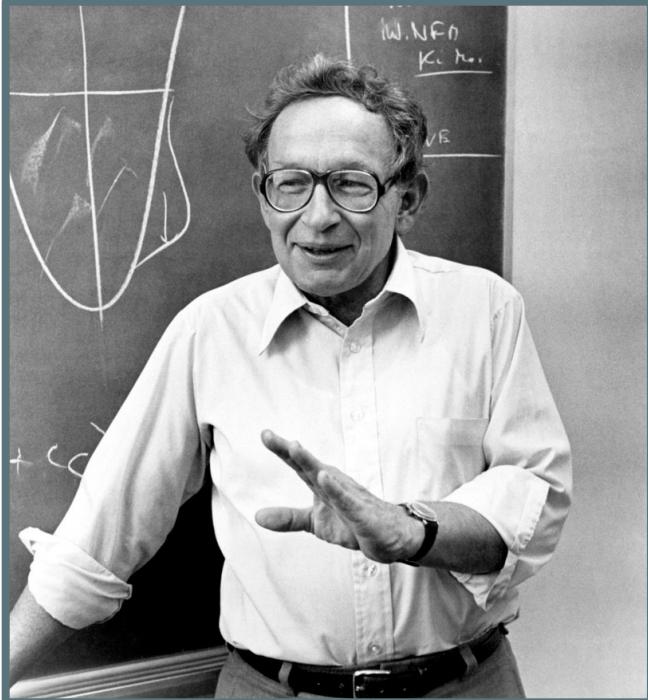
# One ground state option: noncollinear spins



# One ground state option: noncollinear spins



# Anderson: resonating valence bond (RVB) model

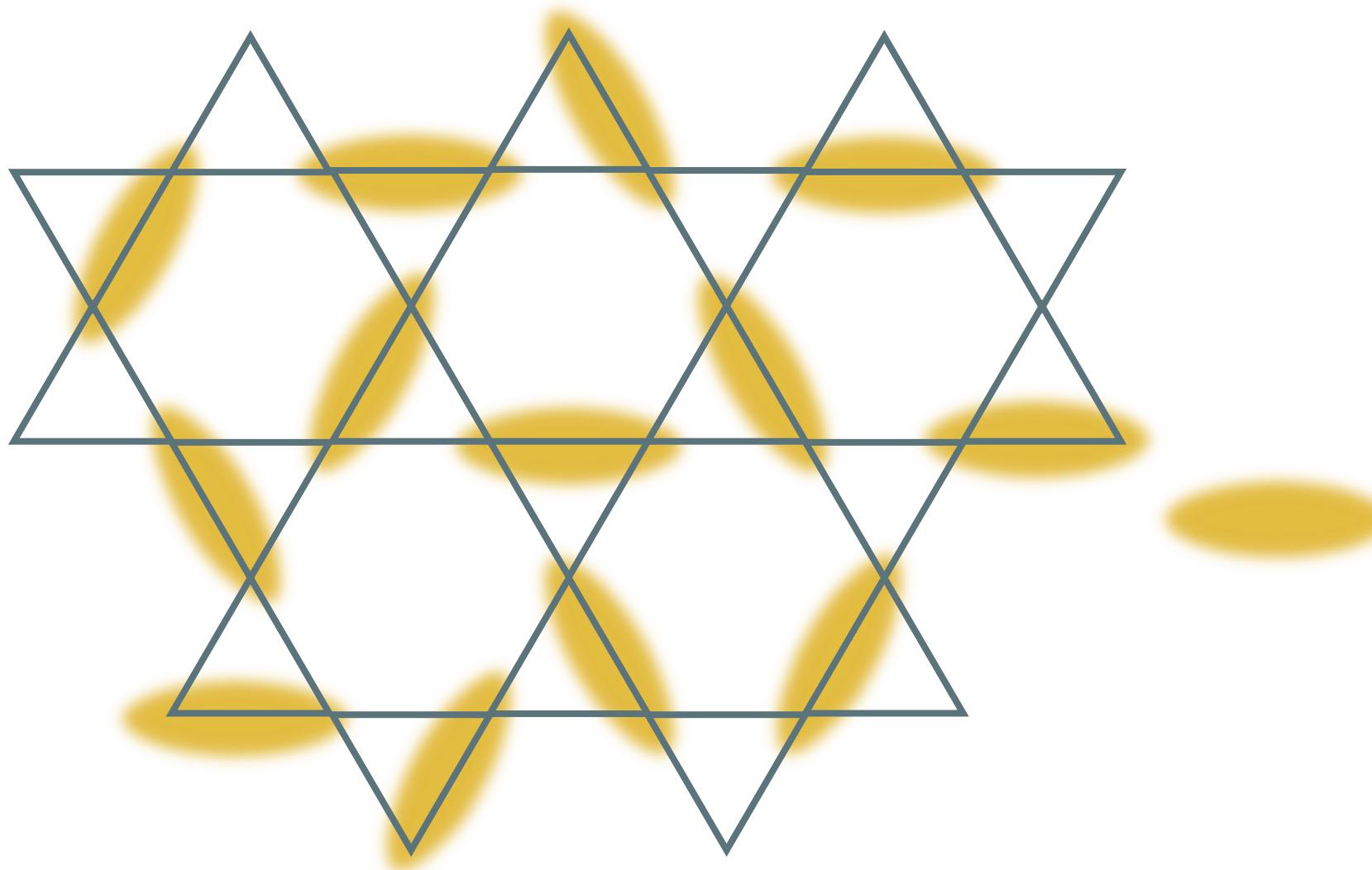


singlet state

$$\frac{1}{\sqrt{2}}(|\downarrow\uparrow\rangle - |\uparrow\downarrow\rangle)$$

Image from [www.nature.com/articles/d41586-020-01318-4](https://www.nature.com/articles/d41586-020-01318-4)  
Anderson, *Mater. Res. Bull.* **8** (1973) 153-160.

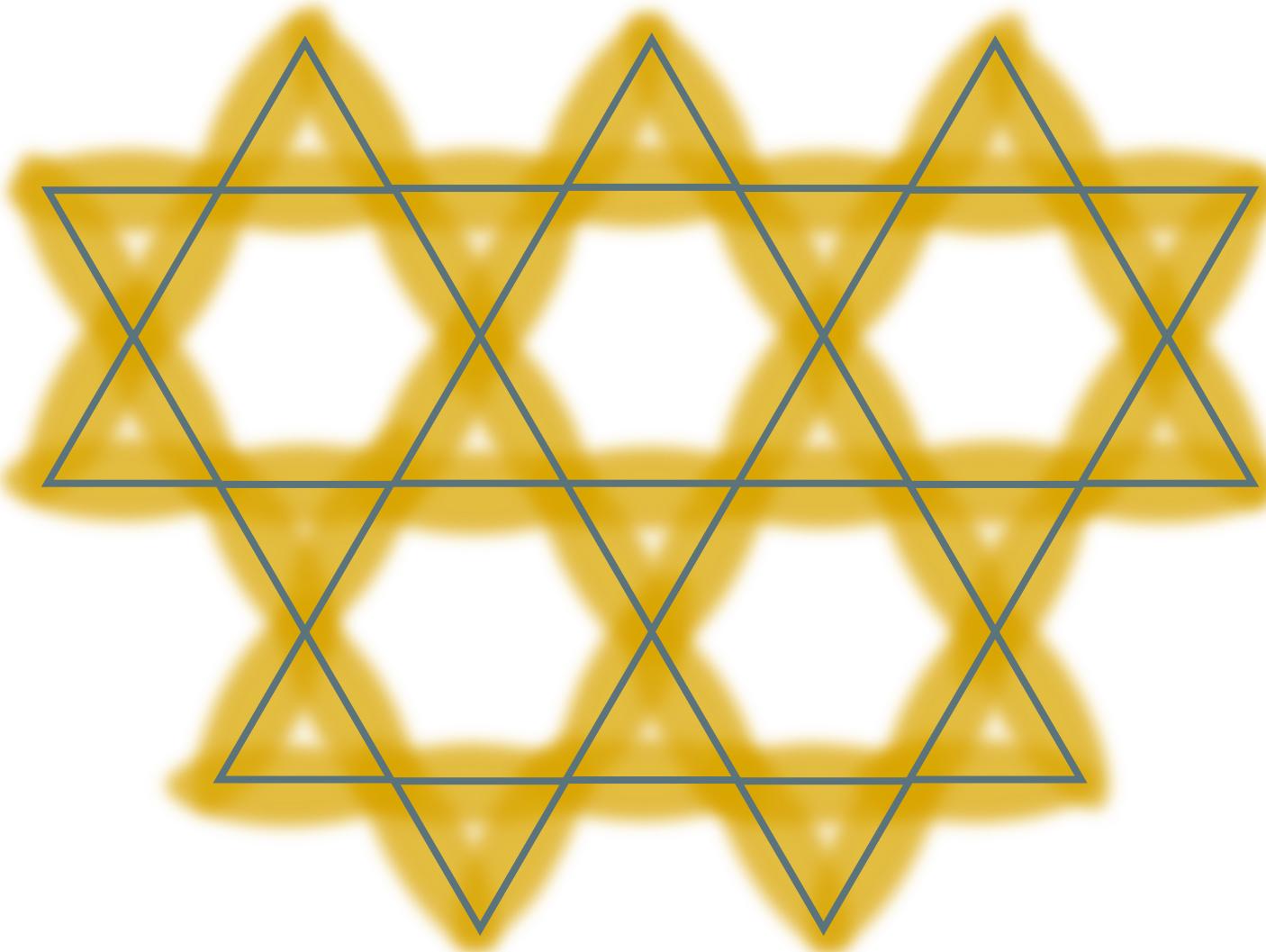
# Anderson: resonating valence bond (RVB) model



singlet state

$$= \frac{1}{\sqrt{2}}(|\downarrow\uparrow\rangle - |\uparrow\downarrow\rangle)$$

# Anderson: resonating valence bond (RVB) model



- entangled singlet state
- entangled superposition of singlets across network

Image from [www.nature.com/articles/d41586-020-01318-4](http://www.nature.com/articles/d41586-020-01318-4)

Anderson, *Mater. Res. Bull.* **8** (1973) 153-160.

# Defining a QSL

## Quantum spin liquid

- 1) no long-range ordering of spins even at 0 K
- 2) no symmetry breaking
- 3) long-range entanglement of spins
- 4) fractional excitations
  - emergent mode with only part of the degrees of freedom of elementary particles in system
  - electron = bound state of spinon + chargon + orbiton

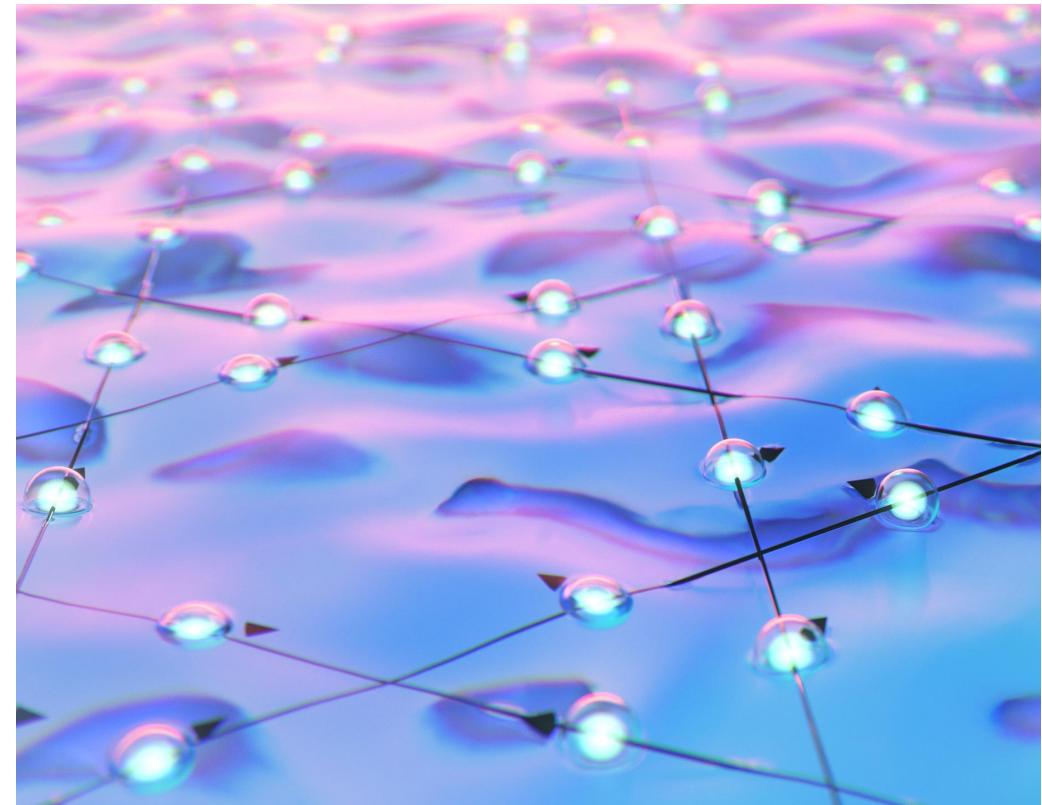
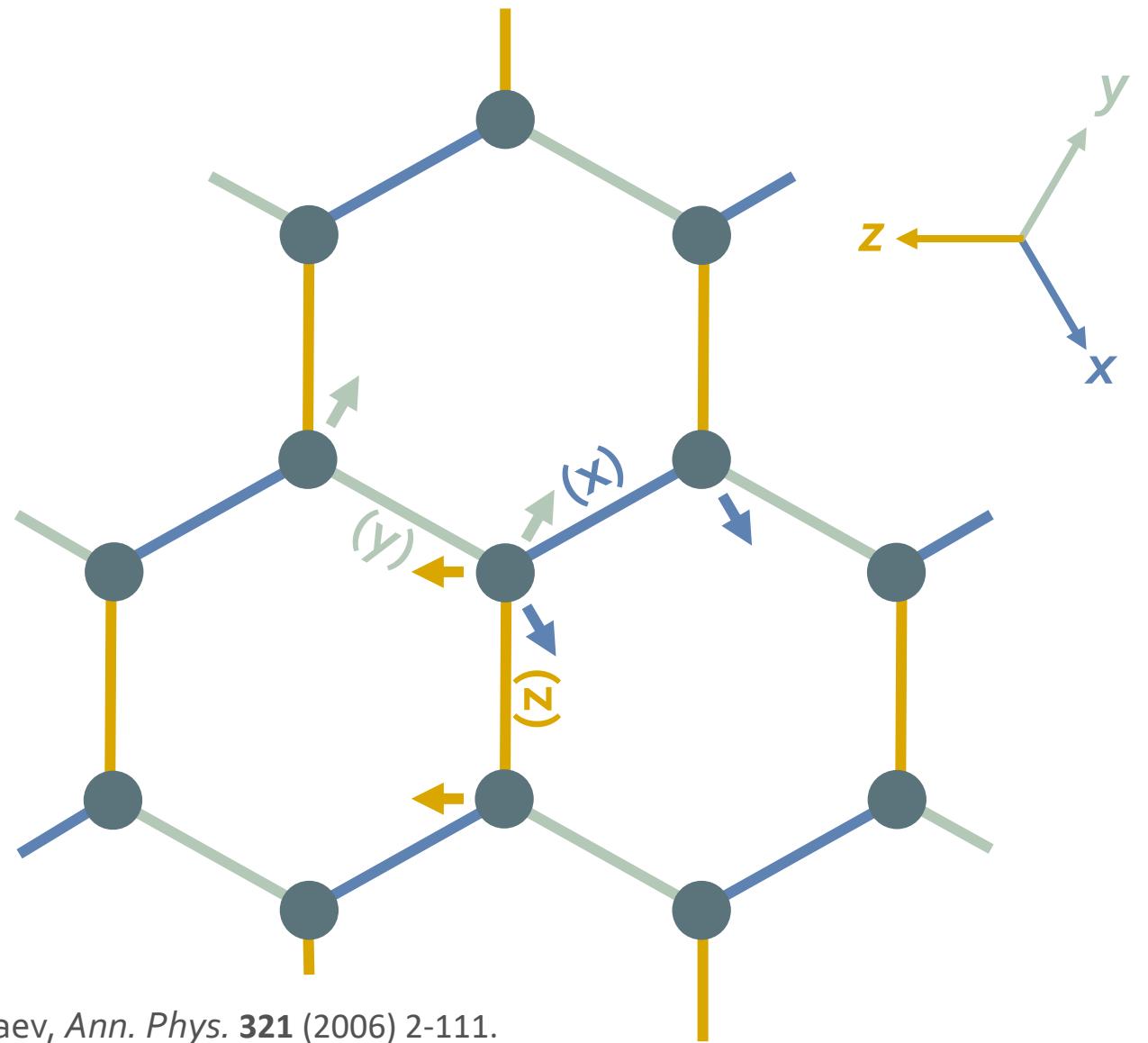


Image from [www.quantamagazine.org/quantum-simulators-create-a-totally-new-phase-of-matter-20211202/](http://www.quantamagazine.org/quantum-simulators-create-a-totally-new-phase-of-matter-20211202/)  
Jinsheng Wen, Shun-Li Yu, Shiyan Li, Weiqiang Yu, Jian-Xin Li, *npj Quantum Mater.* **4** (2019) 12.  
Ye, Marchetti, *Phys. Scr.* **94** (2019) 115808.

# Kitaev model

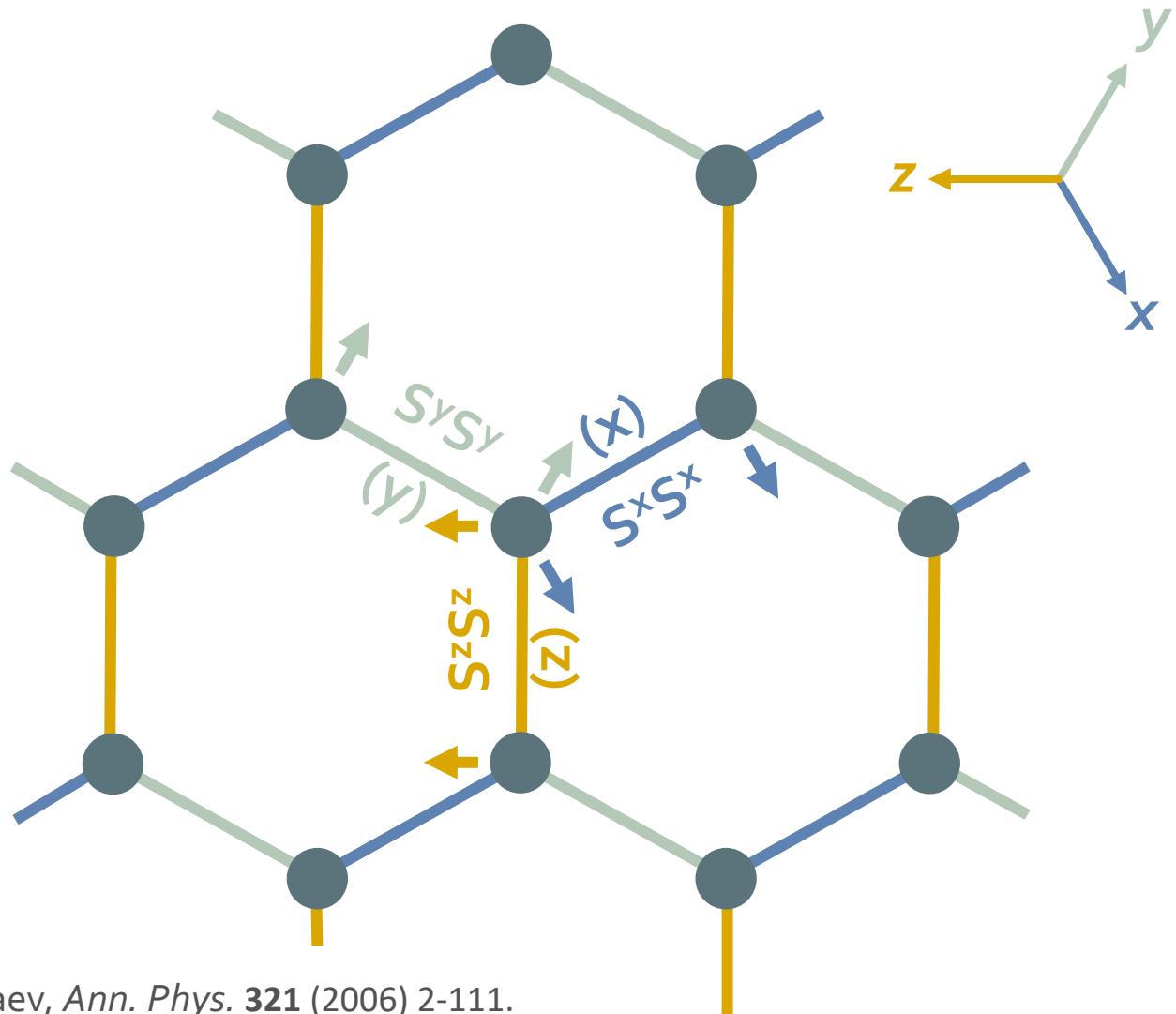


- $S = \frac{1}{2}$  spins
- nearest-neighbor Ising interactions
- easy axes depend on bond  
(blue bond has easy axis parallel to x)

Kitaev, *Ann. Phys.* **321** (2006) 2-111.

Image adapted from Jinsheng Wen, Shun-Li Yu, Shiyan Li, Weiqiang Yu, Jian-Xin Li, *npj Quantum Mater.* **4** (2019) 12.

# Kitaev model Hamiltonian



Kitaev coupling  
constant

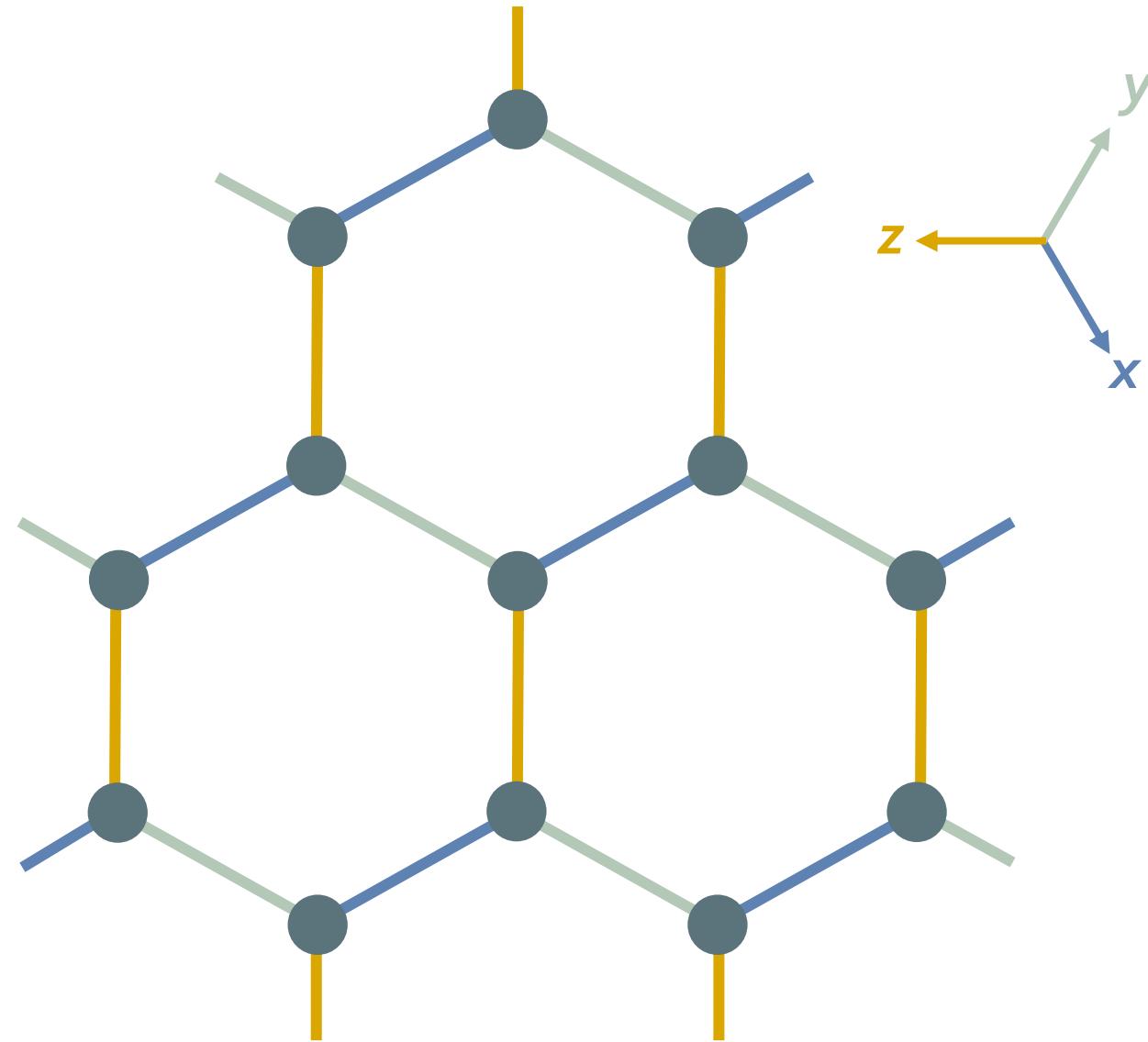
$$H = - \sum_{\langle ij \rangle \gamma} K_\gamma S_i^\gamma S_j^\gamma$$

$\gamma = x, y, z$  type bonds

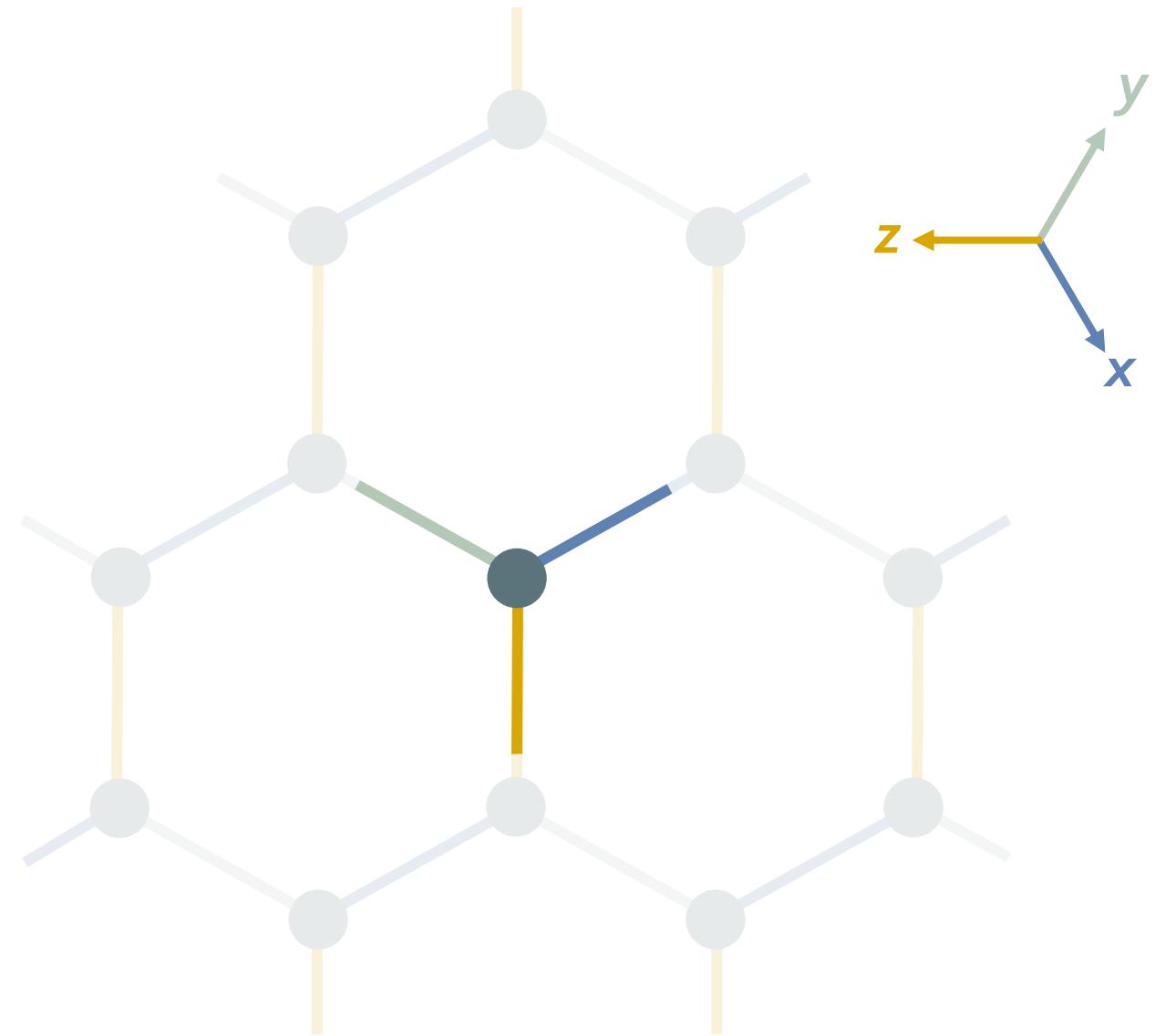
Kitaev, Ann. Phys. 321 (2006) 2-111.

Image adapted from Jinsheng Wen, Shun-Li Yu, Shiyan Li, Weiqiang Yu, Jian-Xin Li, npj Quantum Mater. 4 (2019) 12.

# Kitaev model in the classical limit



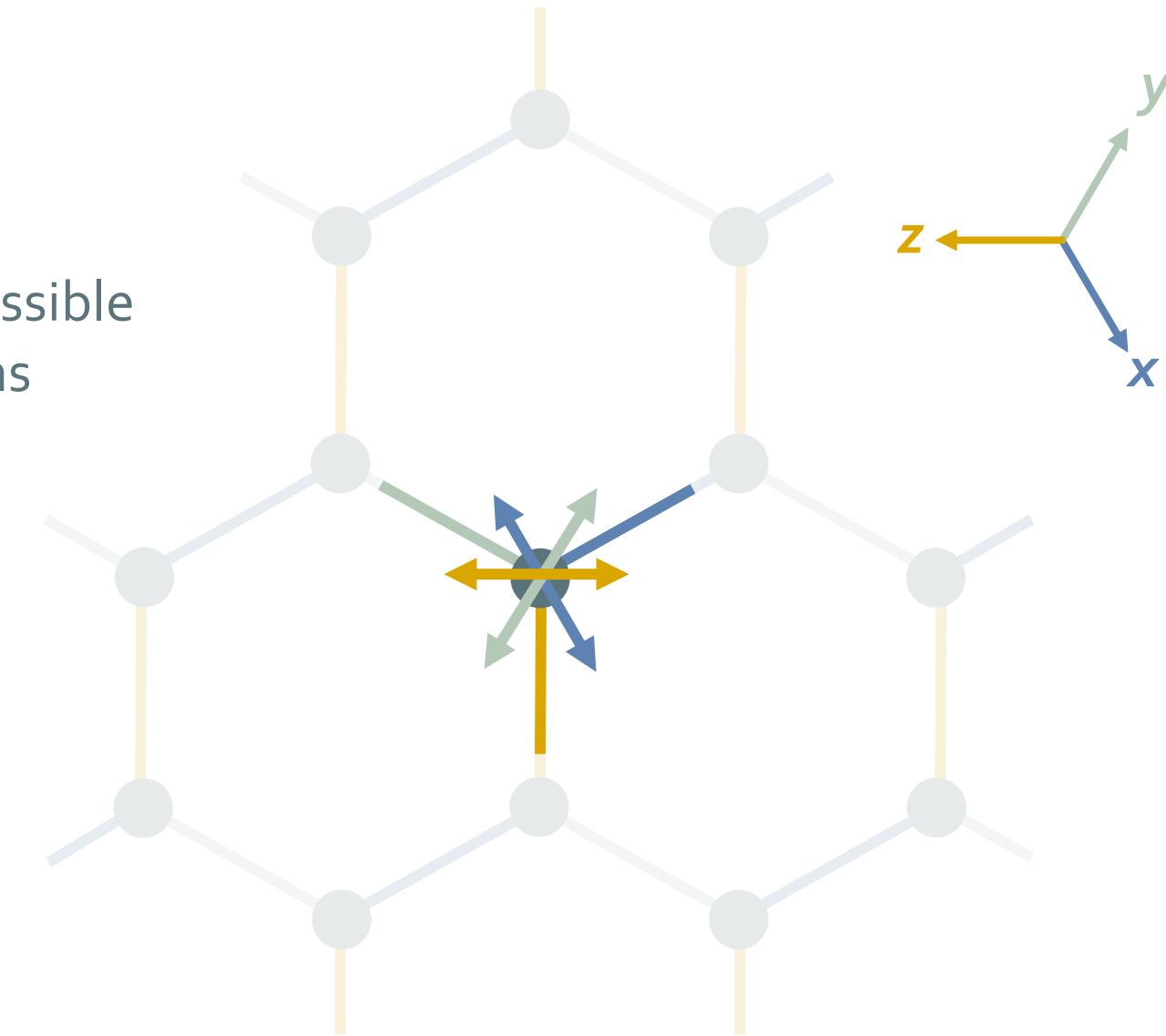
# Kitaev model in the classical limit



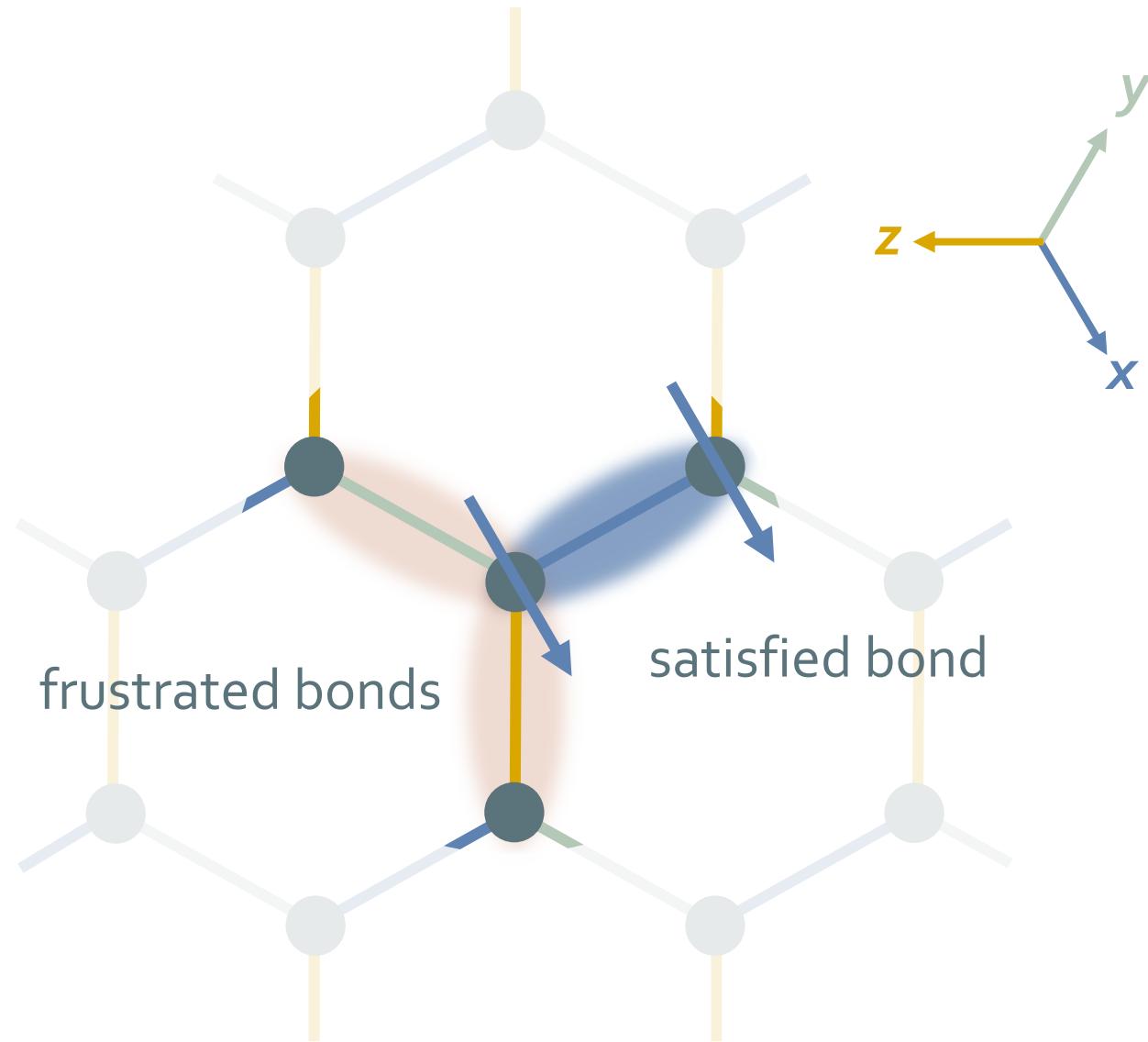
# Kitaev model in the classical limit

each site has 6 possible spin directions

- 3 easy axes
- Ising spins

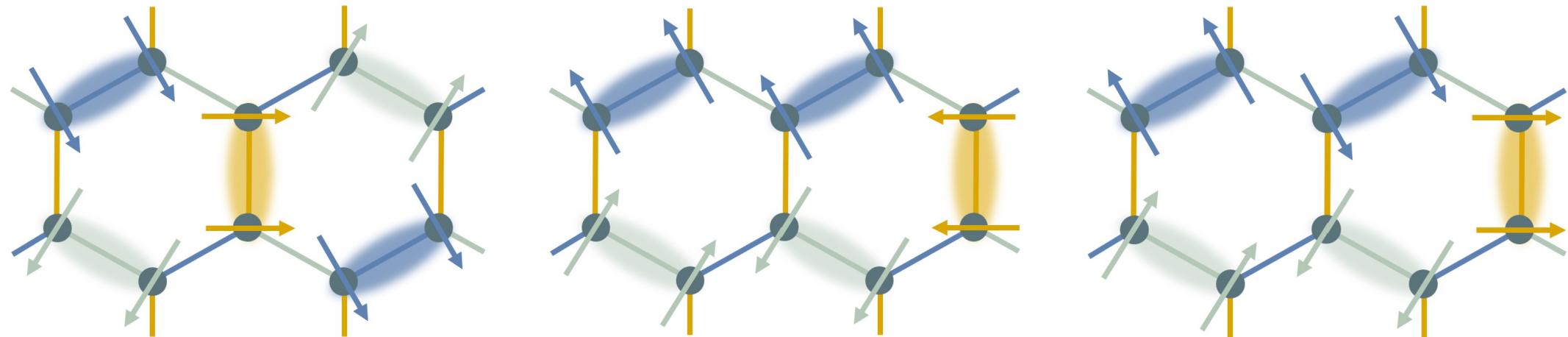


# Kitaev model in the classical limit



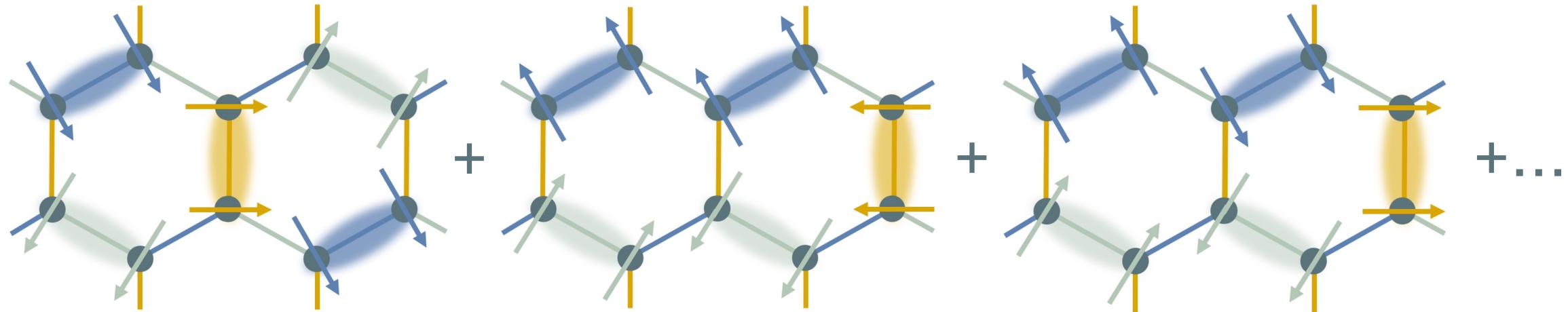
# Ground state degeneracy in (classical) Kitaev model

number of ways to distribute satisfied bonds across honeycomb  $\times 2$  spin alignments

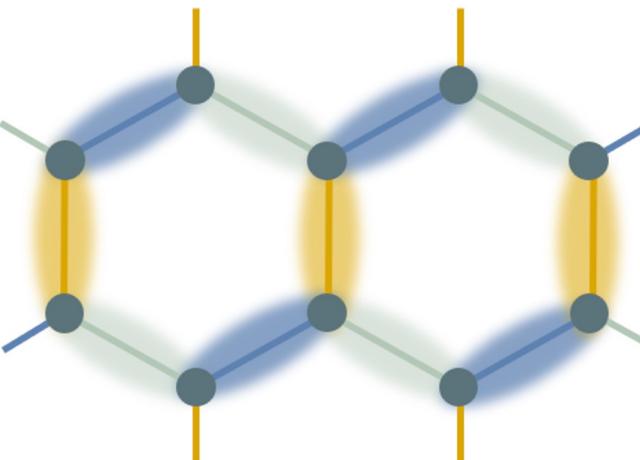


# Quantum effects in Kitaev model

adding in quantum mechanical effects:

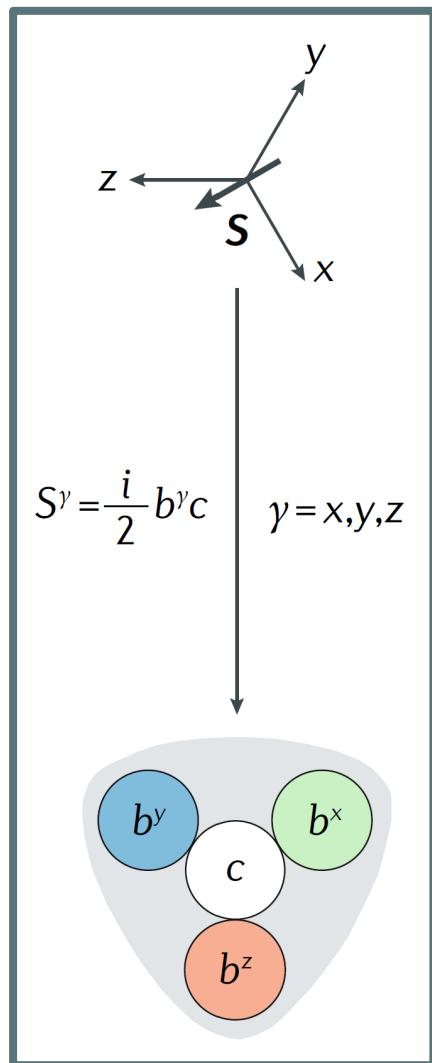


highly entangled superposition of states within classical ground state manifold



1/3 satisfied bonds  
2/3 frustrated bonds

# Kitaev QSL excitations



exact solution of Kitaev model has QSL ground state

$$H = - \sum_{\langle ij \rangle_\gamma} K_\gamma S_i^\gamma S_j^\gamma$$

Kitaev Hamiltonian

$$H = -\frac{1}{4} \sum_{\langle ij \rangle_\gamma} K_\gamma b_i^\gamma b_j^\gamma c_i c_j$$

fractionalize spin operators  
into 4 Majorana operators  
(three localized, one itinerant)

## Majorana fermions:

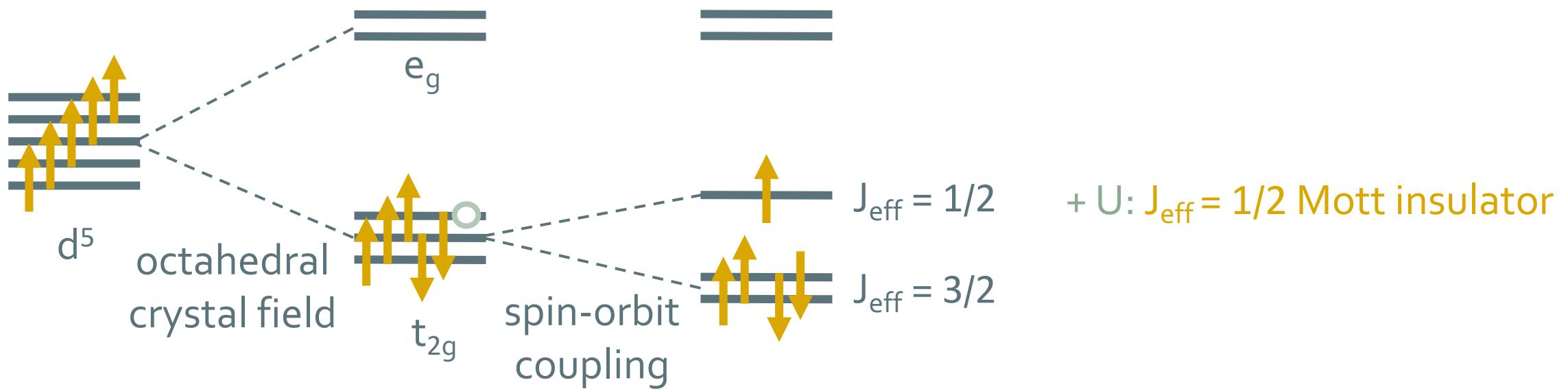
- particles that are their own antiparticle
- obey non-Abelian statistics
- application in topological quantum computing

# Ingredients for Kitaev QSL materials

honeycomb network with bond-dependent Ising-type exchange interactions



anisotropy requires moments with  
**orbital components**



Wen, Yu, Li, Yu, Li, *npj Quantum Mater.* **4** (2019) 12.

Clark, Abdeldaim, *Annu. Rev. Mater. Res.* **51** (2021) 495-519.

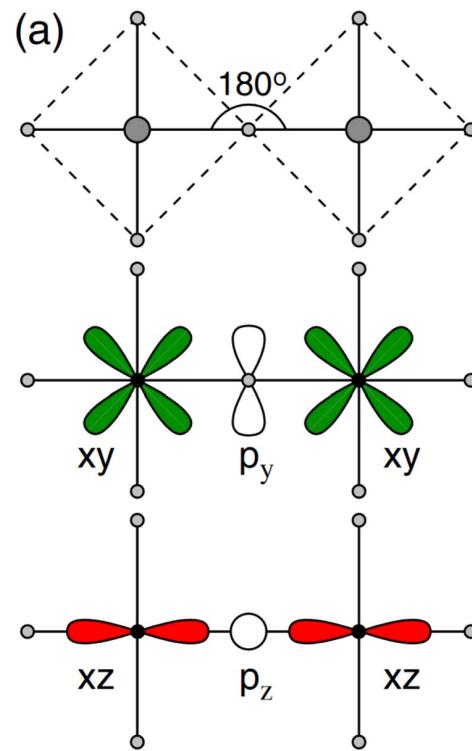
Jackeli, Khaliullin, *Phys. Rev. Lett.* **102** (2009) 017205.

# “Engineering” Kitaev QSL materials

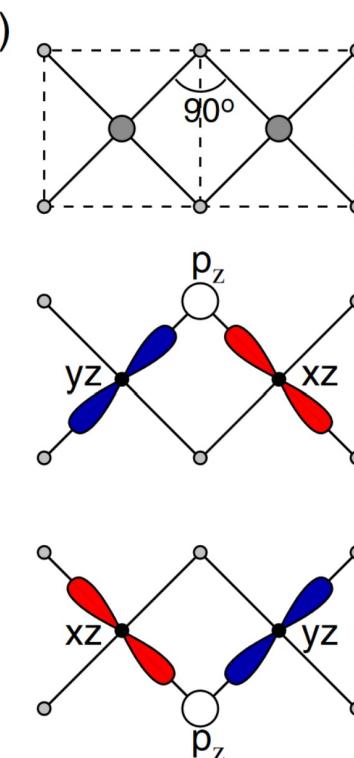
Jackeli + Khaliullin: Kitaev QSL from  $J_{\text{eff}} = 1/2$  Mott insulator

corner-sharing octahedra

super-exchange interaction  
between  $J_{\text{eff}} = 1/2$  moments  
dominated by isotropic  
Heisenberg term



edge-sharing octahedra



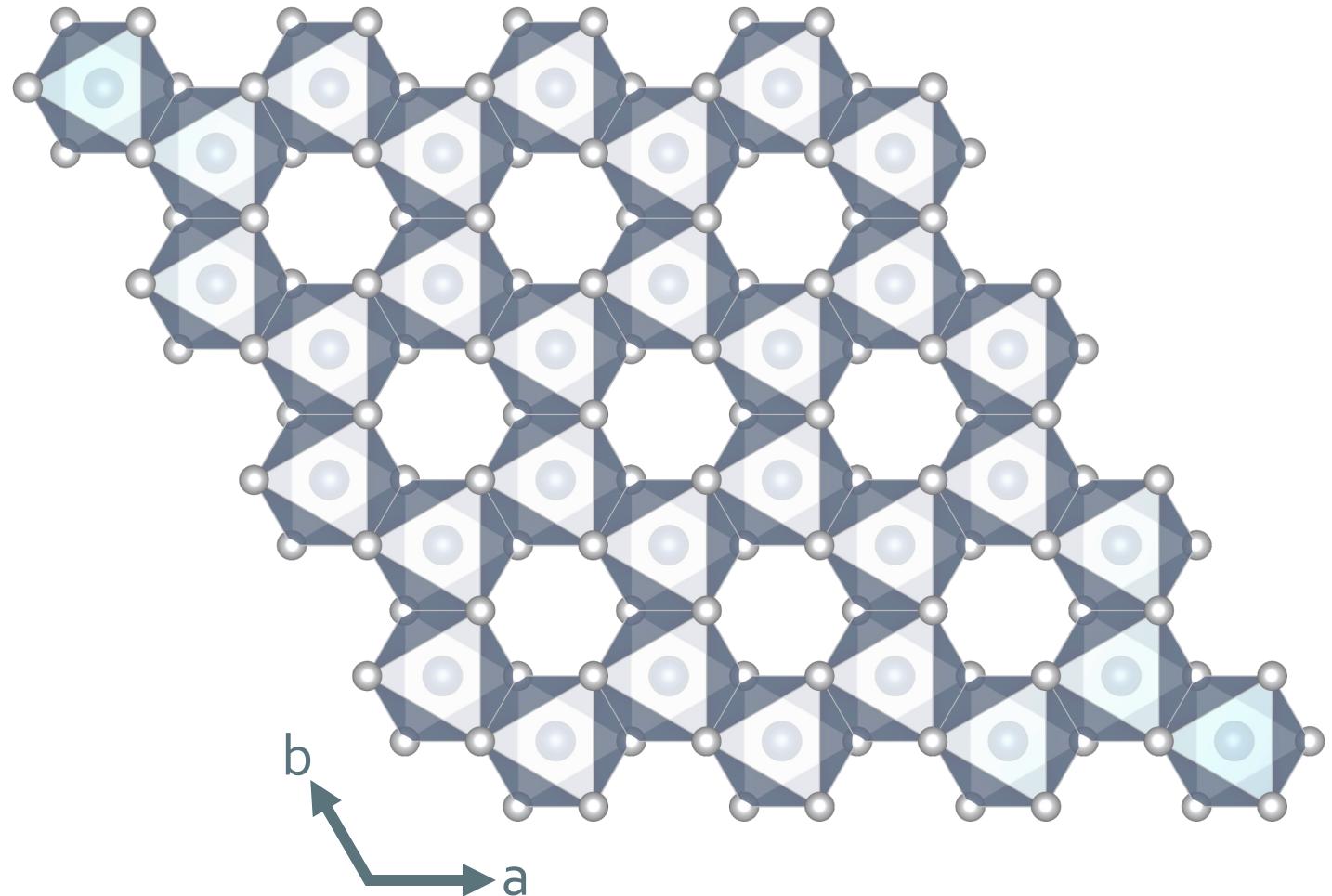
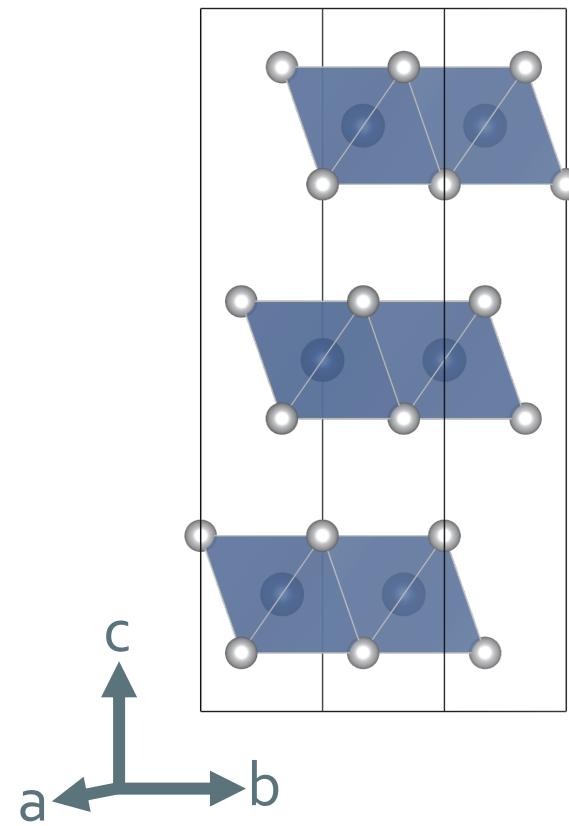
interference between  
super-exchange paths  
suppresses Heisenberg  
term

Jackeli, Khaliullin, *Phys. Rev. Lett.* **102** (2009) 017205.

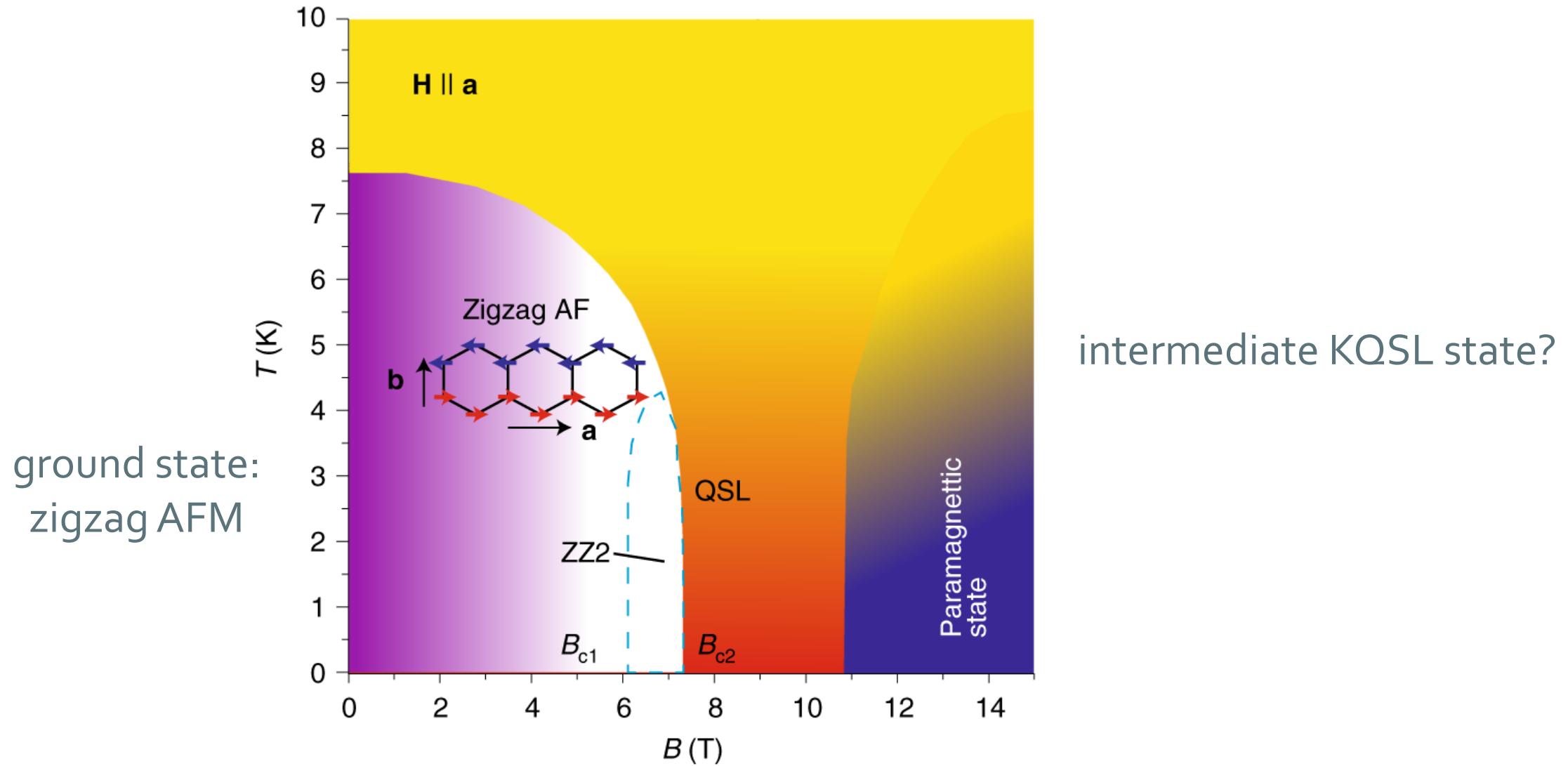
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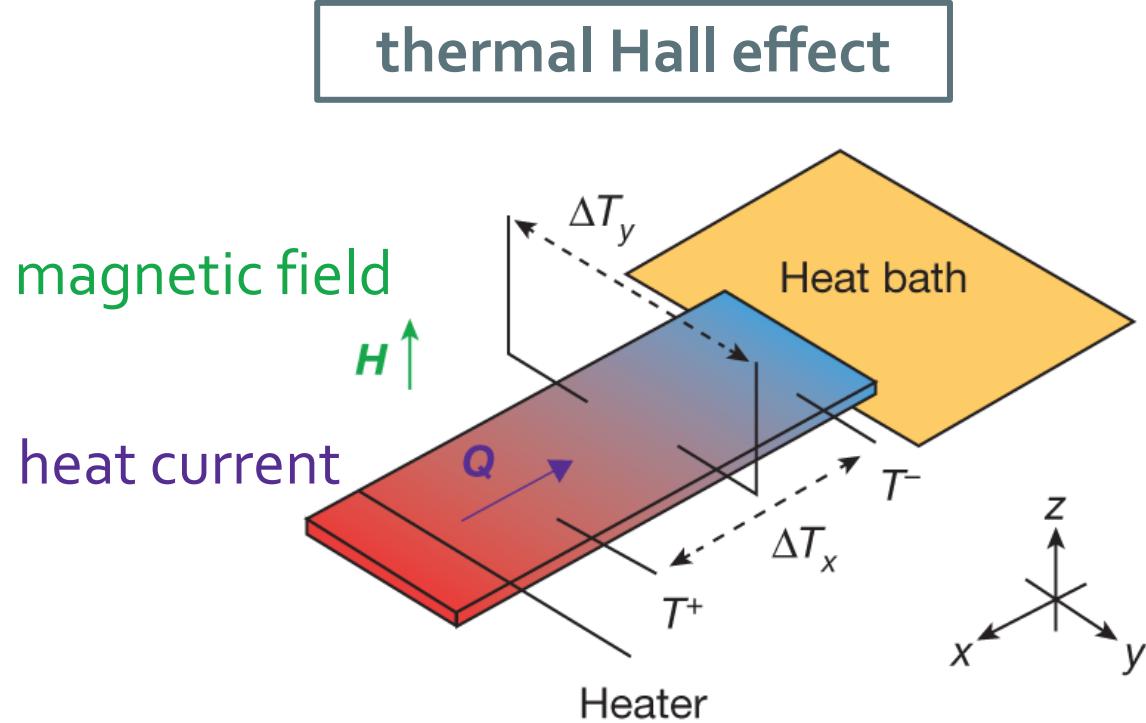
# $\alpha\text{-RuCl}_3$



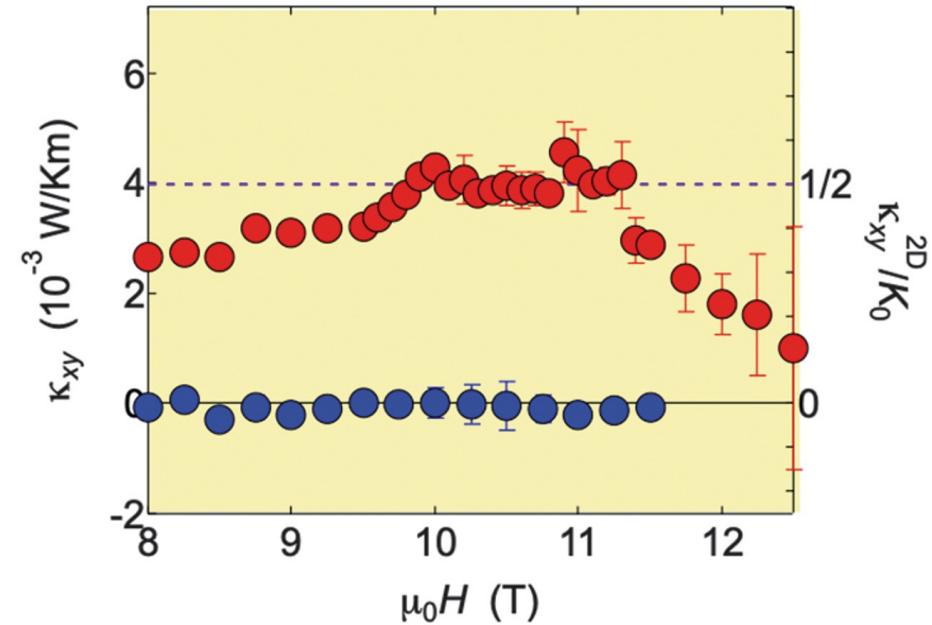
# Proximate Kitaev QSL candidate $\alpha$ -RuCl<sub>3</sub>



# Quantized thermal Hall conductivity in $\alpha$ -RuCl<sub>3</sub>



thermal transport to detect  
charge-neutral Majorana fermions



quantized thermal Hall conductivity  
indicative of Majorana edge modes?

Image of thermal Hall effect from DOI: 10.1038/s41586-019-1375-0

Yokoi, Ma, Kasahara, Shibauchi, Kurita, Tanaka, Nasu, Motome, Hickey, Trebst, Matsuda, *Science* **373** (2021) 568-572.

Kasahara, Ohnishi, Mizukami, Tanaka, Ma, Sugii, Kurita, Tanaka, Nasu, Motome, Shibauchi, Matsuda, *Nature* **559** (2018) 227-231.

Broholm, Cava, Kivelson, Nocera, Norman, Senthil, *Science* **367** (2020) eaayo668.

# Summary

## Quantum spin liquid

- no long-range ordering of spins even at 0 K

## Kitaev model

- QSL ground state
- honeycomb network with bond-dependent Ising exchange

## $\alpha\text{-RuCl}_3$

- ground state: zigzag AFM
- proximate KQSL in intermediate magnetic field

Thank you